

Report on the implementation of joint and convention-specific activities contained in the programmes of work and budgets of the Basel, Rotterdam and Stockholm conventions for the biennium 2018–2019

Note by the Secretariat

1. The annex to the present note contains a report prepared by the Secretariat, as at 31 December 2019, on the implementation of the programmes of work and budgets for the biennium 2018-2019 approved by the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions in decisions BC-13/24, RC-8/17 and SC-8/27 respectively.
2. The report provides for each factsheet information on the activities that were implemented, their achieved outcome, their evaluation as per the indicators of achievement, as well as information on partners and socio-economic considerations namely how considerations related to gender¹ and the integrated approach to financing sound management of chemicals and wastes have been taken into account in the activities, as well as how the activities undertaken contribute to the implementation of the 2030 Agenda for Sustainable Development.
3. The report also presents expenditures incurred under the general trust funds (BC, RC and SC trust funds) and voluntary trust funds (BD, RV and SV trust funds) and includes expenditure information where funding has been provided by the Food and Agriculture Organization of the United Nations (FAO) regular program resources, and thus not included in either of the two Rotterdam Convention trust funds. The official 2019 financial statements have not yet been published and therefore the financial information provided below is provisional. There may thus be small changes once accounts are closed. In 2014, the United Nations adopted the International Public Sector Accounting Standards (IPSAS) which is used for the preparation of the audited financial statements. However, for the purpose of reporting on budget implementation, the United Nations continues to use the United Nations System Accounting Standards (UNSAS). In view of this, expenditures include unliquidated obligations. Core staff costs are not included in the presented expenditures; information on these costs will be made available to the conferences of the Parties at their meetings in 2021.
4. Regarding the voluntary funded activities, the budget presented is a funding estimate that the Conference of Parties has taken note of. However, the conventions are generally not able to receive full funding for these funding estimates or budgets. Furthermore, in addition to funds directly supporting each specific Convention, the three conventions also receive funds for joint activities. The expenditure for joint activities have been divided between the three conventions in proportion relative to the original approved budgets for the biennium. All amounts are in United States dollars, unless otherwise stated.
5. The present note, including its annex, has not been formally edited.

¹ In line with the gender action plan (UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58).

Annex

Report on the implementation of joint and convention-specific activities contained in the programmes of work and budgets of the Basel, Rotterdam and Stockholm conventions for the biennium 2018–2019

1. Conferences and meetings

Programme heading	Conferences and meetings
PoW number	Activity 1
Title of activity	Fourteenth meeting of the Conference of the Parties to the Basel Convention
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 15 of the Basel Convention
Activities and outcomes	<p>(1) Organization of the 14th meeting of the Conference of the Parties to the Basel Convention:</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • The fourteenth meeting of the Conference of the Parties to the Basel Convention was held back to back with the ninth meeting of the Conference of the Parties to the Rotterdam Convention and the ninth meeting of the Conference of the Parties to the Stockholm Convention from 29 April to 10 May 2019 in Geneva, Switzerland. Approximately 1,400 participants from over 180 countries gathered under the topic "Clean Planet, Healthy People: Sound Management of Chemicals and Waste" and adopted 73 decisions in total, including seven substantially identical decisions on issues of common interest with the Rotterdam and Stockholm conventions. All information and the outcomes of the meeting are available on the meeting website.² • The Secretariat prepared, translated and published pre-session, in-session and post-session meeting documents and posted them on the meeting website, and intranet of the meeting as relevant. Pre-session documents were posted on the website by 27 February 2019, i.e. 2 months in advance of the meeting (for budget documents by 27 January 2019, i.e. 90 days before the meeting). • The Secretariat prepared and dispatched invitation letters; registered participants and observers and organized travel of sponsored delegates. Thanks to financial contributions from Denmark, Finland, Germany, Norway and Switzerland, over 322 delegates from developing country Parties and country Parties with economies in transition have been supported to participate in the meeting. • Conference services, interpretation, logistics and other practical arrangements for the meeting were provided. • The report of the meeting is available on the meetings website.³ • Outreach and communications activities were conducted, including web announcements, press releases and briefings: three briefings to the Permanent Missions in Geneva were held on 5 November 2018, 26 February 2019 and 16 April 2019; eight online briefings (webinars) on the meetings were held in English, French and Spanish on 8, 9 and 15 April 2019 and 13, 17 and 18 June 2019. The Earth Negotiations Bulletin (ENB) covered the meetings. An Information Fair was held during the meetings to provide an opportunity to Governments and stakeholders to exhibit their information products, projects and technologies that are available to support the implementation of the conventions. • The Secretariat provided support to the Bureau and President of the Conference of the Parties during the intersessional period (see activity 7 for further information) and during the meeting. <p><u>Outcomes achieved:</u></p> <p>Arrangements for the 14th meeting of the Conference of the Parties (COP-14) were made, meeting documents were prepared, and quality services provided. Parties from developing-countries and countries with economies in</p>

² <http://www.brsmeas.org/2019COPs>.

³ <http://www.brsmeas.org/2019COPs>.

	<p>transition were supported to participate in the meeting and thus contributed to enhance an informed decision-making under the Convention.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Percentage of working documents made available in languages within deadlines: 80%⁴. 2. Meeting facilities and services were provided to ensure smooth running of the meeting. 3. Participation of at least one representative per Party that is a developing country or country with economy in transition in the COP: support was provided to over 322 delegates, taking into account the procedures for the allocation of funding set out in the annex to decision BC-10/28, with a view to ensuring adequate geographical representation of eligible regions and priority being given to the least developed countries and small island developing States. 4. Number of men/women within delegations and chairs of contact and other groups: 43.74% of Government delegates were women, 38.10% of Government delegations were composed of 50% or more of women and 42% of chairs/co-chairs of groups at the COPs were women. <p>(2) Organization of regional preparatory meetings:</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • Four regional preparatory meetings were held to allow Parties to prepare for the meetings of the conferences of the Parties (jointly for activities 1 (BC), 2 (RC) and 3 (SC)), consider meeting documents and discuss regional positions. • Thanks to the financial support from Switzerland and Norway, a total of 330 participants attended the regional preparatory meetings, including 216 representatives from 119 country Parties and 104 representatives from observer organizations. • The meetings were held as follows: <ol style="list-style-type: none"> (a) Asia-Pacific: 4-6 March 2019 in Suzhou, China; organized with support from the Basel Convention Coordinating Center in China; (b) Africa: 18-20 March 2019 in Nairobi, Kenya; organized with support from the Stockholm Convention regional centre in Kenya; (c) Central and Eastern Europe: 26-28 March 2019 in Brno, Czech Republic; organized with support from the Stockholm Convention regional centre in the Czech Republic; (d) Latin America and the Caribbean: 27-29 March 2019 in Montevideo, Uruguay; organized with support from the Basel and Stockholm Conventions Regional Centre in Uruguay. <p>All four meetings were held jointly with information sessions on the Minamata Convention on Mercury. The Asia-Pacific, Africa and Latin America and the Caribbean regional meetings were followed by information sessions on integrating a gender perspective in the sound management of chemicals and waste organized thanks to a generous financial contribution by the Government of Sweden. Additionally, the Asia-Pacific and Africa regional preparatory meetings was followed by a Strategic Approach to International Chemicals Management (SAICM) day.</p> <p>Relevant outcome documents are posted on the convention's website.⁵</p> <p><u>Outcomes achieved:</u></p> <p>Arrangements for regional preparatory meetings were made and quality services were provided. Parties and observers to the conventions were supported to prepare for the 2019 meetings of the conferences of the Parties, and became familiar with the agendas and organization of work of the meetings and had the opportunity to discuss regional challenges and positions.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Participation of at least one representative per Party that is a developing country or country with economy in transition in the regional preparatory meetings: Funding was provided to 108 eligible Parties that had registered and made a request for financial support, in due form, for up to one participant per Party country. 2. Four regional preparatory meetings were held in Africa; Asia-Pacific; Central and Eastern Europe and Latin America and the Caribbean.
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⁴ Not counting addenda to meeting documents, 87% of the working documents were made available by the required deadline. The addenda usually contain the outcomes of the work of intersessional groups and have been submitted in the later stage of the document preparation process, despite efforts of groups to complete their work on time for timely dissemination in the UN official languages.

⁵ <http://www.brsmeas.org/2019COPs/RegionalPreparatoryMeetings/Overview/tabid/7896/language/en-US/Default.aspx>.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
557,575	499,812	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
1,014,871	990,561	-	-	-	-

Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Basel and Stockholm conventions regional centres
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring informed participation of developing-country Parties and Parties with economies in transition in the meetings of the conferences of the Parties in 2019, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making. It also improved the legitimacy of the decisions adopted by the Conference of the Parties and encouraged the implementation of the Convention at all levels.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, in the nomination process of participants to the meetings, the Secretariat encouraged a balanced gender representation within delegations. 43.74% of Government delegates were women and 38.10% of Government delegations were composed of 50% or more of women. In allocating funding, the Secretariat took into account the procedure for the allocation of funding from the Technical Cooperation Trust Fund (BD) for facilitating the participation of Parties in meetings of the Conference of the Parties and Open-ended Working Group (BC-10/28), paying special attention to gender balance. The Secretariat also advised the participants of any potential gender impacts of proposals developed for consideration by the COPs and took gender balance into account in proposing chairs of contact and other groups. On this latter point, 42% of the contact group's co-chairs were women at the 2019 BRS COPs.</p> <p>Integrated Approach: The meetings contributed to catalysing cooperation and coordination among Parties and relevant stakeholders at the regional level, including civil society and private sector, as relevant, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>

Programme heading	Conferences and meetings
PoW number	Activity 2
Title of activity	Ninth meeting of the Conference of the Parties to the Rotterdam Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 18 of the Rotterdam Convention
Activities and outcomes	<p>(1) Organization of the 9th meeting of the Conference of the Parties to the Rotterdam Convention:</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • The ninth meeting of the Conference of the Parties to the Rotterdam Convention was held back to back with the twelfth meeting of the Conference of the Parties to the Basel Convention and the ninth meeting of the Conference of the Parties to the Stockholm Convention from 29 April to 10 May 2019 in Geneva, Switzerland. Approximately 1,400 participants from over 180 countries gathered under the topic "Clean Planet, Healthy People: Sound Management of Chemicals and Waste" and adopted 73 decisions in total, including seven substantially identical decisions on issues of common interest with the Basel and Stockholm conventions. All information and the outcomes of the meeting are available on the meeting website.⁶ • The Secretariat prepared, translated and published pre-session, in-session and post-session meeting documents and posted them on the meeting website, and intranet of the meeting as relevant. Pre-session documents were posted on the website by 13 March 2019, i.e. 6 weeks in advance of the meeting (for budget documents by 27 January 2019, i.e. 90 days before the meeting). • The Secretariat prepared and dispatched invitation letters; registered participants and observers and organized travel of sponsored delegates. Thanks to financial contributions from Denmark, Finland, Germany, Norway and Switzerland, over 322 delegates from developing country Parties and country Parties with economies in transition have been supported to participate in the meeting. • Conference services, interpretation, logistics and other practical arrangements for the meeting were provided. • The report of the meeting is available on the meetings website.⁷ • Outreach and communications activities were conducted, including web announcements, press releases and briefings: three briefings to the Permanent Missions in Geneva were held on 5 November 2018, 26 February 2019 and 16 April 2019; eight online briefings (webinars) on the meetings were held in English, French and Spanish on 8, 9 and 15 April 2019 and 13, 17 and 18 June 2019. The Earth Negotiations Bulletin (ENB) covered the meetings. An Information Fair was held during the meetings to provide an opportunity to Governments and stakeholders to exhibit their information products, projects and technologies that are available to support the implementation of the conventions. The RC Secretariat provided an interactive tool on technical assistance activities and engaged numerous participants in a quiz on the convention. • The Secretariat provided support to the Bureau and President of the Conference of the Parties during the intersessional period (see activity 7 for further information) and during the meeting. <p><u>Outcomes achieved:</u></p> <p>Arrangements for the 9th meeting of the Conference of the Parties (COP-9) were made, meeting documents were prepared, and quality services provided. Parties from developing-countries and countries with economies in transition were supported to participate in the meeting and thus contributed to enhance an informed decision-making under the Convention.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Percentage of working documents made available in languages within deadlines: 94%. 2. Meeting facilities and services were provided to ensure smooth running of the meeting. 3. Participation of at least one representative per Party that is a developing country or country with economy in transition in the COP: support was provided to over 322 delegates, taking into account the procedures for the allocation of funding set out in the annex to decision RC-5/1, with a view to ensuring adequate geographical representation of eligible regions and priority being given to the least developed countries and small island developing States. 4. Number of men/women within delegations and chairs of contact and other groups: 43.74% of Government delegates were women, 38.10% of Government delegations were composed of 50% or more of women and 42% of chairs/co-chairs of groups at the COPs were women.

⁶ <http://www.brsmeas.org/2019COPs>.

⁷ <http://www.brsmeas.org/2019COPs>.

(2) Organization of regional preparatory meetings:

Activities implemented:

- Four regional preparatory meetings were held to allow Parties to prepare for the meetings of the conferences of the Parties (jointly for activities 1 (BC), 2 (RC) and 3 (SC)), consider meeting documents and discuss regional positions.
- Thanks to the financial support from Switzerland and Norway, a total of 330 participants attended the regional preparatory meetings, including 216 representatives from 119 country Parties and 104 representatives from observer organizations.
- The meetings were held as follows:
 - (a) Asia-Pacific: 4-6 March 2019 in Suzhou, China; organized with support from the Basel Convention Coordinating Center in China;
 - (b) Africa: 18-20 March 2019 in Nairobi, Kenya; organized with support from the Stockholm Convention regional centre in Kenya;
 - (c) Central and Eastern Europe: 26-28 March 2019 in Brno, Czech Republic; organized with support from the Stockholm Convention regional centre in the Czech Republic;
 - (d) Latin America and the Caribbean: 27-29 March 2019 in Montevideo, Uruguay; organized with support from the Basel and Stockholm Conventions Regional Centre in Uruguay.

All four meetings were held jointly with information sessions on the Minamata Convention on Mercury. The Asia-Pacific, Africa and Latin America and the Caribbean regional meetings were followed by information sessions on integrating a gender perspective in the sound management of chemicals and waste organized thanks to a generous financial contribution by the Government of Sweden. Additionally, the Asia-Pacific and Africa regional preparatory meetings was followed by a Strategic Approach to International Chemicals Management (SAICM) day.

Relevant outcome documents are posted on the convention's website.⁸

Outcomes achieved:

Arrangements for regional preparatory meetings were made and quality services were provided. Parties and observers to the conventions were supported to prepare for the 2019 meetings of the conferences of the Parties, and became familiar with the agendas and organization of work of the meetings and had the opportunity to discuss regional challenges and positions.

Evaluation as per the indicators of achievement:

1. Participation of at least one representative per Party that is a developing country or country with economy in transition in the regional preparatory meetings: Funding was provided to 108 eligible Parties that had registered and made a request for financial support, in due form, for up to one participant per Party country.
2. Four regional preparatory meetings were held in Africa; Asia-Pacific; Central and Eastern Europe and Latin America and the Caribbean.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	557,575	489,940	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	1,014,871	981,519	-	-

⁸ <http://www.brsmeas.org/2019COPs/RegionalPreparatoryMeetings/Overview/tabid/7896/language/en-US/Default.aspx>.

In-kind support		
Basel Convention	Rotterdam Convention	Stockholm Convention
Expenditure	Expenditure	Expenditure
-	59,639*	-

* from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Basel and Stockholm conventions regional centres
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring informed participation of developing-country Parties and Parties with economies in transition in the meetings of the conferences of the Parties in 2019, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making. It also improved the legitimacy of the decisions adopted by the Conference of the Parties and encouraged the implementation of the Convention at all levels.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, in the nomination process of participants to the meetings, the Secretariat encouraged a balanced gender representation within delegations. 43.74% of Government delegates were women and 38.10% of Government delegations were composed of 50% or more of women. In allocating funding, the Secretariat took into account the procedure for the allocation of funding from the voluntary Special Trust Fund for facilitating the participation of Parties in meetings of the Conference of the Parties (RC-5/1), paying special attention to gender balance. The Secretariat also advised the participants of any potential gender impacts of proposals developed for consideration by the COPs and took gender balance into account in proposing chairs of contact and other groups. On this latter point, 42% of the contact group's co-chairs were women at the 2019 BRS COPs.</p> <p>Integrated Approach: The meetings contributed to catalysing cooperation and coordination among Parties and relevant stakeholders at the regional level, including civil society and private sector, as relevant, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>

Programme heading	Conferences and meetings
PoW number	Activity 3
Title of activity	Ninth meeting of the Conference of the Parties to the Stockholm Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> <i>Stockholm Convention</i>
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 19 of the Stockholm Convention
Activities and outcomes	<p>(1) Organization of the 9th meeting of the Conference of the Parties to the Stockholm Convention</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • The fourteenth meeting of the Conference of the Parties to the Basel Convention was held back to back with the ninth meeting of the Conference of the Parties to the Rotterdam Convention and the ninth meeting of the Conference of the Parties to the Stockholm Convention from 29 April to 10 May 2019 in Geneva, Switzerland. Approximately 1,400 participants from over 180 countries gathered under the topic "Clean Planet, Healthy People: Sound Management of Chemicals and Waste" and adopted 73 decisions in total, including seven substantially identical decisions on issues of common interest with the Rotterdam and Stockholm conventions. All information and the outcomes of the meeting are available on the meeting website.⁹ • The Secretariat prepared, translated and published pre-session, in-session and post-session meeting documents and posted them on the meeting website, and intranet of the meeting as relevant. Pre-session documents were posted on the website by 27 February 2019, i.e. 2 months in advance of the meeting (for budget documents by 27 January 2019, i.e. 90 days before the meeting). • The Secretariat prepared and dispatched invitation letters; registered participants and observers and organized travel of sponsored delegates. Thanks to financial contributions from Denmark, Finland, Germany, Norway and Switzerland, over 322 delegates from developing country Parties and country Parties with economies in transition have been supported to participate in the meeting. • Conference services, interpretation, logistics and other practical arrangements for the meeting were provided. • The report of the meeting is available on the meetings website.¹⁰ • Outreach and communications activities were conducted, including web announcements, press releases and briefings: three briefings to the Permanent Missions in Geneva were held on 5 November 2018, 26 February 2019 and 16 April 2019; eight online briefings (webinars) on the meetings were held in English, French and Spanish on 8, 9 and 15 April 2019 and 13, 17 and 18 June 2019. The Earth Negotiations Bulletin (ENB) covered the meetings. An Information Fair was held during the meetings to provide an opportunity to Governments and stakeholders to exhibit their information products, projects and technologies that are available to support the implementation of the conventions. • The Secretariat provided support to the Bureau and President of the Conference of the Parties during the intersessional period (see activity 7 for further information) and during the meeting <p><u>Outcomes achieved:</u></p> <p>Arrangements for the 9th meeting of the Conference of the Parties (COP-9) were made, meeting documents were prepared, and quality services provided. Parties from developing-countries and countries with economies in transition were supported to participate in the meeting and thus contributed to enhance an informed decision-making under the Convention.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Percentage of working documents made available in languages within deadlines: 94%. 2. Meeting facilities and services were provided to ensure smooth running of the meeting. 3. Participation of at least one representative per Party that is a developing country or country with economy in transition in the COP: support was provided to over 322 delegates, taking into account the procedures for the allocation of funding set out in the annex to decision SC-5/2, with a view to ensuring adequate geographical representation of eligible regions and priority being given to the least developed countries and small island developing States. 4. Number of men/women within delegations and chairs of contact and other groups: 43.74% of Government delegates were women, 38.10% of Government delegations were composed of 50% or more of women and 42% of chairs/co-chairs of groups at the COPs were women.

⁹ <http://www.brsmeas.org/2019COPs>.

¹⁰ <http://www.brsmeas.org/2019COPs>.

(2) Organization of regional preparatory meetings

Activities implemented:

- Four regional preparatory meetings were held to allow Parties to prepare for the meetings of the conferences of the Parties (jointly for activities 1 (BC), 2 (RC) and 3 (SC)), consider meeting documents and discuss regional positions.
- Thanks to the financial support from Switzerland and Norway, a total of 330 participants attended the regional preparatory meetings, including 216 representatives from 119 country Parties and 104 representatives from observer organizations.
- The meetings were held as follows:
 - (a) Asia-Pacific: 4-6 March 2019 in Suzhou, China; organized with support from the Basel Convention Coordinating Center in China;
 - (b) Africa: 18-20 March 2019 in Nairobi, Kenya; organized with support from the Stockholm Convention regional centre in Kenya;
 - (c) Central and Eastern Europe: 26-28 March 2019 in Brno, Czech Republic; organized with support from the Stockholm Convention regional centre in the Czech Republic;
 - (d) Latin America and the Caribbean: 27-29 March 2019 in Montevideo, Uruguay; organized with support from the Basel and Stockholm Conventions Regional Centre in Uruguay.

All four meetings were held jointly with information sessions on the Minamata Convention on Mercury. The Asia-Pacific, Africa and Latin America and the Caribbean regional meetings were followed by information sessions on integrating a gender perspective in the sound management of chemicals and waste organized thanks to a generous financial contribution by the Government of Sweden. Additionally, the Asia-Pacific and Africa regional preparatory meetings was followed by a Strategic Approach to International Chemicals Management (SAICM) day.

Relevant outcome documents are posted on the convention’s website.¹¹

Outcomes achieved:

Arrangements for regional preparatory meetings were made and quality services were provided. Parties and observers to the conventions were supported to prepare for the 2019 meetings of the conferences of the Parties, and became familiar with the agendas and organization of work of the meetings and had the opportunity to discuss regional challenges and positions.

Evaluation as per the indicators of achievement:

1. Participation of at least one representative per Party that is a developing country or country with economy in transition in the regional preparatory meetings: Funding was provided to 108 eligible Parties that had registered and made a request for financial support, in due form, for up to one participant per Party country.
2. Four regional preparatory meetings were held in Africa; Asia-Pacific; Central and Eastern Europe and Latin America and the Caribbean.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	557,575	504,319
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	1,014,871	1,002,585

¹¹ <http://www.brsmeas.org/2019COPs/RegionalPreparatoryMeetings/Overview/tabid/7896/language/en-US/Default.aspx>.

Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Basel and Stockholm conventions regional centres
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring informed participation of developing-country Parties and Parties with economies in transition in the meetings of the conferences of the Parties in 2019, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making. It also improved the legitimacy of the decisions adopted by the Conference of the Parties and encouraged the implementation of the Convention at all levels.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, in the nomination process of participants to the meetings, the Secretariat encouraged a balanced gender representation within delegations. 43.74% of Government delegates were women and 38.10% of Government delegations were composed of 50% or more of women. In allocating funding, the Secretariat took into account the procedure for the allocation of funding from the voluntary Special Trust Fund for facilitating the participation of Parties in meetings of the Conference of the Parties (SC-5/2), paying special attention to gender balance. The Secretariat also advised the participants of any potential gender impacts of proposals developed for consideration by the COPs and took gender balance into account in proposing chairs of contact and other groups. On this latter point, 42% of the contact group's co-chairs were women at the 2019 BRS COPs.</p> <p>Integrated Approach: The meetings contributed to catalysing cooperation and coordination among Parties and relevant stakeholders at the regional level, including civil society and private sector, as relevant, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>

Programme heading	Conferences and meetings				
PoW number	Activity 4				
Title of activity	Eleventh meeting of the Basel Convention Open-ended Working Group				
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention				
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget				
Mandate	COP decision(s): Decisions VI/36 and BC-12/19 of the Basel Convention				
Activities and outcomes	<p>(1) Organization of the 11th meeting of the Basel Convention Open-ended Working Group:</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> The eleventh meeting of the Open-ended Working Group took place from 3 to 6 September 2018 in Geneva, Switzerland. 350 participants attended, representing 132 Parties, 1 non-Party, and 33 observer organizations and adopted 15 decisions. All information and the outcomes of the meeting are available on the meeting website.¹² The Secretariat prepared, translated and published pre-session, in-session and post-session meeting documents and posted them on the meeting website, and on the intranet of the meeting, as relevant. Pre-session documents were posted on the website by 2 July 2018, i.e. 2 months in advance of the meeting. The Secretariat prepared and dispatched invitation letters; registered participants and observers and organized travel of sponsored delegates. Thanks to financial contributions from Denmark, Finland, Germany, Japan, Norway, Sweden and Switzerland, over 90 delegates from developing country Parties and country Parties with economies in transition have been supported to participate in the meeting. Conference services, interpretation, logistics and other practical arrangements for the meeting were provided. The report of the meeting is available on the meeting website.¹³ Outreach and communications activities were conducted, including web announcements, press releases and briefings: a briefing to the Permanent Missions in Geneva was held on 12 June 2018; two online briefings (webinars) on the meetings were held in English on 13 and 20 August 2018. The Earth Negotiations Bulletin (ENB) covered the meeting. The Secretariat provided support to the Bureau and co-chairs of the Open-ended Working Group during the intersessional period and during the meeting. <p><u>Outcomes achieved:</u></p> <p>Arrangements for the 11th meeting of the Basel Convention Open-ended Working Group were made, meeting documents were prepared, and quality services provided. Parties from developing-countries and countries with economies in transition were supported to participate in the meeting and thus contributed to enhance an informed decision-making under the Convention.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Percentage of working documents made available to Parties in all working languages within deadlines: 93% Meeting facilities and services provided ensuring smooth running of the meeting. Participation of at least one representative per Party that is a developing country or country with economy in transition in the meeting: support was provided to over 90 delegates, Number of men/women within delegations and chairs of contact and other groups: 42% of Government delegates were women, 44% of Government delegations were composed of 50% or more of women and 40% of chairs/co-chairs of groups at the COPs were women. 				
<u>Budget performance for 2018-2019</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
374,982	319,650	-	-	-	-

¹²

[http://basel.int/TheConvention/OpenedWorkingGroup\(OEWG\)/Meetings/OEWG11/Overview/tabid/6258/Default.aspx](http://basel.int/TheConvention/OpenedWorkingGroup(OEWG)/Meetings/OEWG11/Overview/tabid/6258/Default.aspx)

¹³

[http://basel.int/TheConvention/OpenedWorkingGroup\(OEWG\)/Meetings/OEWG11/Overview/tabid/6258/Default.aspx](http://basel.int/TheConvention/OpenedWorkingGroup(OEWG)/Meetings/OEWG11/Overview/tabid/6258/Default.aspx)

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
669,512	323,781	-	-	-	-

Partner(s)	United Nations Office in Nairobi (UNON) Conference Services.
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring the full and effective participation of developing-country Parties, in particular least developed countries, small island developing States, and Parties with economies in transition improved the legitimacy of the decisions adopted by the Open-ended Working Group and encouraged the implementation of the Convention at all levels. As such, this activity contributed to the 2030 sustainable development agenda, which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision making, and to the specific chemicals and waste related Sustainable Development Goals.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the Secretariat encouraged a balanced gender representation within delegations in the nomination process of participants to the meetings and in the elections of the Bureau members of the Open-ended Working Group. In allocating funding, the Secretariat took into account the procedure for the allocation of funding from the Technical Cooperation Trust Fund (BD) for facilitating the participation of Parties in meetings of the Open ended Working Group (BC-10/28), paying special attention to gender balance. The Secretariat also advised the participants of any potential gender impacts of proposals developed for consideration by the Open-ended Working Group and took gender balance into account in proposing chairs of contact and other groups.</p> <p>Integrated Approach: The meeting of the Open-ended Working Group is the global forum which catalyses cooperation and coordination among all Parties and relevant stakeholders, including civil society and private sector, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>

Programme heading	Conferences and meetings
PoW number	Activity 5
Title of activity	Fourteenth and fifteenth meetings of the Rotterdam Convention Chemical Review Committee and orientation workshop for members of the Chemical Review Committee
Convention(s)	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> <i>Rotterdam Convention</i> <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 18 (paragraph 6), Article 5 and Article 6 of the Rotterdam Convention COP decision(s): Decision RC-8/1 of the Rotterdam Convention
Activities and outcomes	<p>(1) Organization of the 14th and 15th meetings of the Chemical Review Committee</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> The fourteenth and fifteenth meetings of the Chemical Review Committee were held at the headquarters of the Food and Agriculture Organization of the United Nations (FAO) in Rome, Italy, respectively from 11 to 13 September 2018 and from 8 to 10 October 2019, back to back with the fourteenth and fifteenth meetings of the Stockholm Convention Persistent Organic Pollutants Review Committee. Pre-meetings for intersessional task groups were held respectively on 11 September 2018 and on 7 October 2019. At its fourteenth meeting, the Committee reviewed draft decision guidance documents for acetochlor, hexabromocyclododecane and phorate, and notifications of final regulatory action for hexabromocyclododecane, methyl-parathion and perfluorooctanoic acid (PFOA), its salts and PFOA related compounds for inclusion in Annex III to the Rotterdam convention. At its fifteenth meeting, the Committee reviewed the draft decision guidance documents for perfluorooctanoic acid (PFOA), its salts and PFOA-related compounds, and notifications of final regulatory action for amitrole, decabromodiphenyl ether and nonylphenols and nonylphenol ethoxylates for their inclusion in Annex III to the Rotterdam Convention. All pre-session meeting documents, the report of the meeting and post-session documents were posted on the meeting website (www.pic.int/crc14 and www.pic.int/crc15). 70 participants (27 members, 43 observers) participated in the fourteenth meeting; and 59 participants (27 members, 32 observers) participated in the fifteenth meeting; the Secretariat prepared and dispatched invitation letters; registered participants and observers and organized travel of sponsored delegates. Outreach and communications (web announcements, press releases, briefings, webinars etc.) were arranged; ENB covered the meetings. Conference services were provided. Logistics, including meeting venue and UN security was provided. The Secretariat provided support to the Bureau and Chair of the Committee during the intersessional period and during the meeting. The secretariat supported the intersessional task groups and intersessional drafting groups established by the Committee during the intersessional period, as well as contact groups and drafting groups during the meeting. Staff from the Geneva office travelled to Rome to attend both meetings. <p><u>Outcomes achieved:</u></p> <p>Arrangements for the 14th and 15th meetings of the Chemical Review Committee were made, and quality services was provided. CRC members from developing-countries and countries with economies in transition were supported to participate in the meetings and thus to contributed to the scientific review of chemicals considered for listing in Annex III to the Convention. Meeting documents were prepared.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Percentage of working documents made available to members and observers within deadlines: 100% Meeting facilities and services were provided to ensure smooth running of the meetings. The travel of sponsored members was organized. <p>(2) Provision of orientation to incoming CRC members</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> An orientation workshop for CRC members took place at the FAO headquarters in Rome, Italy, from 16 to 18 April 2018.¹⁴ The workshop was attended by 24 of the 31 Committee's members.

	<ul style="list-style-type: none"> Plenary presentations, discussions and group exercises using case studies were held for new members to clarify their role and responsibilities in delivering expected outcomes according to the Committee’s mandate and working procedures. Additionally, the workshop also included a session on chairing and managing contact groups of the meetings. The orientation workshop was entirely funded by FAO Regular Program resources. Staff from the Geneva office travelled to Rome to attend the workshop. <p><u>Outcomes achieved:</u> The incoming CRC members had a clear understanding of the CRC processes and were prepared to effectively participate in the intersessional work and future meetings of the Committee thereby facilitating a smooth rotation of the membership.</p> <p>Information on the intersessional work of the Committee is presented under activity 21 (SC) “Scientific support to Parties to the Rotterdam Convention”. Information on activities to support effective participation of Parties and other stakeholders in the work of CRC and POPRC is presented under the activity 13: “Technical assistance and capacity development programme of the Basel, Rotterdam and Stockholm conventions”.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Travel of sponsored incoming members which terms of office start in May 2018 organized; High level of satisfaction among incoming members; Level of participation of new members in the intersessional work and in meetings: Several of the new members took up lead roles in the Committee immediately following the start of their term of office.
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Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	517,208	469,978	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	89,535	-	-	-
In-kind support					
Basel Convention		Rotterdam Convention		Stockholm Convention	
Expenditure		Expenditure		Expenditure	
-		65,242*		-	

* from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Food and Agriculture Organization of the United Nations (FAO)
Socio-economic aspects	<p>Sustainable Development Goals: In reviewing the chemicals proposed for listing in the Annex III to the Rotterdam Convention, the Committee highly relies on the information and comments provided by Parties, members and observers. By supporting the participation of members from developing countries and countries with economies in transition, this activity contributed to the 2030 sustainable development agenda, which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making, and to the specific chemicals and waste related Sustainable Development Goals.</p> <p>Gender mainstreaming: The members of the Committee are nominated by their governments and appointed by the Conference of the Parties on the basis of their expertise in chemicals management and equitable geographical distribution. Among the 31 Committee members 13 were female. In line with the BRS Gender Action Plan, in the nomination process of members, the Secretariat encouraged a balanced gender representation within the Committee.</p>

	<p>Integrated Approach:</p>
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	<p>The work of the Committee contributed to the mainstreaming of chemicals management into national development plans and budgets by increasing the information available on the chemicals under review and recommending their listing to promote shared responsibility and cooperative efforts in the trade and management of those chemicals to protect human health and environment. Observers, such as relevant NGOs and industry associations, participated in the work of the Committee and provided information and comments on the chemicals under review. As observer, the NGOs and industry are thus involved in the operations of the Committee.</p>
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Programme heading	Conferences and meetings
PoW number	Activity 6
Title of activity	Fourteenth and fifteenth meetings of the Stockholm Convention Persistent Organic Pollutants Review Committee
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> <i>Stockholm Convention</i>
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 19 (paragraph 6) and Article 8 of the Stockholm Convention COP decision(s): Decision SC-8/9 of the Stockholm Convention
Activities and outcomes	<p>(1) Organization of the 14th and 15th meetings of the POPs Review Committee</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> The fourteenth and fifteenth meetings of the Persistent Organic Pollutants Review Committee were held at the FAO headquarters in Rome, Italy, respectively from 17 to 21 September 2018 and from 1 to 4 October 2019, back to back with the fourteenth and fifteenth meetings of the Rotterdam Convention Chemical Review Committee. At its fourteenth meeting, the Committee considered, among others, a draft risk profile on PFHxS, its salts and PFHxS-related compounds; and strengthening its recommendation to the Conference of the Parties on listing PFOA, its salts and PFOA-related compounds. The Committee also considered the work related to the process for the evaluation of the continued need for various acceptable purposes and specific exemptions for PFOS, its salts and PFOSF listed in Annex B to the Stockholm Convention. At its fifteenth meeting, the Committee considered, among other things, a draft risk management evaluation on perfluorohexane sulfonic acid (PFHxS), its salts and PFHxS-related compounds and two proposals for listing new chemicals in Annexes A, B and/or C to the Convention: Dechlorane Plus and methoxychlor. The Committee also considered the work on the review of information related to specific exemptions for decabromodiphenyl ether and short-chain chlorinated paraffins in accordance with the processes specified in decisions SC-8/13 and SC-8/14. All pre-session meeting documents, reports of both meetings and all post-session documents were posted on the website (www.pops.int/poprc14 and www.pops.int/poprc15). 139 participants (29 members, 109 observers, 1 invited expert) participated in the fourteenth meeting; and 118 participants (30 members, 88 observers) participated in the fifteenth meeting; the Secretariat prepared and dispatched invitation letters; registered participants and observers and organized travel of sponsored delegates. Outreach and communications (web announcements, press releases, briefings, webinars, etc.) were arranged; ENB covered the meetings. Conference services were provided. Logistics, including meeting venue and UN security was provided. Interpretation was provided. The Secretariat provided support to the Bureau and Chair of the Committee during the intersessional period and during the meeting. Staff from the Geneva office travelled to Rome to attend both meetings <p><u>Outcomes achieved:</u></p> <p>Arrangements for the 14th and 15th meetings of the POPs Review Committee were made, and quality services was provided. POPRC members from developing-country and countries with economies in transition, were supported to participate in the meetings and thus to contributed to the scientific review of chemicals being proposed for listing and other technical work under the Convention. Meeting documents were prepared.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Percentage of working documents made available to members and observers within deadlines: 100%. Meeting facilities and services were provided to ensure smooth running of the meetings. Travel of sponsored members was organized. <p>(2) Provision of orientation to incoming POPRC members and participation of additional experts supporting the work of the Committee</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> Newly appointed experts whose terms of office were starting in May 2020 participated in the fifteenth meeting of the Persistent Organic Pollutants Review as observers. They were provided with the opportunity to participate in the meeting before their terms of office started, interacting with the current and out-going members and following the chemicals under review by the Committee. They also followed an orientation

session¹⁵ during the meeting to get themselves familiarized with the mandates, working procedures, processes and topics on the agenda of the Committee.

- Out of the 14 future members of the Committee whose terms of office were starting in May 2020, 1 was a continuing member and 12 participated in the fifteenth meeting as observers.
- Invitation letters for the meeting were sent in June 2019, and travel arranged for incoming Committee members.
- Financial support for the participation of the incoming POPRC members was provided by the Government of Germany.

Outcomes achieved:

The incoming members were provided information on the POPRC processes and were able to fully participate in the intersessional work and future meetings of the Committee thereby facilitating a smooth rotation of the membership.

Information on the intersessional work of the Committee is presented under activity 22 (SC) “Scientific support for Parties to the Stockholm Convention”. Information on activities to support effective participation of Parties and other stakeholders in the work of CRC and POPRC is presented under the activity 13: “Technical assistance and capacity development programme of the Basel, Rotterdam and Stockholm conventions”.

Evaluation as per the indicators of achievement:

1. The travel of sponsored incoming members whose terms of office started in May 2020 was organized.
2. Full participation of new members in the intersessional work and in meetings achieved thanks to the travel support provided to those experts to attend the meeting as observers prior to the start of their terms of office.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	952,962	789,013
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	111,552	30,672

Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Food and Agriculture Organization of the United Nations (FAO)
Socio-economic aspects	<p>Sustainable Development Goals: In reviewing the chemicals proposed for listing in the annexes to the Stockholm Convention, the Committee highly relies on the information and comments provided by Parties, members and observers. By supporting the participation of members from developing countries and countries with economies in transition, this activity contributed to the 2030 sustainable development agenda, which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making, and to the specific chemicals and waste related Sustainable Development Goals.</p> <p>Gender mainstreaming: The members of the Committee are nominated by their governments and appointed by the Conference of the Parties on the basis of their expertise in chemicals management and equitable geographical distribution. Among the 31 Committee members, 14 were female. In line with the BRS Gender Action Plan, in the nomination process of members and experts, the Secretariat encouraged a balanced gender representation within delegations.</p> <p>Integrated Approach: The work of the Committee contributed to the mainstreaming of chemicals management into national development plans and budgets by increasing the information available on the chemicals under review (risk assessment and risk management evaluation) and recommending their listing to the Convention to protect human health and environment. Observers, such as relevant NGOs and industry associations, participated in the work of the Committee and provided information and comments on the chemicals under review. As observer, the NGOs and industry are thus involved in the operations of the Committee.</p>

Programme heading	Conferences and meetings
PoW number	Activity 7
Title of activity	Meeting of the Bureau of the Conference of the Parties to the Basel Convention and joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions
Convention(s)	<input checked="" type="checkbox"/> <i>Basel Convention</i> <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	COP decision(s): Decisions VI/36, BC-11/24 and BC-11/25 of the Basel Convention
Activities and outcomes	<p>(1) Organization of the meeting of the Bureau of the Conference of the Parties to the Basel Convention and joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions:</p> <p><u>Activities implemented:</u></p> <p><i>Bureau Meeting</i></p> <ul style="list-style-type: none"> • The meeting of the Bureau of the Conference of the Parties to the Basel Convention took place from 19 to 20 June 2018, in Geneva, Switzerland. • The meeting was attended by eight Bureau members and two ex-officio members; namely the chair of the Implementation and Compliance Committee and one of the co-chairs of the Open-ended Working Group. Financial support (travel and DSA) was provided to members from developing countries and countries with economies in transition. • Documents for the Bureau meeting were made available on the Basel Convention website¹⁶ in May 2018. • Bureau members, among other things, discussed the preparation of the fourteenth meeting of the Conference of the Parties to be held back to back with the meetings of the conferences of the Parties to the Rotterdam and Stockholm conventions in 2019, and early preparations for the joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions on 15 and 16 November 2018 in Geneva. • The meeting report is available on the meeting website.¹⁷ <p><i>Joint Bureaux Meeting</i></p> <ul style="list-style-type: none"> • The joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions took place from 15 to 16 November 2018 in Geneva, Switzerland. Additionally, the Presidents of the three conferences of the Parties met on 14 November 2018 to prepare for the joint bureaux meeting. • The joint meeting of the bureaux was attended by 14 of the 15 invited bureaux members.¹⁸ Financial support (travel and DSA) was provided to members from developing countries and countries with economies in transition. • Documents for the joint bureaux meeting were made available on the meeting website in October 2018.¹⁹ • Bureaux members, among other things, agreed on the tentative schedule of work of the 2019 meetings of the conferences of the Parties. The bureaux also discussed other organizational matters related to these back-to-back meetings. • The meeting report is available on the meeting website.²⁰ <p><u>Outcomes achieved:</u></p> <p>Arrangements for the meeting of the Bureau of the Conference of the Parties to the Basel Convention and for the joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions were made and quality services provided. Members of the Bureau were able to provide guidance and advice to the Secretariat on the ongoing work under the Convention, as per COP mandate, and the organization of the next meetings of the conferences of the Parties to be held back-to-back. Meeting documents were prepared and published one month ahead of the meetings.</p>

¹⁶

<http://www.basel.int/TheConvention/ConferenceoftheParties/Bureau/COP14Bureau2018/Overview/tabid/6262/Default.aspx>.

¹⁷ Ibid.

¹⁸ In accordance with decisions BC-11/25 and SC-6/29, five of the ten members of the Basel and Stockholm conventions bureaux of the conferences of the Parties attended the joint bureaux meeting. A process was put in place by the Basel and Stockholm conventions bureaux to select these members, based on a number of criteria, including the availability of members to participate in the joint bureaux meeting, regional and sub-regional balance among bureaux members and gender balance.

¹⁹

<http://www.brsmeas.org/Decisionmaking/JointBureaux/JointBureauxMeetings/JointBureauxMeeting2018/Overview/tabid/7516/language/en-US/Default.aspx>.

²⁰ Ibid.

Evaluation as per the indicators of achievement:					
1. Meeting of the Bureau of the Conference of the Parties to Basel Convention was organized on 19-20 June 2018.					
2. Joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions was organized on 15-16 November 2018.					
3. Documentation for the meetings, including meetings reports, were made available on the meeting websites ²¹ .					
4. Number of men/women elected as Bureau members: 12 men, 3 women.					
5. Number of men/women selected to participate in the joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions: 4 men, 1 woman.					
Budget performance for 2018-2019					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
50,900	33,743	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	-	-

Partner(s)	N/A
Socio-economic aspects	<p>Sustainable Development Goals: In between sessions, the Bureau supported the Conference of the Parties through the provision of guidance and advice to the Secretariat regarding the ongoing work under the Convention, as per specific requests received by the COP, and the organization of the 2019 meeting of the Conference of the Parties. Bureau members represented their respective region and, as such, were pivotal to ensure good communication within regions and reporting to the Bureau the concerns that were specific to their regions. This contributed to ensuring informed participation of developing-country Parties and Parties with economies in transition in the meetings of the conferences of the Parties to the BRS conventions in 2019. As such, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the Secretariat encouraged a balanced gender representation during the elections of officers and in selecting bureaux members to attend joint bureaux meetings. Despite such efforts, only 20% of the members of the Bureau of the Conference of the Parties to the Basel Convention were women during that period and a similar ratio of 20% was also experienced regarding the participation of female Bureau members in the joint bureaux meeting. In particular, all three Presidents of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions in 2019 were male. The Secretariat has promoted gender as an important consideration in selecting members to attend the joint bureaux meeting and in elections of future bureaux members. Further efforts are needed to achieve gender balance among bureaux members.</p> <p>Integrated Approach: The meetings contributed to catalysing cooperation and coordination among Bureau members and the regions they represented, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>

²¹

<http://www.basel.int/TheConvention/ConferenceoftheParties/Bureau/COP14Bureau2018/Overview/tabid/6262/Default.aspx> and <http://www.brsmeas.org/Decisionmaking/JointBureaux/JointBureauxMeetings/JointBureauxMeeting2018/Overview/tabid/7516/language/en-US/Default.aspx>.

Programme heading	Conferences and meetings
PoW number	Activity 8
Title of activity	Meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention and joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions
Convention(s)	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> <i>Rotterdam Convention</i> <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	COP decision(s): Decisions RC-1/1 of the Rotterdam Convention
Activities and outcomes	<p>(1) Organization of the meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention and joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions:</p> <p><u>Activities implemented:</u></p> <p><i>Bureau Meeting</i></p> <ul style="list-style-type: none"> • The meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention took place from 26 to 27 June 2018, in Geneva, Switzerland. The Executive Secretary and Senior Staff from the Rome office travelled to Geneva to attend both meetings • The meeting was attended by five Bureau members and one ex-officio member; namely the chair of the Chemical Review Committee. Financial support (travel and DSA) was provided to members from developing countries and countries with economies in transition. • Documents for the Bureau meeting were made available on the Rotterdam Convention website²² in May 2018. • Bureau members, among other things, discussed the preparation of the ninth meeting of the Conference of the Parties to be held back to back with the meetings of the conferences of the Parties to the Basel and Stockholm conventions in 2019, and early preparations for the joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions on 15 and 16 November 2018 in Geneva. • The meeting report is available on the meeting website.²³ <p><i>Joint Bureaux Meeting</i></p> <ul style="list-style-type: none"> • The joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions took place from 15 to 16 November 2018 in Geneva, Switzerland. Additionally, the Presidents of the three conferences of the Parties met on 14 November 2018 to prepare for the joint bureaux meeting. • The joint meeting of the bureaux was attended by 14 of the 15 invited bureaux members.²⁴ Financial support (travel and DSA) was provided to members from developing countries and countries with economies in transition. • Documents for the joint bureaux meeting were made available on the meeting website in October 2018.²⁵ • Bureaux members, among other things, agreed on the tentative schedule of work of the 2019 meetings of the conferences of the Parties. The bureaux also discussed other organizational matters related to these back-to-back meetings. • The meeting report is available on the meeting website.²⁶ <p><u>Outcomes achieved:</u></p> <p>Arrangements for the meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention and for the joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions were made and quality services provided. Members of the Bureau were able to provide guidance and advice to the Secretariat on the ongoing work under the Convention, as per COP mandate, and the organization of the next meetings of the conferences of the Parties to be held back-to-back. Meeting documents were prepared and published one month ahead of the meetings.</p>

²²

<http://www.pic.int/TheConvention/ConferenceoftheParties/Bureau/COPBureau2018/Overview/tabid/6266/language/en-US/Default.aspx>.

²³ Ibid.

²⁴ In accordance with decisions BC-11/25 and SC-6/29, five of the ten members of the Basel and Stockholm conventions bureaux of the conferences of the Parties attended the joint bureaux meeting. A process was put in place by the Basel and Stockholm conventions bureaux to select these members, based on a number of criteria, including the availability of members to participate in the joint bureaux meeting, regional and sub-regional balance among bureaux members and gender balance.

²⁵

<http://www.brsmas.org/Decisionmaking/JointBureaux/JointBureauxMeetings/JointBureauxMeeting2018/Overview/tabid/7516/language/en-US/Default.aspx>.

²⁶ Ibid.

	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Meeting of the Bureau of the Conference of the Parties to Rotterdam Convention was organized on 26-27 June 2018. 2. Joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions was organized on 15-16 November 2018. 3. Documentation for the meetings, including meetings reports, were made available on the meeting websites²⁷. 4. Number of men/women elected as Bureau members: 4 men, 1 woman.
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Budget performance for 2018-2019					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	30,200	25,412	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	-	-

Partner(s)	N/A
Socio-economic aspects	<p>Sustainable Development Goals: In between sessions, the Bureau supported the Conference of the Parties through the provision of guidance and advice to the Secretariat regarding the ongoing work under the Convention, as per specific requests received by the COP, and the organization of the 2019 meeting of the Conference of the Parties. Bureau members represented their respective region and, as such, were pivotal to ensure good communication within regions and reporting to the Bureau the concerns that were specific to their regions. This contributed to ensuring informed participation of developing-country Parties and Parties with economies in transition in the meetings of the conferences of the Parties to the BRS conventions in 2019. As such, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the Secretariat encouraged a balanced gender representation during the elections of officers and in selecting bureaux members to attend joint bureaux meetings. Despite such efforts, only 1 out of 5 members of the Bureau of the Conference of the Parties to the Rotterdam Convention was a woman during that period. In particular, all three Presidents of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions in 2019 were male. The Secretariat has promoted gender as an important consideration in selecting members to attend the joint bureaux meeting and in elections of future bureaux members. Further efforts are needed to achieve gender balance among bureaux members.</p> <p>Integrated Approach: The meetings contributed to catalysing cooperation and coordination among Bureau members and the regions they represented, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>

²⁷

<http://www.pic.int/TheConvention/ConferenceoftheParties/Bureau/COPBureau2018/Overview/tabid/6266/language/en-US/Default.aspx> and <http://www.brsmeas.org/Decisionmaking/JointBureaux/JointBureauxMeetings/JointBureauxMeeting2018/Overview/tabid/7516/language/en-US/Default.aspx>.

Programme heading	Conferences and meetings
PoW number	Activity 9
Title of activity	Meeting of the Bureau of the Conference of the Parties to the Stockholm Convention and joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> <i>Stockholm Convention</i>
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	COP decision(s): Decisions SC-1/1 and SC-6/29 of the Stockholm Convention
Activities and outcomes	<p>(1) Organization of the meeting of the Bureau of the Conference of the Parties to the Stockholm Convention and joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions:</p> <p><u>Activities implemented:</u></p> <p><i>Bureau Meeting</i></p> <ul style="list-style-type: none"> • The meeting of the Bureau of the Conference of the Parties to the Stockholm Convention took place from 3 to 4 July 2018, in Geneva, Switzerland. • The meeting was attended by ten Bureau members. Financial support (travel and DSA) was provided to members from developing countries and countries with economies in transition. • Documents for the Bureau meeting were made available on the Stockholm Convention website²⁸ in May 2018. • Bureau members, among other things, discussed the preparation of the ninth meeting of the Conference of the Parties to be held back to back with the meetings of the conferences of the Parties to the Rotterdam and Stockholm conventions in 2019, and early preparations for the joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions on 15 and 16 November 2018 in Geneva. • The meeting report is available on the meeting website.²⁹ <p><i>Joint Bureaux Meeting</i></p> <ul style="list-style-type: none"> • The joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions took place from 15 to 16 November 2018 in Geneva, Switzerland. Additionally, the Presidents of the three conferences of the Parties met on 14 November 2018 to prepare for the joint bureaux meeting. • The joint meeting of the bureaux was attended by 14 of the 15 invited bureaux members.³⁰ Financial support (travel and DSA) was provided to members from developing countries and countries with economies in transition. • Documents for the joint bureaux meeting were made available on the meeting website in October 2018.³¹ • Bureaux members, among other things, agreed on the tentative schedule of work of the 2019 meetings of the conferences of the Parties. The bureaux also discussed other organizational matters related to these back-to-back meetings. • The meeting report is available on the meeting website.³² <p><u>Outcomes achieved:</u></p> <p>Arrangements for the meeting of the Bureau of the Conference of the Parties to the Stockholm Convention and for the joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions were made and quality services provided. Members of the Bureau were able to provide guidance and advice to the Secretariat on the ongoing work under the Convention, as per COP mandate, and the organization of the next meetings of the conferences of the Parties to be held back-to-back. Meeting documents were prepared and published one month ahead of the meetings.</p>

²⁸

<http://chm.pops.int/TheConvention/ConferenceoftheParties/Bureau/COP9Bureau2018/Overview/tabid/6260/Default.aspx>.

²⁹ Ibid.

³⁰ In accordance with decisions BC-11/25 and SC-6/29, five of the ten members of the Basel and Stockholm conventions bureaux of the conferences of the Parties attended the joint bureaux meeting. A process was put in place by the Basel and Stockholm conventions bureaux to select these members, based on a number of criteria, including the availability of members to participate in the joint bureaux meeting, regional and sub-regional balance among bureaux members and gender balance.

³¹

<http://www.brsmeas.org/Decisionmaking/JointBureaux/JointBureauxMeetings/JointBureauxMeeting2018/Overview/tabid/7516/language/en-US/Default.aspx>.

³² Ibid.

<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Meeting of the Bureau of the Conference of the Parties to Stockholm Convention was organized on 3-4 July 2018. 2. Joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions was organized on 15-16 November 2018. 3. Documentation for the meetings, including meetings reports, were made available on the meeting websites³³. 4. Number of men/women elected as Bureau members: 6 men, 4 women. 5. Number of men/women selected to participate in the joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions: 4 men, 1 woman. 																																																					
<p>Budget performance for 2018-2019</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="6" style="text-align: center;">General trust fund</th> </tr> <tr> <th colspan="2" style="text-align: center;">Basel Convention (BCTF)</th> <th colspan="2" style="text-align: center;">Rotterdam Convention (ROTF)</th> <th colspan="2" style="text-align: center;">Stockholm Convention (SCTF)</th> </tr> <tr> <th style="text-align: center;">Budget</th> <th style="text-align: center;">Expenditure</th> <th style="text-align: center;">Budget</th> <th style="text-align: center;">Expenditure</th> <th style="text-align: center;">Budget</th> <th style="text-align: center;">Expenditure</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">-</td> <td style="text-align: center;">-</td> <td style="text-align: center;">-</td> <td style="text-align: center;">-</td> <td style="text-align: center;">44,000</td> <td style="text-align: center;">33,296</td> </tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="6" style="text-align: center;">Voluntary trust fund</th> </tr> <tr> <th colspan="2" style="text-align: center;">Basel Convention (BDTF)</th> <th colspan="2" style="text-align: center;">Rotterdam Convention (RVTF)</th> <th colspan="2" style="text-align: center;">Stockholm Convention (SVTF)</th> </tr> <tr> <th style="text-align: center;">Funding estimate</th> <th style="text-align: center;">Expenditure</th> <th style="text-align: center;">Funding estimate</th> <th style="text-align: center;">Expenditure</th> <th style="text-align: center;">Funding estimate</th> <th style="text-align: center;">Expenditure</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">-</td> </tr> </tbody> </table>						General trust fund						Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)		Budget	Expenditure	Budget	Expenditure	Budget	Expenditure	-	-	-	-	44,000	33,296	Voluntary trust fund						Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)		Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure	-	-	-	-	-	-
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-	-	-	-	-	-																																																

Partner(s)	N/A
Socio-economic aspects	<p>Sustainable Development Goals:</p> <p>In between sessions, the Bureau supported the Conference of the Parties through the provision of guidance and advice to the Secretariat regarding the ongoing work under the Convention, as per specific requests received by the COP, and the organization of the 2019 meeting of the Conference of the Parties. Bureau members represented their respective region and, as such, were pivotal to ensure good communication within regions and reporting to the Bureau the concerns that were specific to their regions. This contributed to ensuring informed participation of developing-country Parties and Parties with economies in transition in the meetings of the conferences of the Parties to the BRS conventions in 2019. As such, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making.</p> <p>Gender mainstreaming:</p> <p>In line with the BRS Gender Action Plan, the Secretariat encouraged a balanced gender representation during the elections of officers and in selecting bureaux members to attend joint bureaux meetings. 40% of the members of the Bureau of the Conference of the Parties to the Stockholm Convention were women during that period while only 20% of Bureau members that participated in the joint bureaux meeting were female. In particular, all three Presidents of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions in 2019 were male. The Secretariat has promoted gender as an important consideration in selecting members to attend the joint bureaux meeting and in elections of future bureaux members. Further efforts are needed to achieve gender balance among bureaux members.</p> <p>Integrated Approach:</p> <p>The meetings contributed to catalysing cooperation and coordination among Bureau members and the regions they represented, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>

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<http://chm.pops.int/TheConvention/ConferenceoftheParties/Bureau/COP9Bureau2018/Overview/tabid/6260/Default.aspx> and <http://www.brsmeas.org/Decisionmaking/JointBureaux/JointBureauxMeetings/JointBureauxMeeting2018/Overview/tabid/7516/language/en-US/Default.aspx>.

Programme heading	Conferences and meetings				
PoW number	Activity 10				
Title of activity	Thirteenth meeting of the Basel Convention Implementation and Compliance Committee				
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention				
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget				
Mandate	Convention Article: Article 15 of the Basel Convention COP decisions: VI/12, BC-13/9, BC-13/24				
Activities and outcomes	<p>(1) Organization of the thirteenth meeting of the Basel Convention Implementation and Compliance Committee</p> <p>The Implementation and Compliance Committee held its thirteenth meeting in Geneva from 7 to 10 September 2018, followed by an online supplementary session on 30 October 2018. The Committee also held consultations with the Open-ended Working Group at its eleventh meeting, which was held in Geneva from 3 to 6 September 2018.</p> <p>In undertaking these activities, the Secretariat:</p> <ul style="list-style-type: none"> • Prepared and published pre-session meeting documents in consultation/cooperation with ICC members as appropriate; • Organized pre-meeting informal consultations on 28 July 2017, 21 November 2017 and 17 April 2018; • Prepared and dispatched invitations; registered participants and observers; • Organized the travel of sponsored members; • Organized the travel of sponsored Party representatives, thanks to financial contributions of the Governments from Germany and Switzerland; • Prepared outreach and communications (web announcement, briefings etc.); • Coordinated the meeting and provided assistance to the Chair and Bureau; • Prepared the meeting report;³⁴ • Conducted follow up communications with members, Parties and observers as decided by the Committee (e.g. 10 September 2018 letter inviting comments from Parties and observers). <p><u>Outcomes achieved:</u></p> <p>The arrangements for the 13th meeting of the Basel Convention Implementation and Compliance Committee (ICC) were made and quality services provided. Travel of ICC members from developing-countries and countries with economies in transition and of eligible Parties concerned by a submission was organized and meeting documents were prepared.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. 100% of meeting documents were prepared in consultation/cooperation with Committee members as appropriate and as per agreed deadlines 2. Meeting facilities and services were provided to ensure smooth running of the meeting 3. The travel of 8 sponsored members and, thanks to generous financial contributions from the governments of Germany and Switzerland, 9 Party representatives was organized 				
<u>Budget performance for 2018-2019</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
35,000	28,043	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
30,280	28,421	-	-	-	-

Partner(s)	N/A
Socio-economic aspects	<p>Sustainable Development Goals: By supporting the the participation of members and Parties from developing-country Parties and Parties with economies in transition in the meetings of the Committee, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making.</p> <p>Gender mainstreaming: The members of the Committee are nominated by Parties governments and elected by the Conference of the Parties on the basis of their expertise and equitable geographical distribution. Among the 15 Committee members of the ICC over the period 2018-2019, 11 were male and 4 were female. In line with the BRS Gender Action Plan, in the nomination process of members, the Secretariat has encouraged a balanced gender representation within the Committee.</p> <p>Integrated Approach: The meetings can contribute to catalysing coordination among relevant stakeholders within Parties, including civil society and private sector, as relevant, for an improved implementation and compliance with the Convention. Observers to meetings of the Conference of the Parties, such as relevant NGOs and industry associations, may participate in the open sessions of the meetings of the Committee. All observers were notified of the thirteenth meeting of the ICC. Observers from Mexico, Romania, the Convention on International Trade in Endangered Species (CITES) and the Minamata Convention on Mercury participated in that meeting.</p>

Programme heading	Conferences and meetings
PoW number	Activity 11
Title of activity	Orientation workshop for members of the Chemical Review Committee
	<i>This activity has been included under activity 5.</i>

Programme heading	Support the work of and coordination between the scientific bodies of the conventions
PoW number	Activity 12
Title of activity	Support for the work of and coordination between the scientific bodies of the conventions
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input checked="" type="checkbox"/> <u>Stockholm Convention</u>
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	COP decision(s): Decision BC-13/22 of the Basel Convention, RC-8/15 of the Rotterdam Convention and SC-8/25 of the Stockholm Convention; Synergies decisions (BC-IX/10, RC-4/11, SC-4/34)
Activities and outcomes	<p>(1) Implementation of a road map on from science to action</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> The Secretariat supported the joint intersessional working group on science to action consisting of 14 experts nominated through the respective bureaux representatives in further revising the draft road map. The Secretariat prepared the revised draft road map for consideration at 2019 BRS COPs.³⁵ The Secretariat developed awareness-raising materials and information tools related to the Basel, Rotterdam and Stockholm conventions that could be used to connect scientists, policymakers and other actors. The materials are available on the website of the conventions.³⁶ Thanks to the generous financial support from the Government of Germany, the Secretariat organized a sub-regional capacity-building workshop to enhance science-policy-industry interaction to support Parties in science-based decision-making for the implementation of the BRS Conventions. The sub-regional capacity-building workshop took place in Lagos, Nigeria from 28 to 30 January 2020.³⁷ <p><u>Outcomes achieved:</u></p> <p>The 2019 BRS COPs took note of the revised draft road map for further engaging Parties and other stakeholders in informed dialogue for enhanced science-based action in the implementation of the conventions. The COPs encouraged Parties and others to initiate action that promotes the implementation of the road map. The road map is expected to contribute to further mainstreaming science in policy making, in particular in developing countries and countries with economies in transition.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Guidance for enhancing science-based actions at regional and national levels is developed and made available to Parties and others: Revised draft road map for enhancing science-based actions at regional and national levels was developed and made available to Parties and others; Pilot testing and capacity-building workshops to address the gaps to scientific information and knowledge: One sub-regional capacity-building workshop on enhancing science-policy interaction was organized in Lagos and 26 delegates from twelve Anglophone African countries were trained. COP-9 decision adopted to implement new activities to enhance science-based action to implement the conventions: The 2019 BRS COPs adopted decisions to encourage the implementation of the revised road map to enhance science-based action to implement the conventions. <p>(2) Coordination among the scientific bodies of the conventions</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> The Secretariat ensured coordination between the scientific bodies of the conventions, including through the organization of back-to-back meetings of CRC and POPRC. Briefing and debriefing webinars were organized around the CRC and POPRC meetings in a coordinated manner and thus, facilitated cooperation and collaboration among the members of the committees. <p><u>Outcomes achieved:</u></p> <p>This activity strengthened collaboration and cooperation among the scientific bodies of the three conventions through coordinated technical and policy support. It enabled the bodies to discuss and share information and expertise on cross-cutting scientific, technical and policy issues.</p>

³⁵ UNEP/CHW.14/INF/40–UNEP/FAO/RC/COP.9/INF/35–UNEP/POPS/COP.9/INF/44.

³⁶ Link: <http://www.brsmeas.org/tabid/2645/language/en-US/Default.aspx>

³⁷ Although this activity took place in 2020, funds were received and in their majority disbursed in 2019 and the activity is thus included in the present report. For the workshop, see: <http://www.brsmeas.org/Implementation/TechnicalAssistance/Workshops/WorkshopLagos,NigeriaJan2020/tabid/8290/%20language/>.

	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Meetings of the scientific bodies held in a coordinated manner: CRC-14 and POPRC-14; CRC-15 and POPRC-15 were organized back to back. 2. Information and expertise on chemicals reviewed by both POPRC and CRC, e.g. PFOA and decaBDE, have been shared among the scientific bodies; 3. Four webinars and two workshops³⁸ enhanced the collaboration among the bodies.
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Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
40,000	21,150	40,000	21,488	40,000	21,738

Partner(s)	FAO, members and observers of the subsidiary bodies
Socio-economic aspects	<p>Sustainable Development Goals: The UN Sustainable Development Goal 3 of chemicals and wastes seeks to ensure healthy lives and promote well-being for all at all ages through substantially to reduce the number of deaths and illnesses from hazardous chemicals and air, water, and soil pollution and contamination. Supporting developing countries on user-friendly access to scientific information for informed decision making on hazardous chemicals facilitated achieving this goal.</p> <p>Gender mainstreaming: The implementation phase of the roadmap took into consideration social issues and gender equality. Gender was considered when specific activities were designed and during their implementation and evaluation in any follow-up projects.</p> <p>Integrated Approach: This activity was supportive of the integrated approach in recognizing the importance of the science-policy interface for the sound management of hazardous chemicals and wastes. The work of the scientific bodies contributes to the mainstreaming of chemicals management into national development plans and budgets by increasing the information available on the chemicals under review and recommending their listing to promote shared responsibility and cooperative efforts in the trade and management of those chemicals to protect human health and environment. Observers, such as relevant NGOs and industry associations, participated in the work of the Committee and provided information and comments on the chemicals under review. As observer, the NGOs and industry were thus involved in the operations of the scientific bodies.</p>

³⁸ Two joint regional workshops for enhancing the effective participation of Parties to the Rotterdam and Stockholm conventions in the work of the Chemical Review Committee of the Rotterdam Convention and the Persistent Organic Pollutants Review Committee were held in Brno, Czechia, and Jakarta, Indonesia, from 6 to 8 February 2018 and 11 to 13 November 2018 respectively. Please see the report under PoW activity 13 for further information.

2. Technical assistance and capacity-building

Programme heading	Technical Assistance and Capacity Building
PoW number	Activity 13
Title of activity	Technical assistance and capacity development programme of the Basel, Rotterdam and Stockholm conventions
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input checked="" type="checkbox"/> <u>Stockholm Convention</u>
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Convention Article: Articles 4, 10 and 16 of the Basel Convention; Articles 16 and 19 of the Rotterdam Convention; Articles 12 and 20 of the Stockholm Convention</p> <p>COP decision(s): BC-10/29, BC-13/11, BC-13/20 and BC-13/21; RC-5/12, RC-8/9, RC-8/13 and RC-8/14; SC-5/27, SC-8/9, SC-8/15, SC-8/23 and SC-8/24.</p>
Activities and outcomes	<p>Enhancing capacities of Parties to increase national coordination and cooperation for the implementation of the Basel, Rotterdam and Stockholm conventions</p> <p><i>Activities implemented:</i> A detailed report on joint technical assistance activities undertaken by the Secretariat in 2018 is set out in the document made available to the 2019 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions (UNEP/CHW.14/INF/36-UNEP/FAO/RC/COP.9/INF/29-UNEP/POPS/COP.9/INF/38).</p> <p><i>Cross-cutting activities</i></p> <ul style="list-style-type: none"> • A regional training workshop to strengthen the capacity of countries in the African region to implement the Basel and Rotterdam Conventions was held in Dakar, Senegal from 16 September to 19 September 2019 thanks to the generous financial contribution from the Government of France.³⁹ • Pilot project on enhancing capacities for data collection for the national reporting under the Basel and Stockholm conventions and SDGs in Moldova thanks to the generous financial contribution by the European Union.⁴⁰ • A training of potential chairs for meetings of Basel, Rotterdam and Stockholm conventions was held in Bratislava from 12 to 14 February 2019 thanks to the generous financial contribution by the Government of Germany.⁴¹ • A sub-regional training for Western African countries on enhancing skills of negotiators for meetings of the Basel, Rotterdam and Stockholm conventions and enhancing the effectiveness of the Rotterdam Convention by increasing the understanding of the listing process and the review of chemicals by the Chemical Review Committee was held in Dakar from 4 to 6 February 2020⁴² thanks to the generous financial contribution by the European Union and the Governments of Australia and Germany.⁴³ • Two case studies (in Bolivia and Kyrgyzstan) in two UN regions to identify and highlight the different impacts of hazardous chemicals and wastes on men, women and children and to promote the consideration of gender issues in hazardous chemicals and waste management at the national and regional levels thanks to the generous financial contribution by Sweden.⁴⁴ • Development of a BRS Gender Action Plan pocket guide thanks to the generous financial contribution by Sweden and launch during 2019 BRS COPs.⁴⁵ • Addition of the gender dimension to global and regional workshops. • Joint regional workshops for enhancing the effective participation of Parties to the Rotterdam and Stockholm conventions in the work of the Chemical Review Committee of the Rotterdam Convention and the Persistent

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<http://www.brsmeas.org/Implementation/TechnicalAssistance/Workshops/WorkshopDakar,SenegalSep2019/tabid/8106/language/en-US/Default.aspx>.

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<http://www.brsmeas.org/Implementation/TechnicalAssistance/Workshops/WorkshopMoldovaNov2019/tabid/8323/language/en-US/Default.aspx>.

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<http://www.brsmeas.org/Implementation/TechnicalAssistance/Workshops/WorkshopBratislava,SlovakiaFeb2019/tabid/7886/language/en-US/Default.aspx>.

⁴² Although this activity took place in 2020, funds were received and in their majority disbursed in 2019 and the activity is thus included in the present report.

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<http://www.brsmeas.org/Implementation/TechnicalAssistance/Workshops/WorkshopDakar,SenegalFeb2020/tabid/8231/language/en-US/Default.aspx>.

⁴⁴ <http://www.brsmeas.org/Gender/CaseStudies/Overview/tabid/5814/language/en-GB/Default.aspx>.

⁴⁵ <http://www.brsmeas.org/Gender/BRSGenderActionPlan/PocketGuide/tabid/7999/language/en-US/Default.aspx>.

Organic Pollutants Review Committee. The workshop for the Central and Eastern European region was held in Brno, Czechia, from 6 to 8 February 2018 thanks to the generous financial contribution by European Union and the Government of Germany and the workshop for the South-East Asian subregion was held in Jakarta from 11 to 13 November 2018 thanks to the generous financial contribution by European Union and the Government of Norway.⁴⁶

Impact assessment

- The Secretariat developed a draft monitoring and evaluation strategy for the technical assistance plan thanks to the generous financial contribution by Netherlands, taking into account the comments received from Parties and observers, for consideration at the 2019 BRS COPs (UNEP/CHW.14/INF/27–UNEP/FAO/RC/COP.9/INF/26–UNEP/POPS/COP.9/INF/26).

Needs Assessment

- The Needs Assessment database was kept up-to-date and available on-line⁴⁷.

Tools and methodologies

- The Secretariat developed, thanks to the generous funding by European Union, the E-waste Challenge Massive Open Online Course (MOOC) in cooperation with EIT Climate-KIC, the University of Leuven Belgium, with contributions from The EIT RawMaterials Academy, the International Telecommunication Union, the World Resources Forum and the contribution of the World Health Organization.⁴⁸
- Development of further tools and technical assistance materials, as well as their translation is considered in the reports on specific technical assistance activities.

Outcomes achieved:

Enhanced capacities of Parties to increase national coordination and cooperation for the implementation of the BRS conventions.

Evaluation as per the indicators of achievement:

- At least 20 Parties exchanged their best practices for the effective coordinated implementation of the Basel, Rotterdam and Stockholm conventions: Over 100 representatives of 38 Parties exchanged information and experiences in a regional training workshop to strengthen the capacity of countries in the African region to implement the Basel and Rotterdam Conventions in 2019.
- Institutional frameworks and information exchange mechanisms are established in at least two Parties: Projects initiated with four Parties in the Africa region including activities related to strengthening institutional frameworks and establishment or strengthening information exchange mechanisms.
- At least five Parties that participated in the technical assistance activities have addressed challenges related to the development of legislation and import/export procedures and/or illegal traffic: Projects initiated with four Parties in the Africa region including activities related to preventing and combatting illegal traffic and revision or development of legal and other measures to implement the Rotterdam and Basel Conventions, including interlinkages with other regional agreements.
- At least 20 Parties increased their knowledge on import/export procedures and/or illegal traffic: Over 100 representatives of 38 Parties participated in a regional workshop training on the control procedures under the Basel and Rotterdam Conventions, as well as the Basel Convention provisions on illegal traffic and illegal trade in hazardous chemicals. Follow up project activities with selected four Parties will provide country-specific technical assistance to increase knowledge of relevant stakeholders and strengthen trade control measures to improve prevention and efforts to combat illegal traffic and trade in chemicals and waste.
- Number of information submissions to the Chemicals Review and the POPs Review Committees by Parties, members and stakeholders in regions trained: CRC: 14 in 2018; 3 in 2019, POPRC: 69 in 2018, 58 in 2019;
- Cooperation between relevant agencies and statistical offices enhanced in at least two Parties: the project on SDGs and reporting in Moldova supported cooperation between the ministries and the national statistical office for better data collection.
- At least 20 Parties trained on chairing and negotiations: 25 participants from all UN regions were trained as potential chairs for meetings of Basel, Rotterdam and Stockholm conventions, and 22 participants from Western Africa were trained on negotiation skills for meetings of the Basel, Rotterdam and Stockholm

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<http://www.brsmeas.org/Implementation/TechnicalAssistance/Workshops/WSBrnoCzechRepublicFeb2018/tabid/6246/language/en-US/Default.aspx> and

<http://www.brsmeas.org/Implementation/TechnicalAssistance/Workshops/WSIndonesiaNov2018/tabid/7729/language/en-US/Default.aspx>.

⁴⁷ <http://www.brsmeas.org/Implementation/TechnicalAssistance/NeedsAssessment/tabid/4898/language/en-US/Default.aspx>.

⁴⁸ Expenditures for this activity have been recorded under activity 18 on partnerships for technical assistance. For further information, see:

<http://www.basel.int/Implementation/TechnicalAssistance/MOOC/tabid/4966/Default.aspx>.

	<p>conventions and on the Rotterdam Convention in particular on the listing process and the review of chemicals by the Chemical Review Committee.</p> <ul style="list-style-type: none"> • Number of Parties that are aware of the importance of integrating a gender issues when mainstreaming chemicals and wastes and BRS conventions into the national strategies and plans: 175 participants from 70 Parties and 18 Basel and Stockholm Convention regional centres were provided training. • Number of women trained on the participation in the global, regional and national decision-making in the BRS context: 19 female participants were trained on chairing and negotiating in BRS meetings. • Needs assessment database was updated on regular basis and available on the website of the BRS conventions.
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Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
516,000	102,485	636,500	150,769	637,500	193,119
In-kind support					
Basel Convention		Rotterdam Convention		Stockholm Convention	
Expenditure		Expenditure		Expenditure	
-		108,990*		-	

* from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

Partner(s)	<p>The activity was implemented in in close collaboration with the regional centers, which provided administrative support and technical input. The UNEP Chemicals and Health Branch, the Secretariat of the Minamata Convention on Mercury and SAICM were consulted on the activity when relevant. IOMC organizations were invited to participate in the workshops and projects. Other relevant key stakeholders, such as the UNEP and FAO Regional Offices, non-governmental organizations and private sector organizations, were invited to participate in the activity.</p>
Socio-economic aspects	<p>Sustainable Development Goals: The project supported directly SDG target 12.4 related to sustainable consumption and production where, by 2020, environmentally sound management of chemicals and all wastes should be achieved throughout their life cycle in accordance with agreed international frameworks and their release to air, water and soil should be significantly reduced to minimize their adverse impacts on human health and the environment. It further contributed to SDG target 2.4 to ensure sustainable food production systems and implement resilient agricultural practices that increase productivity and production; and to SDG target 3.9, to substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination. The project supported SDG target 17.9 by enhancing international support for implementing effective and targeted capacity-building in developing countries to support national plans to implement all the sustainable development goals, including through North-South, South-South and triangular cooperation</p> <p>Gender mainstreaming: All capacity development activities set out in activities 13 to 16 of the programme of work took into consideration social issues and gender equality. Gender was considered when specific activities were designed and during the implementation of activities and their evaluation. A basic gender analysis was conducted for gender relevant activities as part of the design phase.</p> <p>Integrated Approach: The capacity development activities are also supportive of the integrated approach to financing options for chemicals and waste, as it promotes industry involvement and mainstreaming of the objectives of the conventions into the broader development agenda.</p>

Programme heading	Technical Assistance and Capacity Building
PoW number	Activity 14
Title of activity	Training and capacity development activities under the Basel Convention
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Articles: Articles 4, 10 and 16 of the Basel Convention COP decisions: BC-13/11, BC-13/2-6, BC-13/8, BC-13/10, BC-13/18-22 and BC-13/14
Activities and outcomes	<p><u>Activities implemented:</u></p> <p>A detailed report on Basel Convention technical assistance activities undertaken by the Secretariat in 2018 is set out in the document made available to the 2019 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions (UNEP/CHW.14/INF/36-UNEP/FAO/RC/COP.9/INF/29-UNEP/POPS/COP.9/INF/38).</p> <p><i>Specific capacity development activities under the Basel Convention</i></p> <ul style="list-style-type: none"> • Control procedures under the Basel Convention <ul style="list-style-type: none"> ○ National training workshop to raise awareness and build capacity about the Basel Convention, the Ban Amendment and related national measures was organized in Antigua and Barbuda, on 12-13 March 2018, thanks to the generous financial support provided by the Government of Switzerland. The workshop built on advice and assistance provided to Antigua and Barbuda in ratifying the Ban Amendment, with a cross-section of national stakeholders involved in waste management invited to participate.⁴⁹ ○ A project on facilitating the entry into force of the Ban Amendment was undertaken in Comoros during the year 2017-2018, thanks to the generous financial support provided by the Government of Switzerland. <p>Webinars were conducted on the Basel Convention electronic reporting system on 11 and 13 December 2018</p> <p><u>Outcomes achieved:</u></p> <p>Increased capacities of Parties to implement control procedures and submit national reports under the Basel Convention.</p> <ul style="list-style-type: none"> • Environmentally sound management (ESM) of hazardous and other wastes <ul style="list-style-type: none"> ○ Two regional training workshops on ESM of e-waste and forums on their transboundary movements under the Basel Convention were organized, one in Beijing, China, on 21-24 January 2018, for the Asia-Pacific region,⁵⁰ and one in Buenos Aires, Argentina, on 6-9 November 2018, for Latin America and the Caribbean region⁵¹, thanks to the generous financial support from the European Union. ○ A training workshop on the ESM of POPs wastes, was organized, in cooperation with the Basel Convention regional centre located in Slovakia, in Bratislava, Slovakia, on 6-7 December 2018, thanks to the generous financial support from the European Union. ○ Two global workshops on the ESM of plastic wastes for the prevention of marine litter and plastic pollution were organized, one in Barcelona, Spain, on 3-5 April 2019, in cooperation with the Stockholm Convention regional centre located in Spain⁵² and a second one in Jakarta, Indonesia, on 19-21 June 2019⁵³, in cooperation with the Basel/Stockholm Convention regional centre located in Indonesia, thanks to the generous financial support from the Government of Sweden,). ○ Pilot projects on disseminating and applying tools and guidance on specific waste streams as well as practical guidance documents and manuals developed on environmentally sound management of

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<http://www.basel.int/Implementation/LegalMatters/BanAmendment/Workshops/BanWorkshopAntiguaandBarbuda2018/tabid/6292/Default.aspx>

⁵⁰ <http://www.basel.int/?tabid=6232>

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<http://www.basel.int/Implementation/TechnicalAssistance/Workshops/WSESMofEwaste2018/tabid/7672/Default.aspx>

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<http://www.basel.int/Implementation/TechnicalAssistance/EmergencyAssistance/WorkshopSpainApr2019/tabid/7944/Default.aspx>

⁵³ <http://www.basel.int/Implementation/Plasticwastes/WorkshopIndonesiaJun2019/tabid/8015/Default.aspx>

hazardous wastes and other wastes⁵⁴ were organized in 5 countries as follows: Mercury waste project with Panama, Paraguay and Peru, completed in 2018, and with Venezuela in 2019 (in coordination with BCCC Uruguay); E-waste project with Malaysia was completed in 2019.

- Thanks to the generous financial support from the Government of Norway, the project entitled “Marine litter and microplastics: promoting the environmentally sound management of plastic wastes and achieving the prevention and minimization of the generation of plastic wastes” was launched in December 2018, targeting Bangladesh and Ghana.⁵⁵

Outcomes achieved:

Through various training activities and provision of advice, upon request, Parties got strengthened capacities to manage hazardous and other wastes in an environmentally sound manner, including prevention and minimization of such wastes.

Capacity building activities upon request

- Pilot projects on the environmentally sound management of plastic waste in Bangladesh and Ghana.

Outcomes achieved:

Capacities of Parties for the development of national inventories and ESM strategies of hazardous and other wastes strengthened and submission rate of national reports from Parties that participated in the technical assistance enhanced.

Evaluation as per the indicators of achievement:

- At least 20 Parties are trained on transit, import/export restrictions or prohibitions, national definitions of hazardous wastes and experience of the use guidance on control of transboundary movements is shared between Parties: About 40 Parties were trained in the e-waste workshops.
- At least 40 Parties are trained on the environmentally sound management of e-waste, POPs waste, mercury waste or other hazardous wastes: In the workshop on POP wastes, about 15 Parties were trained. In the e-waste workshops, together, about 40 Parties were trained.
- At least three pilot projects on the development of national inventories of hazardous and other wastes are implemented: pilot projects are being implemented in Bangladesh and Ghana.
- Tools, guidance and manuals on specific waste streams as well as practical guidance documents and manuals disseminated or pilot tested and experiences shared by at least two Parties per year: Plastic waste inventory toolkit is being developed under the project in Bangladesh and Ghana.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
1,000,000	1,098,302	-	-	-	82,147

⁵⁴

<http://www.basel.int/Implementation/CountryLedInitiative/EnvironmentallySoundManagement/ESMToolkit/Pilotprojects/tabid/5846/Default.aspx>

⁵⁵

<http://www.basel.int/Implementation/Plasticwaste/Technicalassistance/Projects/BRSNorad1/tabid/8343/Default.aspx>

Partner(s)	Regional centres of the Basel and Stockholm conventions, FAO and UNEP regional offices, UNEP chemicals and wastes and other UNEP offices such as the International Environment and Technology Centre (IETC), including the Joint UNEP/ Office for the Coordination of Humanitarian Affairs (OCHA) Environment Unit, UNDP, UNITAR, SAICM secretariat and partners, WHO, NGOs, academia and the private sector.
Socio-economic aspects	<p>Sustainable Development Goals: The project contributed to sustainable development and in particular to the achievement of Goal 12. Ensure sustainable consumption and production patterns and targets 12.4 and 12.5: by 2030, substantially reduce waste generation through prevention, reduction, recycling, and reuse.</p> <p>Gender mainstreaming: All technical assistance activities took into consideration social issues and gender equality. Gender was considered when specific activities are designed and during the implementation of activities and their evaluation. A basic gender analysis will be conducted for gender relevant activities as part of the design phase.</p> <p>Integrated Approach: This activity is also supportive of the integrated approach to financing options for chemicals and waste as it promotes mainstreaming of the Basel Convention-related issues into development processes.</p>

Programme heading	Technical Assistance and Capacity Building
PoW number	Activity 15
Title of activity	Training and capacity development activities under the Rotterdam Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> <i>Rotterdam Convention</i> <input type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Articles 16 and 19 of the Rotterdam Convention COP decision(s): RC-8/9, RC-8/11, RC-8/15
Activities and outcomes	<p>(1) New and updated guidance documents under the Rotterdam Convention</p> <p><u>Activities implemented during the reporting period 2018-2019:</u></p> <p>A detailed report on Rotterdam Convention technical assistance activities undertaken by the Secretariat in 2018 is set out in the document made available to the 2019 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions (UNEP/CHW.14/INF/36-UNEP/FAO/RC/COP.9/INF/29-UNEP/POPS/COP.9/INF/38).</p> <ul style="list-style-type: none"> • The Guidance for the identification of elements of national action plans, more specifically the documentation used during relevant workshops, was updated and disseminated to workshop participants. Annex III, as amended by COP-9, was updated and included into the guidance on the PIC procedure and the implementation of the Rotterdam Convention. • Various guidance documents and publications were translated into official UN languages. The Resource Kit on the RC website was restructured and several brochures and videos⁵⁶ on technical assistance and on the work of the CRC produced. Guidance on the bridging information for final regulatory actions to Parties and others have been developed, disseminated and incorporated in the training workshops. This was funded by FAO Regular Program resources. • Guidance materials on vulnerable groups have been developed together with FAO’s Social Policies and Rural Institutions Division and disseminated. Strong emphasis has been placed in raising awareness on hazardous child labor, Occupational Safety and Health issues and on promotion of safe and sustainable agricultural practices. Surveys, desk studies and capacity development programmes have been implemented at national and regional level for reducing the adverse impact of pesticides on children’s health and development, exposed throughout their work in agricultural activities. Dialogue among national stakeholders (Ministries of agriculture, environment, labor, pesticides control boards) have been facilitated and their awareness on child labor and the protection of children and other vulnerable groups from pesticides increased. The following outputs have been produced: <ul style="list-style-type: none"> A. Video “Reducing Pesticide Risks and Promoting Alternatives in Guinea Bissau”⁵⁷, synthesizing the experience of pesticide use in Guinea-Bissau, exposure scenarios and vulnerable groups, use of alternatives and community empowerment. This video was produced in 2018 and financed by the European Union, under the framework of project EP/GLO/797/UEP (2017 to 2018), component 1 - Measuring the impact: From data collection to national decision-making process in three lusophone countries: Guinea-Bissau, Sao Tome and Principe and Cape Verde. B. World Day Against Child Labour – 12 June 2018 “Addressing Hazardous Child Labor in Agriculture”⁵⁸ – the Rotterdam Secretariat presented the following tools developed together with FAO’s Social Policies and Rural Institutions Division: <ul style="list-style-type: none"> ○ Visual facilitator’s guide in its many adaptations⁵⁹;

⁵⁶ See in general: <http://www.pic.int/Implementation/ResourceKit/tabid/1064/language/en-US/Default.aspx>. For specific information materials, please see: The brochure “Strengthening countries’ capacities through global information sharing” can be downloadable also here in the overview of TA in the website: <http://www.pic.int/Implementation/TechnicalAssistance/Overview/tabid/4105/language/en-US/Default.aspx>; the video on “Delivering technical assistance of the Rotterdam Convention: https://www.youtube.com/watch?v=wjAGB80_LL8&feature=emb_logo; the video on Reducing Pesticide Risks and Promoting Alternatives in Guinea Bissau: https://www.youtube.com/watch?v=Q1pZlqVC2VQ&feature=emb_title; The video on Falcons Controlling Africa’s feathered locust in Botswana: https://www.youtube.com/watch?time_continue=7&v=XLZWuOMCMU&feature=emb_logo; The video on Rotterdam Convention Chemical Review Committee: https://www.youtube.com/watch?time_continue=6&v=3uBRf9KbzwA&feature=emb_logo.

⁵⁷ See: https://www.youtube.com/watch?v=Q1pZlqVC2VQ&feature=emb_title

⁵⁸ See: <http://www.fao.org/webcast/home/en/item/4760/icode/>

⁵⁹ See: <http://www.fao.org/3/a-i3527e.pdf>

	<ul style="list-style-type: none"> ○ Pesticide management and child labor prevention eLearning course⁶⁰; ○ An example of use of these tools at country level; ○ Collection of data on children’s exposure and use of pesticides; ○ Building capacities of national authorities to consider children’s exposure to pesticides; ○ Part of broader efforts to phase out highly hazardous pesticides and promote alternatives. <p>C. Guidance materials disseminated and discussion via Vula Pesticide Discussion Forum - University of Cape Town’s Postgraduate programme on Pesticide Risk Management on “Addressing hazardous child labour and promoting safe and sustainable practices in agriculture by reducing the risks posed by pesticides” held by Rotterdam Convention Child Labour Specialist on 26 September 2019.</p> <p>D. Shared tools and delivered two presentations at the 32nd International Congress on Occupational Health (ICOH) in Dublin, Ireland, 29 April to 4 May 2018⁶¹: “Occupational Health and Wellbeing: linking research to practice”. The ICOH congress is one of the world’s largest international congresses in the field of occupational health and safety. Titles of the presentations: “Protecting youth from pesticides: Successes of the Rotterdam Convention in generating data and including youth in risk management programmes” and “Reaching youth in agriculture – Experience and tools from developing countries”. The participation was funded by FAO Regular Program resources.</p> <p>E. Presentation at the Occupational Safety and Health Africa Conference (OSH) in South Africa, Johannesburg, 18 to 20 September 2019⁶²: “Reducing risks posed by pesticides among vulnerable groups: Synergies and innovative tools to address safety and health measures in agriculture”. The OSH Africa Conference was the first event in Africa bringing together stakeholders from across Africa and beyond to discuss the existing challenges in Occupational Safety and Health in Africa. The participation was funded by FAO Regular Program resources.</p> <ul style="list-style-type: none"> • The Secretariat prepared additional information documents on alternatives to chemical substances and disseminated to Parties and others. This included information on alternatives to Endosulfan. Moreover, under a Letter of Agreement with Desert Locusts Control Organization (DLCO), alternatives to use of fenthion to control <i>Quelea quelea</i> bird were developed in Kenya, June to December 2018. • The Secretariat also produced a leaflet on the procedures under the Convention, in particular those leading to the listing of additional chemicals in Annex III, as well as the benefits and opportunities provided by the Convention⁶³. This activity was funded with the generous contribution of the Government of Switzerland. <p><u>Outcomes achieved:</u> Guidance documents for the Rotterdam Convention have been newly developed and existing ones updated, presented on an international level and widely distributed.</p>
	<p>(2) Functions and procedures of the Rotterdam Convention</p> <p><u>Activities implemented during the reporting period 2018-2019:</u></p> <ul style="list-style-type: none"> • During the reporting period 2018-2019, more than 74 workshops, training sessions and meetings were delivered, reaching out to more than 3,500 participants. In addition, FAO has initiated four projects in Africa from FAO country resources. National consultants in various regions were employed to work hand in hand with the Designated National Authorities (DNAs) in order to support them in the implementation of the Rotterdam Convention. These technical assistance activities covered the following areas: <ul style="list-style-type: none"> ○ Training workshop on compliance with export notification requirements for chemicals addressed by the Rotterdam Convention; ○ Training workshop on developing risk evaluations of hazardous chemicals and pesticides, take decisions on final regulatory action (FRAs) and preparing notifications; ○ Training workshops to support Parties to prepare Import Responses; ○ Training workshop on integrated approach on pesticide management both the work on identification of Severely Hazardous Pesticide Formulations (SHPF) and on Highly Hazardous Pesticides (HHPs) on the national level. • In the year 2018, the trainings and workshops from the list below covering the above-mentioned areas have been carried out. The activities below, unless otherwise indicated, have been funded by FAO Regular Program resource. <ul style="list-style-type: none"> ○ Joint ratification Workshop for three Caribbean Island States (Barbados, Grenada, St. Lucia) in Barbados (Bridgetown), 7-8 February 2018;

⁶⁰ See: <https://elearning.fao.org/course/view.php?id=299>

⁶¹ See: <http://icoh2018.org/2018/>

⁶² See: <http://www.oshafrica2019.com/>

⁶³ See: <http://www.pic.int/Implementation/ResourceKit/tabid/1064/language/en-US/Default.aspx>

- Workshop on FRA toolkit promotion for four Parties (Russia, Serbia, Armenia, Georgia) from Eastern Europe and Central Asia in Georgia (Tbilisi), 21-23 February 2018. This workshop has been funded by the European Union;
- Regional Workshop for 15 Southern African Development Community (SADC) countries on implementation of regional HHP guidelines, registration toolkit and taking of regulatory decisions and notifications of FRAs to the RC Secretariat, South Africa (Johannesburg), 5-8 March 2018;
- Training on FAO pesticides registration Toolkit for Maghreb countries (Tunisia, Algeria, Morocco) in Tunisia (Tunis), 12-16 March 2018;
- National Workshop on legal gap analysis and national baseline studies, alternatives to hazardous chemicals and FFS (Farmers Field Schools) implementation in Cabo Verde (Praia), 9-13 April 2018;
- CRC orientation workshop in Rome, Italy, 16-18 April 2018;
- Meeting in support of DNAs to develop capacities to submit import responses and notifications of FRA, Sri Lanka (Kandy), 23-27 April 2018;
- Training on RC obligations and national action plan workshop for Iraq, Jordan (Amman), 23-26 April 2018;
- Inception Workshop on monitoring fenthion effects on human health and the environment and raising awareness on less hazardous alternatives to fenthion in Tanzania (Dodoma), 6-10 May 2018;
- Sub-regional Training for six parties (Argentina, Chile, Bolivia, Brazil, Paraguay, Uruguay) on RC obligations & FAO Pesticide Registration toolkit, Uruguay (Montevideo), 7-11 May 2018;
- Training on RC obligations for twelve Caribbean countries (Barbados, Belize, Saint Lucia, Saint Vincent & Grenadines, Saint Kitts & Nevis, Trinidad & Tobago, Jamaica, Guyana, Dominican Republic, Cuba, Suriname) in the margins of the Meeting of the Coordinating Group of Pesticides Control Boards of the Caribbean (CGPC), Barbados (Bridgetown), 11-15 June 2018;
- National workshop on implementation of Rotterdam Convention with focus on notification of FRAs and SHPF proposals, Peru (Lima), 26-28 June 2018;
- Workshop on fostering South-South cooperation among five parties (Lesotho, Malawi, Mozambique, Eswatini, Tanzania), and training on the FRA toolkit and submission of FRA, Mozambique (Maputo), 10-12 July 2018;
- Inception Workshop on Article 6 (SHPF) - Field Survey Methodology and RC Operations Training for three countries (Gabon, Cameroun, Equatorial Guinea), Cameroon (Douala), 13-17 August 2018;
- Support to UNEP Special Program project "Establish sustainable national infrastructure for accession and support of the implementation of the Rotterdam Convention in the Republic of Belarus", Belarus (Minsk), 19 September 2018;
- National workshop on legal gap analysis and promotion of less hazardous alternatives to HHPs in Guinea Bissau (Bissau), 19-20 September 2018;
- SHPF pilot project inception workshop for Tunisia, Tunisia (Tunis), 26-27 September 2018;
- Inception Workshop on Article 6 (SHPFs): Capacity building on identification of SHPFs and promotion of alternatives to HHPs, Congo (Brazzaville), 6-10 October 2018;
- National Committee meeting on chemical risk management, China (Chengdu), 10-12 October 2018;
- Training on FAO pesticide registration toolkit, United Arab Emirates (Abu Dhabi), 11-19 October 2018;
- National evaluation workshop on implementation of RC in Peru, Peru (Lima), 11 October 2018;
- Training of four Andean Community countries (Bolivia, Colombia, Ecuador, Peru) on implementation of RC, equivalence and FAO Pesticide Registration toolkit, Peru (Lima), 15-20 October 2018;
- Training on the RC FRA Toolkit for four parties (Panama, Costa Rica, Ecuador, Brazil), Panama (Panama City) 22-26 October 2018. This workshop has been funded by the European Union;
- National consultation to identify elements of a National Action Plan for the implementation of the Rotterdam Convention, organized by the Secretariat of the Basel, Rotterdam and Stockholm Conventions in conjunction with the Basel Convention Regional Centre for the English speaking African countries based in South Africa (BCRC South Africa), Namibia (Windhoek), 5 -9 November 2018. This workshop has been funded by the German Government;
- Technical workshop with 10 countries (Afghanistan, Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan, Uzbekistan, Russian Federation, Armenia, Azerbaijan, Georgia) on supporting countries to ratify the RC and to follow up on the basic obligations under the Convention; Kyrgyzstan (Bishkek), 19-23 November 2018;
- National workshop on Implementation of RC in Montenegro (Podgorica), 27-28 November 2018;
- Training on alternatives to the use of hazardous chemicals under the Rotterdam Convention, São Tomé and Príncipe (Sao Tomé), 4-8 December 2018;
- Training on articles 5, 6 and 10, discussion of summary reports from national surveys and legal gap analysis, Cabo Verde (Praia), 11-13 December 2018.

- In 2019, the trainings and workshops from the list below covering the above-mentioned areas have been carried out. The activities below, unless otherwise indicated, have been funded by FAO Regular Program resource.
 - A National Stakeholder meeting to follow up on the national action plan for the implementation of the Rotterdam Convention, Lao People's Democratic Republic (Vientiane), 30 January 2019;
 - Inception Workshop on Monitoring HHPs and SHPFs, Lao People's Democratic Republic (Vientiane), 31 January-1 February 2019;
 - National consultation to identify elements of a National Action Plan for the implementation of the Rotterdam Convention, organized by the Secretariat of the Basel, Rotterdam and Stockholm Conventions in conjunction with the Basel Convention Regional Centre for the English speaking African countries based in South Africa (BCRC South Africa), Sierra Leone (Freetown), 18-22 February 2019. This workshop has been funded by the European Union;
 - National consultation and training workshop on the Rotterdam Convention and fostering collaboration of DNAs, Mexico (Mexico City), 8-11 April 2019;
 - National capacity building workshop on pesticide exposure and vulnerable groups, empty container management for *Salon Internationale de l'Agriculture au Maroc* (SIAMM), Morocco (Rabat), 10-13 April 2019;
 - Scoping mission for national capacity development activity together with FAO's Social Policies and Rural Institutions Division on eliminating hazardous child labour & promoting safe & sustainable practices at national level with specific focus on cocoa sector, Ghana (Accra), 27-31 May 2019;
 - Workshop on training and fostering collaboration between DNAs on the implementation of the Rotterdam Convention in the Maghreb countries (Algeria, Morocco and Tunisia), Morocco (Rabat), 24-27 June 2019;
 - Training and capacity building related to the recognition of asbestos-related diseases (diagnosis, surveillance and registration of mesothelioma cases), developing related legislation and promoting use of safer alternatives to asbestos, Philippines (Manila), 10-12 July 2019. This workshop has been funded by the European Union;
 - National training on the implementation of the Rotterdam Convention, Maldives (Malé), 22-24 July 2019;
 - Technical consultation meeting on preventing hazardous child labour and pesticide exposure in the cocoa sector, Ghana (Accra), 22 August 2019;
 - Facilitated Party-to-Party assistance by providing two experts from the EU to the National Committee meeting on chemical risk management, China (Shenyang), 26-28 August 2019;
 - Training on strengthening Capacities to monitor occupational exposure and to submit notifications for final regulatory actions (FRA) and to submit SHPFs proposal, as appropriate, Sri Lanka (Colombo), 16-19 September 2019;
 - Regional training workshop to strengthen the capacity of countries in the African region to implement the Basel and Rotterdam Conventions in cooperation with the Bamako convention, Senegal (Dakar), 16-19 September 2019. This workshop has been funded by France;
 - National workshop on FAO's Pesticide Registration Toolkit and Rotterdam Convention implementation, Mexico (Mexico City), 21-25 October 2019;
 - On behalf of the Secretariat, a Regional Expert attended the fourth Chemical Safety Conference with the title "Opportunities and challenges of policies, regulations and management of risks associated with the rational use of chemical substances at international level". The expert made a presentation on pesticides management and implementation of the Rotterdam Convention on international and national level, Nicaragua (Managua), 23-24 October 2019;
 - Capacity development workshop for agricultural extension workers in Ashanti region on child labour prevention, Occupational Safety and Health and improving pesticides management in the cocoa sector, Ghana (Kumasi), 23-24 October 2019;
 - Training on Strengthening capacities in Argentina to prepare Final Regulatory Actions (FRAs), Argentina (Buenos Aires), 4 October 2019;
 - Sub-regional Workshop on Pesticide Equivalence and the Rotterdam Convention, Argentina (Buenos Aires), 5-7 November 2019;
 - Multi-stakeholder workshop on a national road map for paraquat dichloride management and implementation of the Rotterdam Convention, Indonesia (Jakarta), 12-13 November 2019;
 - 31st meeting of the Asia and Pacific Plant Protection Commission (APPPC-meeting): Collaboration with regional partner on the technical assistance with the participation of 18 countries (Australia, Bangladesh, Cambodia, China, Fiji, India, Indonesia, Republic of Korea, Laos, Malaysia, Myanmar, Nepal, New Zealand, Pakistan, Philippines, Sri Lanka, Thailand and Tonga), Thailand (Chiang Mai), 25-29 November 2019;

	<ul style="list-style-type: none"> ○ Inception Workshop on Pesticide Incidences Monitoring and Occupational Exposure Study, Sri Lanka (Kandy), 2-4 December 2019; ○ Sub-Regional workshop on strengthening of decision-making capacity for lifecycle management of chemicals and in support of an increased number and improved quality of notifications of Final Regulatory Actions under the Rotterdam Convention for four African countries (Ethiopia, Eritrea, Rwanda and Tanzania), Kenya (Nairobi), 2-6 December 2019. This workshop has been funded by the European Union; ○ Training on monitoring SHPFs under the sub-regional FAO Technical Cooperation Project “Promoting sound pest and pesticide management in Botswana, Zambia and Zimbabwe”, Zambia (Lusaka), 7-10 December 2019; ○ National validation workshop of the pilot project on monitoring SHPFs, Tunisia (Tunis), 17 December 2019; ○ Field survey on SHPFs: Use of Pesticides and their link with incidents in human health under the framework “Strengthening of Capacities project in Bolivia for the Identification and Presentation of Proposals for Severely Hazardous Pesticide Formulations under the Rotterdam Convention”, Bolivia (La Paz), 9-13 December 2019. <p><u>Outcomes achieved:</u> Capacities of participating countries and parties to implement the Rotterdam Convention have been strengthened.</p> <p><i>Capacity building activities</i></p> <p><i>Medium term projects</i></p> <ul style="list-style-type: none"> ● During the reporting period, four Technical Cooperation Projects (TCPs) on developing national regulatory frameworks and sub-regional approaches in line with the requirements of the Rotterdam Convention and other training and capacity-building activities relating to the Rotterdam Convention have been initiated and/or finalized. These Projects have been all funded by FAO-Regular Program Resources and FAO Technical Cooperation Program Resources. <ul style="list-style-type: none"> ○ Capacity Building Program in identifying and monitoring incidents of Severely Hazardous Pesticides Formulations (SHPFs) and Highly Hazardous Pesticides (HHPs) and promoting necessary alternatives for SHPFs and HHPs in Gabon, Cameroon and Equatorial Guinea (start May 2018, end April 2020); ○ Support for strengthening capacities for the identification of Severely Hazardous Pesticides Formulations and Promotion of Alternatives to such pesticides in the Republic of Congo (start June 2018, end May 2020); ○ Promoting sound pest and pesticide management in Botswana, Zambia and Zimbabwe (start October 2018, end September 2020); ○ Promotion of safer alternatives to Severely Hazardous Pesticides Formulations (SHPFs) and creation of Organic crops producers Cooperatives for sale as Income Generating Activity (IGA) in Rwanda (start November 2019, end October 2020). ● In addition, thanks to the generous funding of the Government of Switzerland, two Parties in the Africa region were assisted in a project to strengthen national infrastructures, institutions and legal frameworks for the effective implementation of the Rotterdam Convention. <p><u>Outcomes achieved:</u> Capacity of participating parties has been increased to strengthen their national regulatory frameworks, to enhance national, regional and sub-regional cooperation and to facilitate full implementation of the Rotterdam Convention.</p>
	<p>Evaluation as per the indicators of achievement:</p> <ul style="list-style-type: none"> ● Guidance for development of national action plans applied by at least two Parties: <ul style="list-style-type: none"> ○ National Action Plans (NAPs) guidance was provided at the regional workshops for Southern Africa (Southern Africa Development Community, SADC), North Africa, as well as Asia (Asia and Pacific Plant Protection Commission, APPPC). ● Guidance on work with vulnerable groups made available to and applied in at least two pilot countries: <ul style="list-style-type: none"> ○ In <u>Ghana</u>: Capacity development programme for agricultural extension officers in Ashanti region on child labour prevention, OSH (Occupational Safety and Health) and improving pesticides management in the cocoa sector and beyond (October 2019): Agricultural stakeholders have an important role to play in addressing child labour, reducing children’s exposure to pesticides and improving occupational safety and health for all agricultural workers. This training was part of a capacity development programme administered by Ministry of Food and Agriculture and took place in the Ashanti region, a primary cocoa growing region. Field officers trained and ongoing dissemination of the tools among the farmers. Guidance and training material disseminated:

	<ul style="list-style-type: none"> ▪ E- learning course on “Pesticide management and child labour prevention”⁶⁴; ▪ Facilitator’s visual tool “Protect children from pesticides”⁶⁵. <ul style="list-style-type: none"> ○ In <u>Morocco</u> as part of the activities of the FAO project led by AGPMC (Pests and Pesticides Management Team): “Elimination of obsolete pesticides and implementation of the pest and pesticide management program in Morocco”, a full-day of discussion was delivered by Rotterdam Convention staff together with a colleague of UN Women regarding the impact of pesticides on human health with a focus on vulnerable groups, including rural women and girls, and capacity building activities were developed to build the capacities of FAO staff, UN Women and other UN agencies in all the pesticide life cycle management (including empty container management). ○ For the first time, FAO was present with other five UN agencies on a UN stand at the 16th edition of the International Agricultural Fair (SIAM) of Meknes in Morocco from 16 to 22 April 2019. This fair welcomed more than 1 million visitors and hosts more than 1700 exhibitors from 70 countries. <ul style="list-style-type: none"> • At least two Parties identified and evaluated chemicals for FRAs: The following eight Parties identified and evaluated chemicals for FRAs: Sri Lanka (PIC XLIX); China (PIC L); Mozambique (PIC L); Bosnia and Herzegovina (PIC XLIX; PIC L); Canada (PIC XLIX); European Union (PIC XLIX; PIC L); Uruguay (PIC L); Trinidad and Tobago (Annex III) (PIC XLVIII). • Ten parties prepared Import Responses, as needed: The following 33 Parties prepared Import Responses in the biennium 2018/2019: Maldives (PIC L); Saint Kitts and Nevis (PIC L); Costa Rica (PIC L); Zimbabwe (PIC XLIX; PIC L); Kyrgyzstan (PIC L); Mauritania (PIC L); Singapore (PIC L); Tunisia (PIC L); Canada (PIC XLIX; PIC L); Chad (PIC L); Bosnia and Herzegovina (PIC XLIX); Montenegro (PIC XLIX); Nepal (PIC XLIX); Suriname (PIC XLIX); Colombia (PIC XLIX); Equatorial Guinea (PIC XLIX); Suriname (PIC XLIX); Guyana (PIC XLIX); Macedonia (PIC XLIX); Argentina (PIC XLVIII); Australia (PIC XLVIII); Albania (PIC XLVIII); Burkina Faso (PIC XLVIII); Cameroon (PIC XLVIII); China (PIC XLVIII); European Union (PIC XLVIII); Guatemala (PIC XLVIII); Honduras (PIC XLVIII); Peru (PIC XLVIII); Serbia (PIC XLVIII); Sri Lanka (PIC XLVIII); Switzerland (PIC XLVIII); Eswatini (to be included in next PIC Circular); • At least two Parties have monitored and reported problems caused by Severely Hazardous Pesticide Formulations (SHPF) and have identified joint activities on identification of SHPFs and HHPs: This includes Gabon, Cameroun, Equatorial Guinea, Zambia, Zimbabwe, Botswana and Republic of Congo. • National regulatory frameworks and sub-regional approaches including National Action Plans are available in at least two countries: <ul style="list-style-type: none"> ○ Two countries took steps towards strengthening their legal and institutional frameworks for the implementation of the Rotterdam Convention – Eswatini and Zambia ○ Eight countries developed or updated national Action Plans for implementation of the Rotterdam Convention – Eritrea, Ethiopia, Namibia, Rwanda, Laos, Maldives, Sierra Leone and Tanzania. ○ Sub-regional approaches were developed in several regions: Africa (SADC), North Africa, as well as Asia (APPPC), CONOSUR and the Caribbean.
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⁶⁴ See: <https://elearning.fao.org/course/view.php?id=299>

⁶⁵ See: <http://www.fao.org/3/a-i3527o.pdf>

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	1,000,000	413,864	-	-
In-kind support					
Basel Convention		Rotterdam Convention		Stockholm Convention	
Expenditure		Expenditure		Expenditure	
-		579,148*		-	

* from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

Partner(s)	Partners involved included Regional centres of the Basel and Stockholm conventions, FAO regional, sub-regional and country offices, UNEP regional offices, UNEP, UNDP, UNIDO, WB, RDBs, GEF, SAICM, WHO and others as appropriate.
Socio-economic aspects	<p>Sustainable Development Goals: The main strategic linkages between FAO and UNEP towards the Sustainable Development Goals (SDGs) are in areas of human health and the environment. Technical Assistance delivered under the Rotterdam Convention during 2018-2019 supported directly SDG target 12.4 related to sustainable consumption and production. It further contributed to SDG target 2.4 to ensure sustainable food production systems and implement resilient agricultural practices that increase productivity and production; and to SDG target 3.9, to substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination. The TA activities supported SDG target 17.9 by enhancing international support for implementing effective and targeted capacity-building in developing countries, as well as SDG target 8.5 /8.8 on” decent work for all, women and men, migrants and youth, to promote safe and secure working environment” to support national plans to implement all the sustainable development goals, including through North-South, South-South and triangular cooperation</p> <p>Gender mainstreaming: The Technical Assistance activities took into consideration social issues and gender equality. Gender was considered when specific activities were designed and during the implementation of activities and their evaluation. A basic gender analysis will be conducted separately.</p> <p>Integrated Approach: This activity also supported the integrated approach to financing options for chemicals, waste, and sustainable agriculture as it promoted mainstreaming of the Rotterdam Convention-related issues into development processes.</p>

Programme heading	Technical Assistance and Capacity Building
PoW number	Activity 16
Title of activity	Training and capacity development activities under the Stockholm Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> <i>Stockholm Convention</i>
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 12 and 20 of the Stockholm Convention COP decision(s): Decision SC-8/15 and SC-8/8
Activities and outcomes	<p><u>Activities implemented:</u></p> <p>A detailed report on Stockholm Convention technical assistance activities undertaken by the Secretariat in 2018 is set out in the document made available to the 2019 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions (UNEP/CHW.14/INF/36-UNEP/FAO/RC/COP.9/INF/29-UNEP/POPS/COP.9/INF/38).</p> <p><i>Specific capacity development activities under the Stockholm Convention</i></p> <p>(1) National Implementation Plans (NIPs)</p> <ul style="list-style-type: none"> Guidance documents were developed and updated to assist Parties to develop and review their NIPs, inventories and management guidance for the newly listed POPs; thanks to the generous financial support by the European Union, the following guidance documents were revised/developed:⁶⁶ <p>Revised guidance:</p> <ul style="list-style-type: none"> Guidance on preparing inventories of pentachlorophenol and its salts and esters (PCP); Guidance on preparing inventories of polychlorinated naphthalenes (PCNs); Guidance on preparing inventories of hexachlorobutadiene (HCBD); Guidance on preparing inventories of hexabromocyclododecane (HBCD); Guidance on preparing inventories of prefluorooctane sulfonic acid (PFOS), its salts and perfluorooctane sulfonyl fluoride (PFOSF). <p>Developed guidance:</p> <ul style="list-style-type: none"> Preliminary draft guidance on preparing inventories of decabromodiphenyl ether (UNEP/POPS/COP.9/INF/18); Preliminary draft guidance on preparing inventories of short-chain chlorinated paraffins (UNEP/POPS/COP.9/INF/19); Draft general guidance on Persistent Organic Pollutants (POPs) inventory development (UNEP/POPS/COP.9/INF/19/Add.1). <ul style="list-style-type: none"> Training workshops were held from 28 to 30 May 2018 in Pretoria and from 19 to 21 June 2018 in Sao Paulo respectively on the review and updating of NIPs including on the use of relevant guidance, inventories, strategies, priorities, the effectiveness and sustainability of the plans and available tools, thanks to the generous financial contribution by European Union⁶⁷ Pilot projects on developing inventories of PCNs, SCCPs and HCBD were undertaken and stakeholders' consultation held to validate the inventories developed in Brazil and South Africa, thanks to the generous financial contribution by European Union⁶⁸. The Secretariat contributed, including by participating, in a global workshop that was organized by UNEP in collaboration with SCRC Uruguay on 23 and 24 October 2018 in Montevideo, Uruguay, to disseminate lessons learned and good practices identified within the NIP development, update and implementation processes at national level, thanks for the generous financial contribution provided by the GEF⁶⁹. <p><u>Outcomes achieved:</u></p> <p>Legal and institutional frameworks and national implementation plans (NIPs) were reviewed, updated and supported by guidance for newly listed chemicals.</p>

⁶⁶ <http://www.pops.int/NationalImplementationPlans/Guidance/tabid/7730/Default.aspx>.

⁶⁷ <http://chm.pops.int/NIPWorkshopSouthAfricaMay2015/tabid/7474/Default.aspx>;

<http://chm.pops.int/NIPWorkshopSouthAfricaMay2015/tabid/6359/Default.aspx>.

⁶⁸ <http://chm.pops.int/NIPWorkshopBrazilDec2019/tabid/8299/Default.aspx>;

<http://chm.pops.int/NIPWorkshopSouthAfricaOct2019/tabid/8300/Default.aspx>.

⁶⁹ <https://www.unenvironment.org/explore-topics/chemicals-waste/what-we-do/persistent-organic-pollutants/national-implementation-0>.

(2) Technical issues pertaining to the chemicals listed in the Convention⁷⁰

- The Secretariat continued its ongoing cooperation with UNEP and the DDT Global Alliance to implement the roadmap for the development of alternatives to DDT.
- Guidance documents on sound management of POPs were developed, updated, disseminated, and tested including for specific waste streams (e.g. PCB, BDEs, HBCD, PFOS, etc.).
- The use of the Toolkit for Identification and Quantification of Releases of Dioxins, Furans and Other Unintentional POPs was promoted.
- In line with the relevant decisions of the Conference of the Parties, the following guidance documents were revised/developed, thanks to the generous financial support by the European Union:

Revised guidance:

- Guidance on alternatives to hexabromocyclododecane (HBCD);
- Guidance on alternatives to pentachlorophenol (PCP);
- Guidance for the control of the import and export of POPs under the Stockholm Convention;
- Guidance on the labelling of products and articles that contain POPs.

Developed guidance:

- Preliminary draft guidance on alternatives to short-chain chlorinated paraffins (SCCPs) (UNEP/POPS/COP.9/INF/21);
- Preliminary draft guidance on alternatives to decabromodiphenyl ether (decaBDE) (UNEP/POPS/COP.9/INF/20);
- All guidance documents are available at <http://chm.pops.int/tabid/665/Default.aspx>.

Outcomes achieved:

Strengthened capacities of Parties to address technical issues pertaining to the chemicals listed in the Stockholm Convention.

(3) Capacity building activities on request

Guidance documents and technical assistance materials related to the environmentally sound management of industrial POPs and pesticides were developed and provided to Parties and other stakeholders. Parties were provided with up-to-date guidance to minimize and ultimately eliminate unintentional releases of POPs from various activities.

Outcomes achieved:

Strengthened capacities of Parties to apply best available techniques and best environment practices⁷¹ and increased number of Parties phased in safer and affordable alternatives for selected POPs.

Evaluation as per the indicators of achievement:

1. Two new inventory guidance and 2 alternative guidance on new POPs developed and 9 existing guidance on inventory and alternatives were updated, as requested by the relevant 2017 COP decisions.⁷²
2. In biennium 2018-2019, thirty-five Parties to the Convention have transmitted their initial as well as revised and updated NIPs. Among those 35 Parties⁷³:
 - Three Parties transmitted their initial NIP;
 - 29 Parties transmitted their NIP to address COP 4 and COP 5 amendments;
 - 27 Parties transmitted their NIPs addressing COP 6 amendments;
 - 18 more Parties transmitted their NIPs to address COP 7 amendments;
 - 10 more Parties transmitted their NIP to address COP 8 amendments;
 - 2 more Parties transmitted their NIP to address COP 9 amendments.
3. Two Parties collected and shared information on newly listed POPs⁷⁴.
4. Support was provided to Parties to implement the roadmap for the development of alternatives to DDT in cooperation with UNEP.
5. 26 Parties participated in the training on technical guidance related to the elimination of POPs⁷⁵.

⁷⁰ More information under each specific item related to the implementation of SC has been provided under Activity 22.

⁷¹ Further information on promoting Best Available Techniques (BAT) and Best Environmental Practices (BEP), POPs monitoring in light of the global monitoring plan (GMP) and other scientific and technical issues relating to SC implementation have been reported under Activity 22.

⁷² <http://www.pops.int/NationalImplementationPlans/Guidance/tabid/7730/Default.aspx>.

⁷³ <http://chm.pops.int/NIPTransmission/tabid/253/Default.aspx>.

⁷⁴ <http://chm.pops.int/NIPWorkshopBrazilDec2019/tabid/8299/Default.aspx>;
<http://chm.pops.int/NIPWorkshopSouthAfricaOct2019/tabid/8300/Default.aspx>.

⁷⁵ <http://chm.pops.int/NIPWorkshopSouthAfricaMay2015/tabid/7474/Default.aspx>;
<http://chm.pops.int/NIPWorkshopSouthAfricaMay2015/tabid/6359/Default.aspx>.

6. Case studies on alternatives to PCP implemented in two Parties⁷⁶
7. Guidance of best available techniques and best environment practices to minimize and ultimately eliminate releases of POPs has been updated and/or newly developed to address the newly listed POPs and made available to Parties.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	1,000,000	207,675

Partner(s)	Regional centres of the Basel and Stockholm conventions, FAO and UNEP regional offices, UNEP, UNDP, UNIDO, WB, RDBs, GEF, UNITAR, SAICM, WHO, etc.
Socio-economic aspects	<p>Sustainable Development Goals: This project contributed to Sustainable Development Goal (SDG) 3 on ensuring healthy lives (specifically Target 3.9: by 2030 substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination), as well as SDG 12 on ensuring sustainable consumption and production patterns (specifically Target 12.4: by 2020 achieve environmentally sound management of chemicals and all wastes throughout their lifecycle and minimizing their adverse impacts on human health and the environment). Increased capacity for proper use of chemical insecticides and the promotion of non-chemical alternatives in disease vector control by participating countries promotes the UN Sustainable Development Goal # 3 that seeks to ensure healthy lives and promote well-being for all at all ages by substantially reducing the number of deaths and illnesses from hazardous chemicals and air, water, and soil pollution and contamination. It also promoted Goal # 12 to ensure sustainable consumption and production patterns by achieving the environmentally sound management of chemicals and all wastes throughout their life cycle.</p> <p>Gender mainstreaming: The development and implementation of NIPs aims at reducing exposure to hazardous chemicals and thereby contributes to improving the health of vulnerable groups, such as women and children. Further, all technical assistance activities took into consideration social issues and gender equality. Gender was considered when specific activities were designed and during their implementation and evaluation. A basic gender analysis was conducted for gender relevant activities as part of the design phase. In any follow-up projects, Terms of references include gender-related obligations.</p> <p>Integrated Approach: This activity is supportive of the integrated approach to financing options for chemicals and waste as it promotes mainstreaming of the Stockholm Convention-related issues into the development processes. Many of the programmes were implemented in collaboration with UNEP Chemicals and Wastes Branch and WHO, for example those on DDT. Considerations was made to ensure that the activities also complemented and provided input into the proposed GEF-funded projects implemented in African countries and India.</p>

⁷⁶ <http://www.brsmeas.org/WSIndonesiaNov2018/tabid/7729/language/en-US/Default.aspx>.

Programme heading	Technical Assistance and Capacity Building
PoW number	Activity 17
Title of activity	Training and capacity-building activities to enhance the implementation of the Basel, Rotterdam, Stockholm conventions at the regional and national levels
	<i>This activity has been moved, to be included under activity 13.</i>

Programme heading	Technical Assistance and Capacity Building
PoW number	Activity 18
Title of activity	Partnerships for technical assistance
Convention(s)	<input checked="" type="checkbox"/> <i>Basel Convention</i> <input checked="" type="checkbox"/> <i>Rotterdam Convention</i> <input checked="" type="checkbox"/> <i>Stockholm Convention</i>
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: - COP decision(s): Decisions BC-13/12, BC-13/13, BC-13/14 and BC-13/11 of the Basel Convention, RC-8/9 of the Rotterdam Convention and SC-8/15 of the Stockholm Convention
Activities and outcomes	<p>(1) Partnerships for technical assistance</p> <p><u>Activities implemented:</u></p> <p><i>Household Waste Partnership</i></p> <ul style="list-style-type: none"> • A face-to-face meeting of the Household Waste Partnership working group was convened from 4 to 6 September 2019 in Trinidad and Tobago.⁷⁷ The meeting was organized in close cooperation with the BCRC-Caribbean and with generous financial support of the European Union and the Government of Norway. • Expert support was contracted for the development of modules and substantive work under the Household Waste Partnership, in the form of a BookSprint. • A comprehensive draft of the overall guidance document on the environmentally sound management of household waste⁷⁸, and a plan of action on pilot testing some of the modules as well awareness raising and the future of the Partnership were finalized. <p><i>Follow-up Partnership to PACE</i></p> <ul style="list-style-type: none"> • Interested Parties, signatories and others joined the working group of the follow-up Partnership, online meetings of the working group were organized and the terms of reference and programme of work were revised taking into account the comments received.⁷⁹ <p><i>ENFORCE</i></p> <ul style="list-style-type: none"> • The 3rd meeting of ENFORCE took place in Geneva, Switzerland from 15 May to 16 May 2018 thanks to the generous financial support from the Government of Norway. Members elected a new chair and a vice-chair, exchanged information on activities and identified activities that would benefit from being coordinated or jointly planned and implemented, and deliberated on the modalities for the operation of the network.⁸⁰ • The 4th meeting of ENFORCE took place in Geneva, Switzerland from 30 September to 01 October 2019 thanks to the generous financial support from the Government of Norway. Participants exchanged information on activities and identify activities that would benefit from being coordinated or jointly planned and implemented through the roadmap, and deliberated on the modalities for the operation of the network.⁸¹ <p><i>Other partnerships organized by partners</i></p> <ul style="list-style-type: none"> • Input to partnerships of others: the Secretariat has been engaged with various partners and participated or continued to participate in the partnerships led by stakeholders: CEWASTE, World Economic Forum Friends of the Ocean, Green Customs Initiative, WasteForce Project, H2020 EU project Health Environment Research Agenda for Europe (HERA), and the UN E-waste Coalition.

⁷⁷

<http://www.basel.int/Implementation/HouseholdWastePartnerships/Meetings/2ndHWP-Sep2019/tabid/8095/Default.aspx>.

⁷⁸

<http://www.basel.int/Implementation/HouseholdWastePartnership/OverallGuidanceDocument/tabid/8227/Default.aspx>.

⁷⁹

<http://www.basel.int/Implementation/TechnicalAssistance/Partnerships/FollowuptoPACE/tabid/8089/Default.aspx>.

⁸⁰

<http://www.basel.int/Implementation/LegalMatters/IllegalTraffic/Meetings/ENFORCE3GenevaSwitzerland2018/tabid/6358/Default.aspx>.

⁸¹<http://www.basel.int/Implementation/LegalMatters/IllegalTraffic/Meetings/ENFORCE4GenevaSwitzerland2019/tabid/8101/Default.aspx>.

<http://www.pic.int/Implementation/TechnicalAssistance/Workshops/WorkshopCambodiaAug2018/tabid/7930/language/en-US/Default.aspx>.

Cooperation with academia

- The E-waste Challenge Massive Open Online Course (MOOC) was developed by the Secretariat together with the European Institute for Innovation and Technology's Climate Knowledge and Innovation Community (Climate-KIC), the European Institute for Innovation and Technology's Raw Materials Knowledge and Innovation Community (Raw Materials-KIC), the International Telecommunication Union, KU Leuven and the World Resources Forum to be launched in February 2020.⁸²

FAO farm field schools and field demonstration plots

The below listed activities were implemented in partnership with specific teams of FAO, funded from their Regular Programme resources of FAO with contributions of the FAO Regular Programme resources of the Secretariat of the Rotterdam Convention.

- Farmer Field Schools on identification of alternatives to hazardous pesticides in Cambodian (Cambodia, 26 to 31 August 2018)⁸³.
- Integrated Pest Management (IPM): during the 15th meeting of the Chemical Review Committee a side event was organized in collaboration with FAO to promote FAO's work on IPM as sustainable alternatives to highly hazardous pesticides.
- Asian Pacific Plant Protection Commission (APPPC): At the 31th APPPC meeting from 25-29 November 2019 the Rotterdam Convention Secretariat presented the global and regional development and status of the Rotterdam Convention⁸⁴.
- Highly Hazardous Pesticides (HHPs): A training on the Rotterdam Convention in the framework of the Coordinating Group of Pesticides Control Boards of the Caribbean Meeting held in Bridgetown, Barbados was held from 11 to 15 June 2018. This was co-organized with the Pesticide Risk Reduction team (FAO – Pest and Pesticide Management Team - AGPMC) in FAO. Ongoing FAO Technical Co-operation Projects (TCP) in Lao PDR and Sri Lanka to strengthen countries' capacity in - identifying HHPs and SHPF through field survey.
- Pesticide tool kit: The Secretariat contributed to the development of the guidance on bridging information, a new section of the FAO Pesticide Registration Toolkit.⁸⁵ The Secretariat promoted the Toolkit, in particular the guidance on bridging information to the targeted audience at various occasions.
- From 5 – 8 December 2018, the Rotterdam Convention in FAO together with the FAO Team on Ecosystem approach to crop production intensification (AGPME) and the General Directorate of Environment of Sao Tome and Principe carried out a workshop with relevant national stakeholders on pesticide management and alternatives to the use of hazardous chemicals in São Tomé and Príncipe⁸⁶.
- In Cabo Verde, from 11 to 13 December 2018, a training for farmer field school facilitators was delivered to 24 participants to promote alternatives to hazardous chemicals and the implementation of farmer field school in the main agricultural islands.⁸⁷

Partnerships evaluation

- An evaluation of the impact of completed and ongoing partnerships and recommendations on opportunities to further develop partnerships under the Basel Convention was presented to the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions⁸⁸ which then instructed the Secretariat to assess the relevance of existing guidelines developed by the UN system on the mobilization of resources from non-State actors to inform possible future arrangements relating to this matter (decisions BC-14/28, RC-9/16 and SC-9/26) .

Plastic waste Partnership:

- A first online consultation was held in November 2019 in preparation of the first meeting of the Partnership working group.
- A high-level launch of the Partnership was organized in collaboration with the City of Geneva in November 2019 thanks to the generous financial support provided by the Government of Norway.

⁸² <http://www.basel.int/Implementation/TechnicalAssistance/MOOC/tabid/4966/Default.aspx>.

⁸³ <http://www.pic.int/Implementation/TechnicalAssistance/Workshops/WorkshopCambodiaAug2018/tabid/7930/language/en-US/Default.aspx>.

⁸⁴ <http://www.fao.org/3/bt744/bt744.pdf>.

⁸⁵ <http://www.fao.org/pesticide-registration-toolkit/en>.

⁸⁶ <http://www.pic.int/Implementation/TechnicalAssistance/Workshops/WorkshopSaoTom%C3%A9Dec2018/tabid/7887/language/en-US/Default.aspx>.

⁸⁷ <http://www.pic.int/Implementation/TechnicalAssistance/Workshops/WorkshopCaboVerdeDec2018/tabid/7890/language/en-US/Default.aspx>.

⁸⁸ UNEP/CHW.14/INF/33.

- The webpage of the Partnership was established.⁸⁹

Outcomes achieved:

Provision of secretariat services to the partnerships established by the COPs ensured continuous operation and timely delivery of outcomes and reports as expected by the COP decisions. Cooperation with other partnerships allowed the exchange of convention-related expertise with technical assistance programmes and networks of partners and their constituencies. Special aspects of pesticide management on farm level were promoted through projects and programme of FAO which led among others to the identification of alternatives to severely hazardous pesticide formulations. An important additional aspect that was promoted through cooperation in partnerships, is the increased linkage between the regional centres and members and networks of these partnerships for further positioning regional centres as project executing agencies. This activity has linkage to activity 13, 14, 15, 16 and 29.

Evaluation as per the indicators of achievement:

1. The COP approved work plan of the Household Waste Partnership is implemented: A comprehensive draft of the overall guidance document on the environmentally sound management of household waste was developed.
2. Information sharing web portal for the follow-up Partnership to PACE is set up: Website online⁹⁰; revised terms of reference and work programme of the follow-up partnership prepared.
3. Success stories, techniques, expertise and good practice reports are collected through ENFORCE and are available on the website: 2018⁹¹ and 2019⁹² meeting reports.
4. Number of partnerships in which the Secretariat participates as a member: 6 (CEWASTE, World Economic Forum Friends of the Ocean, Green Customs Initiative, WasteForce Project, H2020 EU project Health Environment Research Agenda for Europe (HERA), UN E-waste Coalition).
5. Number of capacity-building activities on the conventions developed and implemented by relevant academic partners: The E-waste Challenge Massive Open Online Course (MOOC) was developed together with the European Institute for Innovation and Technology's Climate Knowledge and Innovation Community (Climate-KIC), the European Institute for Innovation and Technology's RawMaterials Knowledge and Innovation Community (RawMaterials-KIC), the International Telecommunication Union, KU Leuven and the World Resources Forum.
6. Number of Farm Field Schools and Demonstration Plots supported: seven relevant activities.
7. Evaluation and outcome assessment report on partnerships is developed, translated and disseminated: the information document UNEP/CHW.14/INF/33 was presented to the 2019 COPs in English only.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
566,600	616,259	278,800	10,925	39,600	-
In-kind support					
Basel Convention		Rotterdam Convention		Stockholm Convention	
Expenditure		Expenditure		Expenditure	
-		58,547*		-	

* from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

⁸⁹ <http://www.basel.int/Implementation/Plasticwaste/PlasticWastePartnership/tabid/8096/Default.aspx>.

⁹⁰ <http://www.basel.int/Implementation/TechnicalAssistance/Partnerships/FollowuptoPACE/tabid/8089/Default.aspx>.

⁹¹ <http://www.basel.int/Implementation/LegalMatters/IllegalTraffic/Meetings/ENFORCE3GenevaSwitzerland2018/tabid/6358/Default.aspx>.

⁹² <http://www.basel.int/Implementation/LegalMatters/IllegalTraffic/Meetings/ENFORCE4GenevaSwitzerland2019/tabid/8101/Default.aspx>.

Partner(s)	Regional centres of the Basel and Stockholm conventions implementing partnership activities; the GEF secretariat, GEF implementing agencies, FAO (pesticides management team, social protection team and regional offices) and UNEP regional offices, UNEP Chemicals and Waste, UNDP, UNIDO, SAICM Secretariat, Minamata Convention Secretariat, WHO, WCO, other MEAs, WTO, academia, private sector and non-governmental organizations that are partners or observers in the partnership activities, European Commission.
Socio-economic aspects	<p>Sustainable Development Goals:</p> <p>The household waste, e-waste and other waste-related partnerships support in the medium term the implementation of SDG target 12.5 where, by 2030, waste generation should be substantially reduced through prevention, reduction, recycling and reuse. They also contribute to SDG target 11.6 by reducing the adverse environmental impact of cities paying special attention to municipal and other waste management.</p> <p>The partnerships with academia supported the implementation of SDG target 12.8 where, by 2030, people everywhere should have the relevant information and awareness for sustainable development, and SDG target 12.a where support should be provided to developing countries to strengthen their scientific and technological capacity to move towards more sustainable patterns of consumption and production.</p> <p>The FAO/European Commission co-funded activity contributed to SDG 2.4 to ensure sustainable food production systems and implement resilient agricultural practices that increase productivity and production that help maintain ecosystems, to SDG 3.9 to reduce the number of deaths and illnesses from hazardous chemicals, to SDG 8.8 to protect labour rights and promote safe and secure working environments for all workers including migrant workers, in particular women migrants, and those in precarious employment, to SDG 12.4 to achieve the environmentally sound management of chemicals to minimize their adverse impacts on human health and the environment, to SDG 16.8 to broaden and strengthen the participation of developing countries in the institutions of global governance.</p> <p>Gender mainstreaming:</p> <p>The household waste, e-waste and other waste related partnerships addressed gender differentiated target groups, as appropriate, e.g. with respect to waste segregation in the households, waste collection and processing behavior and waste workers safety and protection. The most vulnerable groups are women and children who form about 30% of the workforce in crude waste processing business. Mother's intake and body burden is transferred across the placenta and through breast milk. Women and girls are affected directly and as mothers of tomorrow.</p> <p>The training carried out in partnership with academia was open equally to men and women. The content of the courses was provided in a gender differentiated manner, e.g. gender specific risks to exposure to chemical emissions in the work place and at home.</p> <p>The work developed under the EU/FAO programme took into consideration the collect of data on different several groups and in particular women and aims at raising awareness on hazardous pesticides for family farmers through the identification of alternatives. Women empowerment and gender equality were the main criteria in involving beneficiaries and stakeholders in all the established activities and nation or regional workshop.</p> <p>Integrated Approach:</p> <p>The partnerships involved the Basel and Stockholm conventions regional centres in the execution of related projects and activities to build and strengthen regional expertise on chemicals and waste issues. All activities supported the implementation of the chemicals and wastes agenda and contribute to mainstreaming chemicals and waste issues at the regional and national levels.</p> <p>The partnerships related to waste streams and chemical's life cycles involve industry partners from the beginning in the set-up and implementation of the partnership activities. The partnerships built on technical and financial support from countries and the private sector so that their work programme including face-to-face meetings and pilot projects can be implemented in time. Information exchange networks and partnerships supported enforcement and chemicals and waste policy programmes of Parties and link to information from the private sector as appropriate.</p> <p>The development of the activities under EU/FAO involved cross-cutting elements that are all concurring to the sustainable production and protection of natural resources. Different stakeholders were involved form the social pillar aspect of sustainability as for example health centers and local NGOs.</p>

Programme heading	Technical Assistance and Capacity Building
PoW number	Activity 19
Title of activity	Coordination of and support for the Basel and Stockholm convention regional centres and cooperation and coordination between regional centres
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> <u>Stockholm Convention</u>
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 14 of the Basel Convention and Article 12 of the Stockholm Convention COP decision(s): Decision BC-13/11 of the Basel Convention and SC-8/15 of the Stockholm Convention
Activities and outcomes	<p>Coordination of and support for the Basel and Stockholm convention regional centres and cooperation and coordination between regional centres</p> <p><u>Activities implemented:</u></p> <p><i>Increasing coordination between activities undertaken by regional centres through the annual meetings of their directors</i></p> <ul style="list-style-type: none"> Two annual meetings of the directors of the Basel and Stockholm conventions regional centres were held receptively on 15 and 16 November 2018, and on 24 and 25 September in 2019 in Geneva, Switzerland. Both meetings were attended by more than 30 participants from 21 of 22 regional centres, and included, as observers, representatives of the Permanent Missions of several countries hosting regional. The meetings were also attended by the representative of the Secretariat of the Minamata Convention. More information is available at: www.brsmeas.org/JointRCsMeeting2018/tabid/7668/language/en-US/Default.aspx and www.brsmeas.org/JointRCsMeeting2019/tabid/8088/language/en-US/Default.aspx. <p><i>Other Support for Regional Centres</i></p> <ul style="list-style-type: none"> Thanks to the generous financial support provided by the Government of Norway, under the Small Grant Programme of the conventions, two projects were selected for implementation by the BCRC-SCRC Senegal and the BCRC-SCRC China. These projects aimed, respectively, at strengthening the capacity of selected Parties, mainly francophone African countries, on the management of e-waste, and of Parties selected from the Asia-Pacific region on the management of plastic waste. Thanks to the generous financial support provided by the Government of China, an updated online reporting platform integrating the submission of activity reports and the generation of performance evaluation reports was developed. The Secretariat participated remotely in the steering committee meeting of the following Basel Convention regional centres that discussed and endorsed the proposed business plans prepared by the respective centres: <ul style="list-style-type: none"> BCRC China on 22 March 2019; BCRC Caribbean on 18 June 2019. Thanks to the generous support provided by the Government of Switzerland, the Secretariat assisted: <ul style="list-style-type: none"> The representatives of the BCCC Nigeria, BCRC Indonesia, BCRC Argentina and BCRC Slovakia by organizing meetings with relevant agencies in Germany and Switzerland on the management of e-waste, which included an observation visit of the e-waste recycling facilities in the Netherlands, from 6 to 8 November 2019. The representatives of the BCRC Senegal and BCRC China to participate in the E-Waste World Conference, held on 14 and 15 November 2019, Frankfurt Germany. The Secretariat updated business plan⁹³/workplan⁹⁴ templates for 2020-2023 and activity reports 2019-2020⁹⁵. The Secretariat organized an online meeting of the sub-group of regional centres to develop the terms of references and the programme of work of the follow up partnership to the Partnership for Action on Computing Equipment (PACE), which took place on 18 December 2019. <p><u>Outcomes achieved:</u></p> <p>Parties to the Basel and Stockholm conventions were able to implement their obligations under these conventions due to technical assistance and capacity building support provided by the regional centres. The regional centres under the Basel and Stockholm conventions were provided opportunities to forge collaboration through coordination, and networking among themselves and with other relevant stakeholders by promoting the comparative advantage and specific expertise of each of these centres.</p>

⁹³ <http://www.basel.int/RegionalCentres/BusinessPlans/tabid/2336/Default.aspx>.

⁹⁴ <http://www.pops.int/RegionalCentres/Workplans/tabid/482/Default.aspx>.

⁹⁵ <http://www.basel.int/RegionalCentres/ActivityReports/tabid/2992/Default.aspx>;
<http://chm.pops.int/RegionalCentres/ActivitiesReports/tabid/4112/Default.aspx>.

	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Two annual meetings of the directors of the Basel and Stockholm conventions regional centres held in 2018 and 2019, both attended by 21 and 22 regional centres, during which the directors shared their fundraising experiences and agreed to furthering their collaboration such as in the areas of follow up partnership to PACE and other joint activities in the region. 2. The Secretariat provided guidance to the centres in preparing and submitting their workplans/business plans and activity reports, updated the relevant templates for doing so, and shared them with the centres. 3. The web portals of the regional centres were regularly updated and upgraded. Specifically, BCRC-SCRC Indonesia, BCRC-SCRC Senegal, SCRC India, SCRC Russia, and BCRC Caribbean communicated to the Secretariat updates to their websites. A regional node for the clearing house mechanism was established by BCRC-SCRC Senegal and the necessary toolkit to establish such nodes in other regions was developed.
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Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
44,150	48,081	-	-	44,150	47,815
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
300,000	66,857	-	-	300,000	49,158

Partner(s)	The activity was implemented for the regional centres in collaboration with various partners including UNEP's regional offices and the Chemicals and Wastes subprogramme coordinators, FAOs regional offices, the GEF Secretariat, the Special Programme Secretariat, the Minamata Convention Secretariat, network of National Cleaner Production Centres (NCPCs), bilateral donors, etc.
Socio-economic aspects	<p>Sustainable Development Goals: The project supported directly SDG target 12.4 related to sustainable consumption and production where, by 2020, environmentally sound management of chemicals and all wastes should be achieved throughout their life cycle in accordance with agreed international frameworks and their release to air, water and soil should be significantly reduced to minimize their adverse impacts on human health and the environment.</p> <p>Gender mainstreaming: Regional centres, in addition to their regular activities, were a training arm of the Secretariat in promoting the implementation of the conventions in the regions. The Secretariat continued to engage the centres in their gender-related activities so that the centres reached out to the regional, national and local stakeholders on the mainstreaming of gender into the chemicals and wastes management. Promotion of gender equality was one of the guiding principles in the selection of topics and discussion points for the annual meetings, as well as in the selection of proposals under the Small Grant Programmes. Participants were encouraged to be aware of cultural and social aspects when implementing national and regional projects. Multi-cultural and gender awareness was been promoted during the annual meetings.</p> <p>Integrated Approach: Many of the regional centres are located within universities and have a close interface with academia, industries and waste management authorities and hence they have direct relevance with the integrated approach. Further to this, the centres in collaboration with the beneficiary country were occasionally involved in the projects on national institutional strengthening that are approved by the special programme.</p>

3. Scientific and technical activities

Programme heading	Scientific and technical activities
PoW number	Activity 20
Title of activity	Scientific support for Parties to the Basel Convention
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Technical Guidelines for Environmentally Sound Management (ESM) of hazardous waste decisions BC-13/4, BC-13/5, BC-13/6 and BC-13/17 of the Basel Convention and decision SC-8/7 of the Stockholm Convention</p> <p>Scientific advisory support to Parties Decision BC-13/17 and OEWG-11/9 of the Basel Convention</p> <p>Cooperation with the World Customs Organization Decisions VIII/20 and BC-13/7 of the Basel Convention</p>
Activities and outcomes	<p>(1) Technical Guidelines for ESM of hazardous wastes</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • New and updated versions of technical guidelines on POP wastes were developed in consultation with the small intersessional working group on POPs wastes, and adopted by the COP at its fourteenth meeting (i.e. general technical guidelines, specific technical guidelines on SCCP, on U-POPs, on HCBd, and POP-BDEs to include –DecaBDE). Thanks to the generous financial support of the Government of Japan, the small intersessional working group on POPs waste met in Geneva, Switzerland, from 4 to 5 October 2018,⁹⁶ in order to finalize the development and updating of technical guidelines on POPs waste for COP-14 consideration. • The technical guidelines on used equipment and e-waste were revised thanks to the contribution made by the lead country (China) and the expert working group assigned to do so, and the revised document was adopted on an interim basis by COP-14. The expert working group held two face-to-face meetings (one in Beijing, China, from 25 to 26 January 2018,⁹⁷ thanks to the generous financial support of the Governments of China and Japan, and another in Geneva, Switzerland from 17 to 19 April 2018,⁹⁸ thanks to the generous financial support of the Government of Switzerland) in order to finalize the updates of the technical guidelines on e-waste for COP-14 consideration. • The technical guidelines on incineration on land (D10) and landfilling (D5) were updated, thanks to the contributions made by the co-lead countries (Argentina and Canada) and the small intersessional working group, and revised versions were presented to COP-14. The small intersessional working group worked electronically and held several teleconferences to advance its work.⁹⁹ • The technical guidelines adopted by COP-14 (on POP wastes and on used equipment and e-waste) were translated in the 6 UN languages and made available on the COP-14 webpage. <p><u>Outcomes achieved:</u> Coordinated development of technical guidelines on the ESM of different waste streams and on waste management technologies. Parties to the Basel and Stockholm conventions are better equipped with technical information on the sound management of chemicals and hazardous wastes, including on POPs waste.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Technical guidelines on the ESM of POPs waste are developed or updated, and made available to Parties and others: 5 documents were adopted at COP-14.

⁹⁶ <http://www.basel.int/Implementation/POPsWastes/Meetings/SIWGSwitzerland2018/tabid/7659/Default.aspx>

⁹⁷ <http://www.basel.int/Implementation/Ewaste/TechnicalGuidelines/Meetings/WorkshopChinaJan2018/tabid/6227/Default.aspx>

⁹⁸ <http://www.basel.int/Implementation/Ewaste/TechnicalGuidelines/Meetings/WorkshopGenevaApr2018/tabid/6288/Default.aspx>

⁹⁹ <http://www.basel.int/Implementation/SpeciallyengineeredlandfillandIncineration/Meetings/tabid/7626/Default.aspx>

	<p>2. Technical guidelines on different waste streams or technologies are developed or updated, and made available to Parties and others: Updated technical guidelines on incineration on land (D10) and landfilling (D5) made available to COP-14 and updated technical guidelines on used equipment and e-waste adopted at COP14.</p> <p>3. Number of women and men who are members of the small intersessional working groups: between 20-40% on average in all groups.</p> <p>(2) Scientific advisory support to Parties</p> <p><u>Activities implemented:</u></p> <p>The Secretariat provided scientific/technical advisory support to Parties on the technical aspects of the Basel Convention, upon request;</p> <p><u>Outcomes achieved:</u></p> <p>Sound advice was provided to Parties on technical issues related to the Basel Convention. Parties were better informed to make sound decisions regarding the management of chemicals and hazardous wastes.</p> <p>Evaluation as per the indicators of achievement:</p> <p>4. Scientific and technical advice is provided to Parties in need with the required quality level, to support them in implementing a life-cycle approach to sound chemicals and hazardous wastes management: the Secretariat responded to several queries by email on technical matters, related to transboundary movements of HW and OW.</p> <p>(3) Cooperation with the World Customs Organization</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • Consultants were engaged to provide technical advice on facilitating the inclusion of Basel wastes in the harmonized system and provided advisory support for the review of submissions by WCO Committees. <p><u>Outcomes achieved:</u></p> <p>Parties benefit from having coherence between the implementation of the Basel Convention and the WCO Harmonized Commodity Description and Coding System. Monitoring of the transboundary movements of hazardous and other wastes is facilitated. The goals of the Basel Convention are promoted through cooperation with other international organizations.</p> <p>Evaluation as per the indicators of achievement:</p> <p>5. Wastes covered under the Basel Convention are identified in the Harmonized System and collaboration with relevant international organizations is maintained, guaranteeing harmonization of common working areas (e.g. WCO HSC): amendments in respect to electrical and electronic waste and scrap were adopted by the World Customs Organization Council at its 133rd /134th sessions in June 2019. As a result, the seventh edition of the Harmonized System (HS2022) will contain codes that allow for the identification of two of the types of waste identified as being of higher priority in paragraph 3(a) of decision BC-14/9, namely electrical and electronic assemblies (B1110 and A1180) electrical and electronic waste and waste lead-acid batteries, whole or crushed (A1169), which was included as a sub-heading under electrical and electronic waste and scrap.</p>
	<p>(4) Control system for transboundary movements of hazardous wastes and other wastes</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • The Secretariat invited Parties, through a survey, to provide recent information on their experiences with electronic approaches to the notification and movement documents whose results were presented at the OEWG-11, for its consideration (UNEP/CHW/OEWG.11/INF/21). • The Secretariat prepared a document containing options for moving forward on this issue developed on the basis of the results of the survey, for the consideration of OEWG-11 (UNEP/CHW/OEWG.11/6). • Based on recommendations from OEWG-11, the COP-14 requested the Secretariat to prepare a report on experiences, at the national and international levels, in developing and implementing electronic systems for exchanging information on or controlling the movements of goods and wastes, and lessons learned from such experiences, for the consideration of OEWG-12.¹⁰⁰ The Secretariat engaged consultants to develop this report, thanks to the generous financial support from the Government of Germany. <p><u>Outcomes achieved:</u></p> <p>Parties gained a better understanding of possible measures to improve the implementation of the Basel Convention control system through the development of an electronic notification and movement system.</p>

¹⁰⁰ Although this activity is included in the programme of work and budget for the biennium 2020-2021, funds were received and in their majority disbursed in 2019 and the activity is thus included in the present report.

	<p>Evaluation as per the indicators of achievement:</p> <p>6. A report on options for moving towards electronic systems for transboundary movements for consideration of OEWG-11 was made available in document UNEP/CHW/OEWG.11/6 and the responses to the survey are summarized in document UNEP/CHW/OEWG.11/INF/21.</p>
	<p>(5) Issues related to waste containing nanomaterials and options for further work under the Basel Convention</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • Thanks to the generous financial support of the Government of Switzerland, consultants were engaged to develop a report on issues related to waste containing nanomaterials and options for further work under the Basel Convention • The report was submitted to OEWG -11 for consideration <p><u>Outcomes achieved:</u></p> <p>Parties gained an overview of issues related to waste containing nanomaterials, existing activities related to their management and options to address this issue within the framework of the Basel Convention.</p>
	<p>Evaluation as per the indicators of achievement:</p> <p>1. A report on issues related to waste containing nanomaterials and options for further work under the Basel Convention was developed for consideration of OEWG-11: made available in document UNEP/CHW/OEWG.11/INF/24</p>
	<p>(6) Issues related to marine plastic litter and microplastics</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • Thanks to generous financial support provided by the Government of Norway, the Secretariat engaged a consultant to develop a draft proposal for possible further actions to address marine plastic litter and microplastics, within the scope of the Basel Convention. <p><u>Outcomes achieved:</u></p> <p>The draft proposal for possible further actions to address marine plastic litter and microplastics was considered at the OEWG-11 and was further revised for consideration at COP-14. The COP-14 adopted decision BC-14/12 on amendments to Annexes II, VIII and XI to the Convention and decision BC-14/13 on further actions to address plastic waste under the Basel Convention.</p>
	<p>Evaluation as per the indicators of achievement:</p> <p>2. An overview of options available under the Convention to further address marine plastic litter and microplastics were presented at OEWG-11 and a draft proposal for possible further actions, within the scope of the Basel Convention, was developed for consideration at COP-14.</p>

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
275,000	261,288	-	-	20,000	14,889
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
235,000	120,572	-	-	-	-

Partner(s)	Parties, other relevant international organizations within the UN system, industry associations, NGOs, and other relevant stakeholders
Socio-economic aspects	<p>Sustainable Development Goals: The activity relates to SDG 12 on ensuring sustainable consumption and production patterns (specifically Target 12.4: by 2020 achieve environmentally sound management of chemicals and all wastes throughout their lifecycle and minimizing their adverse impacts on human health and the environment). It also relates to Sustainable Development Goal 3 on ensuring healthy lives (specifically Target 3.9: by 2030 substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination).</p> <p>Gender mainstreaming: Membership of the different small intersessional working groups is gender neutral, and participation of women is welcome. In line with the BRS Gender Action Plan, the Secretariat encouraged Parties and observers to take into account gender-related aspects in their nomination of participants, as well as when engaging consultants to carry-out the different activities. Additionally, information provided in the advice to Parties, and in the technical guidelines on the sound management of chemicals and hazardous wastes, and their impacts on human health and the environment, can assist in formulating policies to protect vulnerable populations including women and children.</p> <p>Integrated Approach: The small intersessional working groups under this activity follow a multi-stakeholder approach, where observers to the conventions, as well as civil society, academia and industry have an active role in discussions and negotiations on the work and on the documents produced.</p>

Programme heading	Scientific and Technical Activities
PoW number	Activity 21
Title of activity	Scientific support to Parties to the Rotterdam Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> <i>Rotterdam Convention</i> <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Articles 5, 6, 7, 10, 11, 12, 13 and 14 of the Rotterdam Convention COP decision(s): Decisions RC-7/1, RC-7/2 and RC-8/8 of the Rotterdam Convention
Activities and outcomes	<p>1) Information exchange and PIC procedure, including PIC Circular</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> The Secretariat produced, translated and published the PIC Circular. <p><u>Outcomes achieved:</u> Information submitted by Parties in accordance with various Articles was reviewed and addressed in a timely manner and shared through the PIC Circular.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> The PIC Circulars 47 to 50 were published, as scheduled, every six months, in June and December 2018 and 2019, respectively; Information on implementation of the PIC procedure and other Convention articles was made available to Parties by publishing information in the Convention's website including through the PIC Circulars.¹⁰¹ <p>(2) Support to Parties in increasing notifications of Final Regulatory Actions (FRAs)</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> Several activities were implemented within the biennium 2018/2019 as an ongoing process to update tools and guidances; From 22 to 26 October 2018 in Panama City, Panama, a sub-regional Designated National Authority (DNA) consultative meeting on the Final Regulatory Action (FRA) Evaluation Toolkit and other approaches under the Rotterdam Convention was held, with the generous support from the European Union. Panama, Costa Rica, Ecuador and Brazil participated; A consultant was hired to assist the Secretariat of the Rotterdam Convention in updating missing information related to 477¹⁰² notifications of final regulatory actions (FRAs) contained in the "PIC Database" and in the Rotterdam Convention's Document Management System (M-Files). <p><u>Outcomes achieved:</u> Tools and guidance for user-friendly access to scientific and technical information for sound management of hazardous chemicals and informed decision making were provided as necessary to enhance capacity at the national level on notifications of final regulatory actions.¹⁰³</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Tools and guidance are available to DNAs for the consideration of scientific and technical information: Missing information related to the FRA notifications was entered in the PIC Database with a special attention to chemicals' identifiers (CAS Numbers, trade names, common names, etc.) reported, hazard classification, description of physico-chemical properties, toxicological properties, eco-toxicological properties and other relevant information regarding the final regulatory action consequently making this information available and linking it to the FRA evaluation toolkit. General guidance on bridging on pesticide risk assessment was included in the resource kit and made available to Parties. Compilations of scientific, technical and export information exchanged by Parties on hazardous chemicals: FRAs supporting documentation provided together with the FRA notifications submitted to the Secretariat, was saved in the Document Management System and linked with the FRA evaluation toolkit to make it available to Parties. <p>(3) Support to the work of the CRC</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> The Secretariat facilitated the intersessional work of the CRC, in particular the review of Final Regulatory Actions and the drafting of Decision Guidance Documents.

¹⁰¹ See: <http://www.pic.int/?tabid=1168>; <http://www.pic.int/?tabid=1370>; <http://www.pic.int/?tabid=1368>.

¹⁰² See: <http://www.pic.int/?tabid=1181>

¹⁰³ The update of tools and guidance are on-going processes and thus, further activities are constantly planned.

	<p><u>Outcomes achieved:</u> Intersessional work of CRC on preliminary review of Final Regulatory Actions and drafting of Decision Guidance Documents was coordinated and facilitated. Support to the scientific work at the meetings of CRC was provided.</p> <p>Evaluation as per the indicators of achievement: 1. CRC-14 and CRC-15 were able to successfully deliberate on all chemicals under consideration.</p> <p>(4) Support the process for enhancing the effectiveness of the Rotterdam Convention</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • An online survey was conducted on priority actions to enhance the effectiveness of the Convention and key information gaps related to such actions between June and October 2017¹⁰⁴; • The Secretariat prepared, with the support of a consultant, a report analysing the legal and operational implications of the priority actions received through the online survey¹⁰⁵ and made it available for comments by Parties and observers in January-March 2018; • One meeting of the intersessional working group¹⁰⁶ was organized in Riga, Latvia, on 4-6 June 2018. The intersessional working group identified, on the basis of the report and comments received thereon, a set of prioritized recommendations for enhancing the effectiveness of the Convention and further steps for consideration by the Conference of the Parties at its ninth meeting¹⁰⁷. The meeting was kindly hosted by the Government of Latvia. Financial support for the activities was provided by Australia, Germany, Norway and the European Union, which allowed for funding the participation of working group members from developing countries and countries with economies in transition; • With the support of a consultant, the Secretariat then prepared background information for consideration by the ninth meeting of the Conference of the Parties, as requested by the intersessional working group; • The Resource Kit on the Rotterdam Convention website was repackaged to enhance the user-friendliness and amended with additional material and existing material in additional languages; • Activities to enhance the effectiveness of the Rotterdam Convention were mainstreamed in all technical assistance activities and trainings; • The Secretariat, with the support of a consultant, produced the video on the operation of the Chemical Review Committee to enhance the transparency of the work of the Committee.¹⁰⁸ <p><u>Outcomes achieved:</u> The intersessional working group identified recommendations to enhance the effectiveness of the Convention and further steps for consideration by the Conference of the Parties at its ninth meeting in the areas of the process of listing chemicals in Annex III of the Convention; information exchange, including through the clearing-house mechanism; capacity-building and technical assistance including development of guidance and awareness raising; and other processes, thus broadening the scope of the intersessional work beyond the effectiveness of the process of listing chemicals in Annex III. The recommendations were presented to the ninth meeting of the Conference of the Parties.</p> <p>Evaluation as per the indicators of achievement: 1. COP-9 decision adopted, including approaches for improving the effectiveness of the Convention: RC-9/2 on the operation of the Chemical Review Committee and RC-9/6 on enhancing the effectiveness of the Rotterdam Convention initiated a number of activities to enhance the effectiveness of the Convention, based on the recommendations developed by the intersessional working group.</p>
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¹⁰⁴ The responses are available at: <http://www.pic.int/tabid/6215/language/en-US/Default.aspx>.

¹⁰⁵ See <http://www.pic.int/tabid/6234/language/en-US/Default.aspx>.

¹⁰⁶ Information on the composition of the group is available at: <http://www.pic.int/tabid/6218/language/en-US/Default.aspx>.

¹⁰⁷ See: UNEP/FAO/RC/EFF.1/4.

¹⁰⁸ See: <http://www.pic.int/Implementation/PublicAwareness/MultimediaGallery/tabid/2251/language/en-US/Default.aspx>.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	60,000	60,232	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	130,000	200,993	-	-
In-kind support					
Basel Convention		Rotterdam Convention		Stockholm Convention	
Expenditure		Expenditure		Expenditure	
-		64,584*		-	

* from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

Partner(s)	FAO and UNEP regional offices are invited to collaborate in activities to provide guidance on scientific and technical matters and information sharing in sound management of hazardous chemicals and pesticides. OECD, NGOs and industry groups and involved in CRC work.
Socio-economic aspects	<p>Sustainable Development Goals: UN Sustainable Development Goal 3 seeks to ensure healthy lives and promote well-being for all at all ages. One of the key strategies to achieve this goal is to reduce the number of deaths and illnesses from direct and indirect exposure to hazardous chemicals. Supporting developing countries on user-friendly access to scientific information for informed decision-making on hazardous chemicals facilitated achieving this goal. Further, the activity on enhancing the effectiveness of the Rotterdam Convention contributed to the Goal 12 on ensuring sustainable consumption and production patterns.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the Secretariat, where appropriate, encouraged Parties and observers to take into account gender-related aspects in their nomination of participants to the intersessional working. Gender balance was taken into account in the elections of the co-chairs: one male and one female co-chair of the intersessional working group were elected.</p> <p>Integrated Approach: Industry is integrated in the intersessional process of the work of the CRC, its deliberations during the meetings and information exchange under the PIC procedure. Consultative processes were further strengthened between relevant national focal points and external partners on scientific and technical matters to enhance the capacity of decision-making by Designated National Authorities on Final Regulatory Actions and notifications on chemicals.</p>

Programme heading	Scientific and technical activities
PoW number	Activity 22
Title of activity	Scientific support for Parties to the Stockholm Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> <i>Stockholm Convention</i>
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Exemptions Article 4, Annexes A and B of the Stockholm Convention Decision SC-8/1 of the Stockholm Convention</p> <p>Scientific and technical work of Persistent Organic Pollutants Review Committee (POPRC) Article 8 of the Stockholm Convention Decision SC-8/9 of the Stockholm Convention</p> <p>Industrial POPs Articles 3, 6, Annexes A and B of the Stockholm Convention Decisions SC-8/3, SC-8/4, SC-8/5, SC-8/7, SC-8/10, SC-8/11, SC-8/12, SC-8/13 and SC-8/14 of the Stockholm Convention</p> <p>POPs pesticides Articles 3, 4, 15, Annexes A and B of the Stockholm Convention Decision SC-8/2 of the Stockholm Convention</p> <p>Unintentionally produced POPs (U-POPs) Article 5 and Annex C of the Stockholm Convention Decision SC-8/6 of the Stockholm Convention</p>
Activities and outcomes	<p>(1) Exemptions <u>Activities implemented:</u></p> <ul style="list-style-type: none"> • The Secretariat kept up-to-date the registers of various exemptions and related information on the website of the Stockholm Convention as well as the forms for notification of various exemptions; • The Secretariat provided technical advice and support to Parties, upon request, on matters related to exemptions; • The Secretariat provided support to review of information on specific exemptions for decabromodiphenyl ether (decaBDE) and short-chain chlorinated paraffins (SCCPs) in accordance with decisions SC-8/13 and SC-8/14. <p><u>Outcomes achieved:</u> The registers of specific exemptions and acceptable purposes were maintained and the notifications of closed system site limited intermediate and constituents of articles were managed on the Convention website in a timely manner. Various processes for the review of specific exemptions and acceptable purposes were supported.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. The registers of various exemptions were maintained on the website without delay. 2. Four factsheets on specific exemptions and acceptable purposes (Perfluorooctane sulfonic acid (PFOS), its salts and perfluorooctane sulfonyl fluoride (PFOSF), SCCPs, decaBDE and Perfluorooctanoic acid (PFOA), its salts and PFOA-related substances) were developed and made available online.¹⁰⁹ An online training module “Stockholm Convention exemptions” and the guidance on exemptions under the Stockholm Convention¹¹⁰ developed in 2017 were disseminated through technical assistance activities in 2018-2019. <p>(2) Scientific and technical work of POPRC <u>Activities implemented:</u></p> <ul style="list-style-type: none"> • The Secretariat provided scientific and technical support during POPRC-14 in 2018 and POPRC-15 in 2019 and the respective intersessional periods; • In 2019, the Secretariat received proposals for listing Dieldrin Plus and methoxychlor in Annex A, B and/or C to the Convention and verified them in accordance with paragraph 2 of Article 8; • The Secretariat supported the work of POPRC, including the intersessional working groups established by POPRC-14 and POPRC-15 to develop and finalize risk profiles and risk management evaluation documents.

¹⁰⁹ <http://chm.pops.int/Implementation/Publications/BrochuresandLeaflets/tabid/3013/Default.aspx>.

¹¹⁰ <http://chm.pops.int/Implementation/Exemptions/Guidance/tabid/7719/Default.aspx>.

	<p><u>Outcomes achieved:</u> Scientific and technical support was provided to POPRC during the meeting and the intersessional period. The Committee was able to adopt risk profiles and risk management evaluation documents for chemicals under review and made recommendations on listing.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. 10 scientific and technical documents were developed for POPRC. 2. 16 awareness raising materials on newly listed POPs and candidate POPs were developed and made available to Parties and other stakeholders <p>(3) Industrial POPs</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • Thanks to generous financial support provided by the European Union, the Secretariat developed the following: <ul style="list-style-type: none"> ○ Report on progress towards the elimination of polychlorinated biphenyls (PCB) (UNEP/POPS/COP.9/INF/10); ○ Recommendations of the small intersessional working group on PCB (UNEP/POPS/COP.9/6/Add.1); ○ Consolidated guidance on PCB in open applications (http://chm.pops.int/tabid/665/Default.aspx); ○ Report on the assessment of alternatives to perfluorooctane sulfonic acid (PFOS), its salts and perfluorooctane sulfonyl fluoride (PFOSF) (UNEP/POPS/POPRC.14/INF/13); ○ Report on the evaluation of PFOS, its salts and PFOSF (UNEP/POPS/COP.9/INF/12); ○ Preliminary draft guidance on alternatives to short-chain chlorinated paraffins (SCCPs) (UNEP/POPS/COP.9/INF/21); ○ Preliminary draft guidance on alternatives to decabromodiphenyl ether (decaBDE) (UNEP/POPS/COP.9/INF/20). • Thanks to generous financial support provided by the Government of the Netherlands, in addition to funds allocated from the general trust fund, the Secretariat initiated its work on the preparation of reports on the review of information on specific exemptions for decaBDE and SCCPs, as well as guidance on alternatives to fluorinated POPs. <p><u>Outcomes achieved:</u></p> <p>Activity 22 contributed to the evaluation of progress in the elimination of PCB, assessment of continued need for specific exemptions and acceptable purposes for PFOS, its salts and PFOSF, and phasing out of decaBDE and SCCPs.</p> <p>In decision SC-9/3, taking into account the report and the recommendations developed by the small intersessional working group on PCB, the Conference of the Parties urged Parties to step up their efforts, inter alia, by urgently implementing actions to meet the 2025/2028 goals of the Stockholm Convention and encouraged Parties to endeavour to identify, as soon as possible, PCB in open applications and to manage them in accordance with paragraph 1 of Article 6 of the Convention. The next PCB review will take place at COP-11 in 2023, which will be the last COP before the target year of 2025.</p> <p>For PFOS, its salts and PFOSF, in accordance with part III of Annex B to the Convention, the COP evaluates the continued need for PFOS, its salts and PFOSF for the various acceptable purposes and specific exemptions on the basis of available scientific, technical, environmental and economic information. The current activity supported the process set out in decision SC-6/4 adopted by the Conference of the Parties in order to undertake this evaluation. The Secretariat developed a report on the evaluation based on the information from national reports submitted by Parties, and the POPs Review Committee completed the report on the assessment of alternatives to PFOS, its salts and PFOSF.</p> <p>In decision SC-9/4, having considered the report on the evaluation of PFOS, its salts and PFOSF developed by the Secretariat based on the information from national reports and the report on the assessment of alternatives to PFOS, its salts and PFOSF as well as the recommendations developed by the POPs Review Committee, the Conference of the Parties adopted the amendments to the specific exemptions and acceptable purposes for PFOS, its salts and PFOSF in Annex B to the Convention. The reports and recommendations clearly indicated that technically and economically viable alternatives are available for all applications except for the following applications originally allowed as acceptable purposes: hard metal plating, fire-fighting foam, and control of leaf-cutting ants. The evaluation resulted in converting the three acceptable purposes into time-limited specific exemptions and removing all 12 applications originally allowed as specific exemptions.</p> <p>For the new POPs listed at COP-8 (decaBDE and SCCPs), preliminary guidance on alternatives were developed. DecaBDE and SCCPs are listed in Annex A with specific exemptions for various applications. Parties are encouraged to review the continued need for the use of decaBDE and SCCPs for those applications and register for</p>
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	<p>exemptions as soon as possible if such need has been identified. The guidance on alternatives to decaBDE and SCCPs supports Parties in identifying possible applications within their countries and applying alternatives to such applications.</p> <p>Sessions to address the elimination of BDEs in articles, including on approaches used by participating countries in undertaking an inventory of BDEs, were organized in conjunction with a workshop on the environmentally sound management of e-waste held in Beijing, China from 21 to 24 January 2018.¹¹¹</p>
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Information was collected and reports were developed to support COP in evaluating the progress made towards elimination of BDEs in articles, PFOS, and PCB and to review the continued need for the specific exemptions for BDEs and specific exemptions and acceptable purposes for PFOS. 2. Three guidance documents and technical assistance materials related to the environmentally sound management of industrial POPs were developed and provided to Parties and other stakeholders.
	<p>(4) POPs pesticides</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • The Secretariat organized, thanks to the generous financial support from the European Union and the Government of Switzerland, the seventh DDT expert group meeting to assess the continued need for DDT; • The experts developed the following documents for consideration by COP-9: <ul style="list-style-type: none"> ○ DDT expert group and its report on the assessment of scientific, technical, environmental and economic information on the production and use of DDT and its alternatives for disease vector control (UNEP/POPS/COP.9/INF/6); ○ Evaluation of the continued need for DDT for disease vector control and the promotion of alternatives to DDT; Conclusions and recommendations of the DDT expert group (UNEP/POPS/COP.9/5, annex); • Thanks to financial support provided by the European Union, the Secretariat contracted a consultant to develop a pocket guide on effective stakeholder engagement and promotion of safer and sustainable solutions for POPs pesticides which was under development at the time of the preparation of the present report.¹¹² • Secretariat participated in related stakeholder meetings and workshops to promote effective stakeholder engagement and promotion of safer and sustainable solutions for DDT and pentachlorophenol (PCP). <p><u>Outcomes achieved:</u></p> <p>The evaluation of continued need of DDT for disease vector control by the DDT expert group was finalized and presented to Parties well in advance to COP-9. Stakeholder engagement was prioritized and safer and sustainable solutions promoted for the environmentally sound management of POPs pesticides in particular DDT and PCP and its salts and esters. The scientific content of technical assistance materials related to the environmentally sound management of POPs pesticides were developed and the relevant technical assistance activities were supported.</p>
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. The seventh DDT expert group meeting was organized to assess the continued need for DDT for disease vector control and the promotion of alternatives to DDT and a report with conclusions and recommendations was presented to COP-9. 2. The work on supporting and collaborating with stakeholders in the environmentally sound management of POPs pesticides continued. 3. A pocket guide on effective stakeholder engagement, a report by the DDT Expert Group and a report on technical assistance activities related to the environmentally sound management of POPs pesticides were developed and provided to Parties and other stakeholders.
	<p>(5) U-POPs</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • The Secretariat supported the experts on the Toolkit and on Best Available Techniques (BAT) and Best Environmental Practices (BEP) in the process for review and update of the guidelines and guidance in accordance with the workplan; • The Secretariat organized, thanks to the generous financial support from the European Commission, two expert meetings on the Toolkit and on BAT and BEP in 2018 and 2019 respectively, in collaboration with the Basel Convention Regional Centre for Training and Technology Transfer for Central Europe in Slovakia; • The experts have developed the following documents for consideration by COP-9: <ul style="list-style-type: none"> ○ Report on the review and update of the Toolkit and of the guidelines and guidance on best available techniques and best environmental practices relevant to Article 5 of and Annex C of the Stockholm Convention (UNEP/POPS/COP.9/INF/15);

¹¹¹ <http://www.basel.int/?tabid=6232>.

¹¹² As payment of this contract was only done in January 2020, it is not included in the present expenditure report.

	<ul style="list-style-type: none"> ○ Draft guidance on best available techniques and best environmental practices for the production and use of pentachlorophenol listed with specific exemptions under the Stockholm Convention (UNEP/POPS/COP.9/INF/16); ○ Conclusions and recommendations on the Toolkit and on best available techniques and best environmental practices (UNEP/POPS/COP.9/9, annex I). <p><u>Outcomes achieved:</u> Parties have been provided with up-to-date guidance to minimize and ultimately eliminate unintentional releases of POPs from various activities as listed in Annex C but also in Annex A parts III-V and Annex B part III of the Stockholm Convention, as well as guidance to develop and maintain release inventories of the POPs listed in Annex C. The information contained in the guidance assists Parties to meet the requirements of Article 5 of the Convention, and take measures towards minimization of releases of POPs.</p>
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<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Two expert meetings were organized to support the work on updating of the guidance on Best Available Techniques (BAT) and Best Environmental Practices (BEP) and the Toolkit over the 2018-2019 biennium. 2. The guidelines on BAT and BEP were updated with information relevant to the newly listed POPs: The listing in Annex C of polychlorinated naphthalenes and hexachlorobutadiene was addressed through the updating of existing guidance and new guidance on BAT and BEP for production and use of pentachlorophenol has been developed. 3. The work on the Toolkit was completed and the relevant information and guidance made available to Parties and others. 4. The Toolkit and BAT and BEP experts were involved in the development of the scientific content of technical assistance materials related to unintentional releases of POPs and relevant technical assistance activities.
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Budget performance for 2018-2019					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	135,000	141,701
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	372,000	250,110

Partner(s)	WHO, UNEP Chemicals and Waste Branch, FAO pesticide management division, Regional Centres of the Basel and Stockholm conventions, UNIDO, OECD, NGOs, industry groups
Socio-economic aspects	<p>Sustainable Development Goals: The activities contributed to delivering of the post-2015 development agenda and relevant sustainable development goals, in particular through promoting healthy lives and well-being by environmentally sound management of chemicals and minimizing and ultimately eliminating releases of POPs and related exposure to hazardous chemicals.</p> <p>Gender mainstreaming: The activities considered involvement of stakeholders from wide range of groups and took into account gender aspects throughout the planning and implementation phase. The development and implementation of various guidance aimed at reducing exposure to hazardous chemicals and thereby contributed to improving the health of vulnerable groups, such as women and children.</p> <p>Integrated Approach: The activities contributed to the implementation of the integrated approach to addressing the financing of the sound management of chemicals and wastes in particular through enhancing industry's involvement in information gathering and developing guidance and reports, mainstreaming chemicals and waste issues at the regional and national levels.</p>

Programme heading	Scientific and technical activities
PoW number	Activity 23
Title of activity	Effectiveness Evaluation and the Global Monitoring Plan
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> <i>Stockholm Convention</i>
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 16 of the Stockholm Convention COP decision(s): Decisions SC-8/18 and SC-8/19 of the Stockholm Convention
Activities and outcomes	<p>(1) Effectiveness evaluation</p> <p><u>Activities implemented:</u> The Secretariat revised the framework for effectiveness evaluation in the light of the report of the effectiveness evaluation committee. The revised framework for effectiveness evaluation (UNEP/POPS/COP.9/20/Add.1) was adopted by COP-9 (decision SC-9/17).</p> <p><u>Outcomes achieved:</u> This activity resulted in a revised framework for effectiveness evaluation according to the conclusions and recommendations of the effectiveness evaluation committee.</p> <p>Evaluation as per the indicators of achievement: The framework for effectiveness evaluation was revised (UNEP/POPS/COP.9/20/Add.1) and adopted by COP-9 (decision SC-9/17)</p> <p>(2) Global monitoring plan</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • The Secretariat organized a meeting of the global coordination group and the regional organization groups for the global monitoring plan, in Brno, Czechia, from 30 May to 1 June 2018, to develop the regional strategies for the implementation of the global monitoring plan in the five United Nations regions, and to ensure that arrangements and tools are in place for the preparation of the third monitoring reports, including enabling regional organization group members to work with the global monitoring plan data warehouse. • The Secretariat also organized a meeting of the global coordination group for the global monitoring plan, in Geneva, Switzerland, from 15 to 17 October 2019. The focus of the meeting was on the arrangements (and tools) in place for the preparation of the third monitoring reports and for the updating of the GMP guidance document that are expected to be submitted to COP-10. Among the topics discussed: the progress on regional implementation of the third phase global monitoring plan, including data availability for inclusion in the GMP-3 reports, data handling, analysis and interpretation for GMP-3, and ongoing strategic partnerships and activities planned towards GMP-4, as well as new information relevant to the update of the GMP guidance document, and sustainability considerations. • The GMP guidance document was updated to include considerations regarding newly listed substances. The draft updated guidance on the global monitoring plan for persistent organic pollutants, prepared by the global coordination group, was submitted to COP-9 in document UNEP/POPS/COP.9/INF/36. • The Secretariat provided support for sustainable monitoring activities in core media in regions with limited monitoring capacity, in cooperation with established air monitoring programmes, and further training and capacity-building activities by means of cooperation with strategic partners, thanks to generous financial support provided by the European Union and the Global Environment Facility. • Also with support provided by the Global Environment Facility, the United Nations Environment Programme (UNEP) has been implementing four regional projects on the global monitoring plan in the regions of Africa, Asia and the Pacific, and Latin America and the Caribbean. These activities complemented those conducted by the Secretariat. In addition, UNEP has been implementing, as part of the regional projects referred above, and jointly with the World Health Organization, a global survey to generate data on concentrations in human breast milk of the persistent organic pollutants listed in the Stockholm Convention. • Support for collection, processing, storing and presentation of the GMP monitoring data is ongoing. <p><u>Outcomes achieved:</u> The harmonized implementation of the third phase of the global monitoring plan in all five United Nations Regions has been conducted, resulting in sustainable persistent organic pollutants monitoring data in core media as input to the next effectiveness evaluation.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. The strategy for the third phase was agreed by the global coordination group. 2. The regional implementation strategies for the third phase were developed and are being implemented by the regional organization groups.

3. The third phase is under implementation, with the five regional monitoring reports under development for submission to COP-10.
4. Draft updated guidance on the global monitoring plan for persistent organic pollutants, prepared by the global coordination group, was made available for consideration by COP-9.
5. Sustainable monitoring activities were, and continue to be, implemented in all UN regions through strategic partnerships.
6. POPs monitoring data continues to be made accessible through the GMP data warehouse for the development of the third monitoring reports.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	60,000	69,981
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	398,000	88,195

Partner(s)	<p>For the implementation of the global monitoring plan activities, regional organization groups were established in the five united nations regions to define and apply the regional strategy for information gathering and to prepare regional monitoring reports. A global coordination group oversees the implementation of the plan and coordinates activities and cooperation between the regions. Strategic partnerships were established to benefit from extensive monitoring experience, scientifically sound inputs on the newly listed persistent organic pollutants, and generate further consistent, comparable monitoring data. Strategic partners for air monitoring were the Global Atmospheric Passive Air Sampling Programme supported by Environment Canada, the MONET programme supported by the Government of the Czech Republic, and the South East Asian POPs Monitoring Programme supported by the Government of Japan. Further important strategic partners are the Arctic Monitoring and Assessment Program of the Arctic Council and the United Nations Economic Commission for Europe European Monitoring and Evaluation Programme. Strategic partners for the human monitoring were the World Health Organization and the expert laboratories. Activities were conducted in close cooperation with the Chemicals Branch of the Division of Technology Industry and Environment of the United Nations Environment Programme, as the implementing agency of Global Environment Facility projects providing capacity building in support of global monitoring plan implementation in developing countries</p>
Socio-economic aspects	<p>Sustainable Development Goals: The global monitoring plan provides data on contamination of humans and environment with persistent organic pollutants supporting the <i>Sustainable Development goal #3 on Good Health and Well-being</i> enabling informed preventive approach, aiming to avoid and reduce exposures towards hazards from chemicals and waste.</p> <p>Gender mainstreaming: Equal participation of women in project activities was systematically encouraged. The project results were made widely public and provide information on how the general public, including vulnerable groups such as woman and children, may be affected by persistent organic pollutants, hence contributing to their empowerment. In some cases monitoring results discovered bad practices (such as geophagia) which lead to increased polychlorinated dibenzo-p-dioxins (PCDD) / dibenzofurans (PCDF) levels in the breast milk of practicing woman, thus contributed to awareness raising of the mothers and prevention of increased exposure of breastfed infants.</p> <p>Integrated Approach: Information on concentration of persistent organic pollutants in humans and the environment is publicly available through a user-friendly electronic global monitoring plan data warehouse. This information can be used to justify need for various activities in particular mainstreaming of sound management of chemicals and hazardous wastes and industry involvement, including public-private partnerships, as well as to evaluate their efficiency in the future.</p>

Programme heading	Scientific and technical activities
PoW number	Activity 24
Title of activity	National reporting
Convention(s)	<input checked="" type="checkbox"/> <i>Basel Convention</i> <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> <i>Stockholm Convention</i>
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 13(3) of the Basel Convention, Article 15 and Article 20.2(d) of the Stockholm Convention COP decision(s): Decision BC-13/8 of the Basel Convention, Decision SC-8/17 of the Stockholm Convention
Activities and outcomes	<p>(1) Basel Convention</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> The functioning of the electronic reporting system (ERS) was optimized; An interface for queries was published on the Basel Convention website.¹¹³ The manual for completing the format for national reporting was updated pursuant to decision BC-14/10 and translated in UN languages.¹¹⁴ Support was provided to Parties in completing the reporting questionnaire. Submitted data was processed and validated for entry into the reporting database. Scientific and technical input to training and capacity building and other cross-cutting activities related to reporting was provided. Guidance documents on inventory were translated into French and Spanish¹¹⁵, thanks to the generous financial contribution by the European Union. Guidance documents on inventory of used lead acid batteries and waste oils was pilot tested in a developing country, thanks to the generous financial contribution by the European Union. <p><u>Outcomes achieved:</u></p> <p>Parties to the Basel Convention are able to submit complete national reports in a timely manner, in compliance with their obligations, and the COP and the subsidiary bodies can make informed decisions on the basis of accurate reports.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Number of Parties using the ERS to submit national: 102 Parties for reporting year 2017 and 81 for reporting year 2018. Number of reports that are available in the correct format for consultation and analysis: 102 Parties for reporting year 2017 and 81 for reporting year 2018. Number of reports produced for the COP and other stakeholders on the basis of national reporting data: one report produced on the import and export of wastes destined for final disposal and recovery operations for the years 2010, 2013 and 2016 (UNEP/CHW/RA_EWG.2/INF/2 - Review of Annex IV and related aspects of Annex IX to the Basel Convention: Report on disposal operations). Number of communications with Parties and queries answered regarding completion of the reporting questionnaire: at least 20 Number of times translated guidance documents on inventories are accessed from the Basel Convention website: not available Number of countries that have tested the guidance on inventories at the national level: not available Number of guidance documents on inventories developed: none mandated in 2018-2019 Number of training activities organized: 4 webinars Number of women and men trained on national reporting: not available <p>(2) Stockholm Convention</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> The ERS has been further developed and queries were developed.¹¹⁶ The Secretariat provided support to Parties upon request. The Secretariat provided feedback on all 3rd national reports submitted aiming at improving the reports due in 2018.

¹¹³ <http://www.basel.int/Countries/NationalReporting/ReportingDatabase/tabid/8105/Default.aspx>.

¹¹⁴ <http://www.basel.int/Countries/NationalReporting/Guidance/tabid/1498/Default.aspx>.

¹¹⁵ Ibid.

¹¹⁶ <http://chm.pops.int/Countries/Reporting/ReportingDatabase/tabid/7477/Default.aspx>.

- Reports submitted in other languages were translated into English.¹¹⁷
- The Secretariat gave presentations in workshops related to the national implementation plans¹¹⁸, raising awareness about the reporting obligation. Additionally, a series of webinars were organized in 2018 before the deadline of the 4th reporting cycle, and several reminders were provided by email and telephone to remind Parties about their reporting obligations.
- The “Manual for national reports under Article 15 of the Stockholm Convention” was developed, thanks to the generous financial contribution by the Government of Norway, and taken note of at COP-8. The manual is available on the convention website.¹¹⁹
- The ERS was adapted to include newly listed POPs. Further adaptations are to be included for the most recently listed POPs.

Outcomes achieved:

Parties to the Stockholm Convention are able to submit complete national reports in a timely manner that include information on newly listed POPs, in compliance with their obligations, and the COP can make informed decisions on the basis of accurate reports.

Evaluation as per the indicators of achievement:

1. Number of Parties using the ERS to submit national reports containing information on newly listed POPs: 79
2. Number of reports that are available in the correct format for consultation and analysis: not available
3. Number of reports produced for the COP and other stakeholders on the basis of national reporting data: data on specific POPs submitted through national reports are used for different analysis done by the Secretariat (e.g. UNEP/POPS/COP.9/INF/10 and UNEP/POPS/COP.9/INF/12 of the Stockholm Convention)
4. Number of communications with Parties and queries answered regarding completion of the reporting questionnaire: several, but statistics are not available.
5. Manual on completing the questionnaire for national reporting under the Stockholm Convention: available on the website¹²⁰.
6. Number of training activities organized: 6 webinars offered in English, French and Spanish
7. Number of women and men trained on national reporting: not available

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
42,500	49,757	-	-	70,000	50,394
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
107,500	66,193	-	-	20,000	13,130

¹¹⁷ <http://chm.pops.int/Countries/NationalReports/ThirdRoundPartyReports/tabid/4470/Default.aspx>.

¹¹⁸ <https://www.unenvironment.org/explore-topics/chemicals-waste/what-we-do/persistent-organic-pollutants/national-implementation-1> and <http://chm.pops.int/Implementation/NationalImplementationPlans/Workshops/tabid/2652/Default.aspx>

¹¹⁹ www.pops.int/Countries/Reporting/Guidance/tabid/3670/Default.aspx.

¹²⁰ Ibid.

Partner(s)	Regional centers; UNEP Chemicals and Wastes Branch.
Socio-economic aspects	<p>Sustainable Development Goals: Information in national reports under the Basel and Stockholm conventions contribute directly to monitoring progress in achieving Goal 12.4 of the SDGs; UNEP, as the custodian for indicators 12.4.1 and 12.4.2, will obtain the relevant data from the BRS Secretariat. Information in national reports under the Stockholm Convention also contributes to tracking progress in achieving several other goals including Goal 3.9 and 6.3. 6 of the SDGs.</p> <p>Gender mainstreaming: National reports provide information on whether Parties have implemented public awareness programmes for women, children and the least educated on the environmental and health effects of POPs. Information on the production, generation and transboundary movements hazardous chemicals and wastes and their impacts on human health and the environment can assist in formulating policies to protect vulnerable populations including women and children.</p> <p>Integrated Approach: Timely and complete reporting by Parties depends on cooperation by a wide range of national stakeholders, including industry, who are the providers of information on implementation of the obligations under the Convention. The guidance documents on the inventory of waste streams facilitated understanding of the sources of certain types of wastes which serves to devise measures towards managing the related material streams throughout their life-cycle.</p>

4. Knowledge and information management and outreach

Programme heading	Knowledge and information management and outreach
PoW number	Activity 25
Title of activity	Clearing-house mechanism for information exchange, including the prior informed consent database and the Rotterdam Convention website in English, French and Spanish
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input checked="" type="checkbox"/> <u>Stockholm Convention</u>
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Conventions Articles: Articles 3, 4, 6, 10, 13 and 16 of the Basel Convention Articles 1, 5, 6, 8, 12, 13 and 14 of the Rotterdam Convention Articles 4, 9, 10, 11, 15 and 16 of the Stockholm Convention</p> <p>COP decision(s): Omnibus decisions taken in 2013 (BC.Ex-2/1, RC.Ex-2/1, SC.Ex-2/1) – paragraph 17 Decisions BC-12/21 of the Basel Convention, RC-7/11 of the Rotterdam Convention and SC-7/29 of the Stockholm Convention</p>
Activities and outcomes	<p>(1) Joint clearing-house mechanism for information exchange</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • Sub-activity 1: Maintenance of Secretariat records keeping systems, including documents management, correspondence tracking, meeting record systems and status of ratifications: Internal information management systems were kept fully functional and up to date, including the upgrade of the prior informed consent database that is used to manage the identification of chemicals, notifications of final regulatory action and notifications of import country response under the Rotterdam Convention. • Sub-activity 2: Maintenance of existing websites and related information systems and services: Secretariat’s websites were kept fully functional and up to date. More specifically, the Secretariat has complemented the information contained in the joint chemical profile prototype (www.brsmeas.org/?tabid=5341), the joint library of legislation and other measures (www.brsmeas.org/?tabid=5342), and the joint library of scientific and technical publications (www.brsmeas.org/?tabid=3790). • Sub-activity 3: Translation of the Rotterdam website into French and Spanish: Information on the Rotterdam Convention website has been translated. • Sub-activity 4: Hardware and software upgrades: Hardware and software components of the clearing-house mechanism have been upgraded. • Sub-activity 5: Enhance the “chemical profiles” to include information provided by selected partners: As no voluntary funding was received for this activity, it was not implemented. • Sub-activity 6: Development of a joint portal on information from regional centres: Thanks to Norway’s generous financial contribution, a prototype of a web portal on information from regional centres has been developed (www.brsmeas.org/?tabid=8330) with information provided by the Basel and Stockholm Convention Regional Centre in Senegal. This activity will continue in the next biennium to include more regional centres. • Sub-activity 7: Online visualization tool for data and trends using information submitted by Parties through national reports, the PIC procedure and other notification procedures under the conventions: Thanks to the generous financial contribution from the European Union, this activity has been initiated and will be completed in 2020. • Sub-activity 8: Development of an online library on priority waste streams, including related guidelines: As no voluntary funding was received for this activity, it was not implemented. • Sub-activity 9: Integration of the ENFORCE website on illegal traffic issues into the joint clearing-house mechanism: As no voluntary funding was received for this activity, it was not implemented. • Sub-activity 10: Development of an online catalogue on alternatives to chemicals: Thanks to the European Union’s generous financial contribution, a prototype of the online catalogue on alternatives based on information managed by the Secretariat has been developed (chm.pops.int/?tabid=5834). The Secretariat will continue its efforts in 2020 to complement the catalogue by collecting additional information from selected partners.

	<p><u>Outcomes achieved:</u> Access to information relevant to the conventions, from Parties and other stakeholders, is provided.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Number of information tools and packages made available: 2 new information tools and 37 others updated during the biennium.¹²¹ 2. Number of information sources that are feeding the joint clearing-house mechanism: 15. 3. Number of new pages, number of pages updated on the conventions' websites: new pages: 761, pages updated: 1,243. 4. Number of documents made available: 3,069 new documents during the biennium. 5. Number of visits and page views on the conventions' websites: 1,268,093 visits (+18%) and 3,044,816 page views (+8%).
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Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
42,705	42,796	92,792	94,750	42,703	42,832
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
83,334	13,640	83,330	13,635	83,336	21,471

Partner(s)	Basel and Stockholm conventions regional centres, OECD eChem portal group, Members of the InforMEA initiative, including SAICM and the Minamata Convention.
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring proper access to information related to the sound management of chemicals and wastes, this activity has contributed to the Sustainable Development Goal 9 on “Industry, innovation and infrastructure” (target 9.c) and 16 on “Peace, justice and strong institutions” (target 16.10). By supporting the implantation of the conventions, it also contributed to targets 3.9, 6.3, 11.6, 12.3, 12.4 and 12.5.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the joint clearing-house mechanism collected and provided access to information on gender issues related to chemicals and wastes management.</p> <p>Integrated Approach: The joint clearing-house mechanism activities contributed to catalysing cooperation and coordination among Parties and relevant stakeholders at the global and regional level, including civil society and private sector, as relevant, thus promoted the sound management of chemicals and wastes. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>

¹²¹ <http://www.brsmeas.org/?tabid=5378>.

Programme heading	Knowledge and information management and outreach				
PoW number	Activity 26				
Title of activity	Publications				
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input checked="" type="checkbox"/> <u>Stockholm Convention</u>				
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget				
Mandate	Convention Articles: Article 14 of the Rotterdam Convention Articles 9 and 10 of the Stockholm Convention				
Activities and outcomes	<p>(1) Convention texts and other core publications</p> <p><u>Activities implemented:</u> The following items have been produced or revised, including translation, graphic design, layout and printing: <i>In 2018:</i></p> <ul style="list-style-type: none"> • Glossary of terms (electronic in 3 languages and printed in AR, CH and RU); • Basel Convention text (reprinted) in 6 languages (AR, CH, EN, FR, RU, SP); • Rotterdam Convention text rev. 2017 (reprinted) in 6 languages (AR, CH, EN, FR, RU, SP); • Stockholm Convention text rev. 2017 (electronic and printed) in 6 languages (AR, CH, EN, FR, RU, SP). <p><i>In 2019:</i></p> <ul style="list-style-type: none"> • Financial rules and regulations (reprinted) in 4 languages (EN, FR, RU, SP); • Guidance on the implementation of the Basel Convention provisions dealing with illegal traffic; • Procedures and mechanism on implementation and compliance with the Basel and Rotterdam conventions. <p><u>Outcomes achieved:</u> This activity resulted in legal and other information being available to Parties and other stakeholders of the conventions in the six United Nations languages, in the form of core publications such as convention texts, rules of procedure and leaflet on synergistic issues under the conventions. The activity contributed to increase public awareness generally, within the development community, and within Parties/governments, of the importance of sustainable management of chemicals and waste in general.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Updated conventions texts and rules of procedure were available in all six UN languages: High-quality publications were produced in a timely and cost-effective manner. Production of publications was tailored to suit the needs of Parties. 2. Number of other core publications produced and disseminated in the six UN languages: 7. 3. Gender aspects were reflected within photos and imagery contained in core publications. 				
<u>Budget performance for 2018-2019</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
33,400	35,031	33,200	40,806	33,400	30,883
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	-	-

Partner(s)	UNOG, UNEP Division of Communication and Public Information (DCPI) (Nairobi), UNEP Regional Offices, Geneva Environment Network, other UN agencies as relevant
Socio-economic aspects	<p>Sustainable Development Goals: By contributing to a higher awareness on the benefits of sustainable management of chemicals and wastes, this activity contributed to all of the SDGs among others to Goal 3 on ensuring healthy lives and promoting well-being for all at all ages and Goal 12 on ensuring sustainable consumption and production patterns.</p> <p>Gender mainstreaming: Gender aspects were one very important key theme to be communicated through the publications. The Secretariat ensured that – for example – photos and imagery contained in core publications reflected gender aspects.</p> <p>Integrated Approach: Mainstreaming and the development of partnerships rely upon a higher level of stakeholder awareness of the importance of sustainable management of chemicals and waste in general. The development and dissemination of appropriate publications – including updated versions of the convention texts – were fundamental to this.</p>

Programme heading	Knowledge and information management and outreach
PoW number	Activity 27
Title of activity	Joint communication, outreach and public awareness
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input checked="" type="checkbox"/> <u>Stockholm Convention</u>
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	Convention Articles: Article 14 of the Rotterdam Convention Articles 9 and 10 of the Stockholm Convention
Activities and outcomes	<p>(1) Public Awareness and Outreach</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • A large volume of outreach and communications materials were produced and disseminated including weekly website announcements, longer News Features, regular press releases for media engagement¹²², daily social media messaging, and the production of a monthly BRS Newsletter¹²³. • The range of social media platforms used by the Secretariat was expanded to include not just the official BRS twitter and unofficial Safe Planet Facebook channels¹²⁴, the Safe Planet Youtube channel for videos¹²⁵, and the BRS Flickr platform for photographs¹²⁶, but also the professional networking platform LinkedIn¹²⁷. • A large number of electronic and digitally designed products were disseminated including electronic posters, fliers, and social media “cards” before and during the 2019 Triple COPs. • A new interactive installation “Making the Invisible, Visible” was premiered at the 2019 Triple COPs, featuring a walk-in apartment/living unit displaying a range of everyday hazards and alternatives, raising awareness on the ubiquity of chemicals and wastes in the home and the options available to people for sounder management. • A new BRS Communications Strategy was formulated during this period for the ensuing Biennium (2020-2021) closely aligned to the donor recognition practices of the Secretariat and wider BRS and UNEP policies on outreach, including use of social media etc. <p><u>Outcomes achieved:</u></p> <p>This activity resulted in increased public awareness in general within the development community and within Parties/governments and other stakeholders. The 2019 Triple COPs were particularly successful in this regard with record levels of media engagement through print media, TV, and radio (including global media channels such as Al Jazeera, CNN, The Guardian, El Pais etc.).</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. The monthly conventions newsletter was produced within quality standards, in a timely manner and distributed to a growing number of subscribers; 2. Number of press releases on conventions issues and uptake of releases in the media: 16 Press Releases were issued and the more intensive level of media engagement reflected in the interest shown by mass media during the 2019 Triple COPs; 3. The number of visits and page views on the convention websites continues to grow; 4. Number of twitter followers to @brsmeas: Twitter followers rose to more than 9,000 followers during this biennium with similar increases on Facebook; 5. The BRS photographic library was expanded significantly and all images used continue to reflect gender aspects relevant to the sound management of chemicals and waste.

¹²² See: <http://www.brsmeas.org/Implementation/MediaResources/PressReleases/tabid/2644/language/en-US/Default.aspx>

¹²³ See: <http://www.brsmeas.org/Implementation/Publications/Newsletters/tabid/4633/language/en-US/Default.aspx>

¹²⁴ See: www.twitter.com/brsmeas and www.facebook.com/safe.planet respectively.

¹²⁵ See: <https://www.youtube.com/channel/UCdjGcaYsQhJr3WIGxtXthmg>

¹²⁶ See: <https://www.flickr.com/people/63284352@N08/>

¹²⁷ See: <https://www.linkedin.com/company/9247992/admin/>

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
10,000	8,845	10,000	9,461	10,000	9,355
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	-	-

Partner(s)	UNOG, UNEP Division of Communication and Public Information (DCPI) (Nairobi), UNEP Regional Offices, Geneva Environment Network, other UN agencies as relevant
Socio-economic aspects	<p>Sustainable Development Goals: By contributing to a higher awareness on the benefits of sustainable management of chemicals and wastes, this activity contributed to all of the SDGs among others to Goal 3 on ensuring healthy lives and promoting well-being for all at all ages and Goal 12 on ensuring sustainable consumption and production patterns.</p> <p>Gender mainstreaming: Gender aspects were one very important key theme to be communicated through the publications. The Secretariat ensured that – for example – photos and imagery used on websites, social media, and in core publications reflected gender aspects (see the BRS Flickr photo galleries¹²⁸).</p> <p>Integrated Approach: Mainstreaming and the development of partnerships rely upon a higher level of stakeholder awareness of the importance of sustainable management of chemicals and waste in general. The development and dissemination of appropriate publications – including updated versions of the convention texts – were fundamental to this.</p>

¹²⁸ See: <https://www.flickr.com/people/63284352@N08/>

5. Overall management

Programme heading	Overall management
PoW number	Activity 28
Title of activity	Executive direction and management
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input checked="" type="checkbox"/> <u>Stockholm Convention</u>
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	COP decision(s): 2013 omnibus decisions (BC.Ex-2/1, RC.Ex-2/1, SC.Ex-2/1), decisions BC-13/18 of the Basel Convention, RC-8/11 of the Rotterdam Convention and SC-8/21 of the Stockholm Convention, financial rules and rules of procedure for the three conventions, relevant decisions of the United Nations Environment Assembly
Activities and outcomes	<p>(1) Overall management</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> Operational synergies were implemented at various levels. Outputs envisaged under the programme of work for 2018-19 were delivered. Ongoing internal and external dialogue and consultations were held throughout the biennium. <p><u>Outcomes achieved:</u></p> <p>This component contributed towards strengthening the sound management of chemicals and wastes at the national, regional and global levels throughout their life-cycles, through the provision of secretariat's services to Parties, in particular sound information on chemicals management, capacity building and tools.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Delivery of meetings of the conferences of the Parties and their subsidiary bodies: the meetings of the conferences of the Parties and their subsidiary bodies were delivered successfully (see related activities' reports 1-10). The meeting documents, reports, decisions and lists of participants of all the meetings were made available on the website.¹²⁹ Resources leveraged to support national implementation of the three conventions as a result of cohesive efforts to increase resource mobilization for the three conventions: Resources were leveraged to support national implementation of the three conventions as a result of cohesive efforts to increase resource mobilization for the three conventions. Funding proposals as well as contributions received by the Secretariat to the Technical Cooperation Trust Fund of the Basel Convention and the Special Voluntary Trust Funds of the Rotterdam and Stockholm Conventions for the implementation of the Programme of work for the biennium 2018-2019 were made available on the website.¹³⁰ Implementation and delivery of technical assistance activities in collaboration with regional centers and other partners: Information on all the BRS organized webinars and workshops was made available on the website.¹³¹ Openness and transparency of the Secretariat and its activities through web posting of the Secretariat's financial and progress reports: these were made available through meeting documents for the 2019 meetings of the COPs.¹³² Programmes of work of the three conventions delivered by the Secretariat coherently while respecting the legal autonomy of the three MEAs: The programmes of work of the three conventions as per the consolidated Secretariat work plan 2018-2019 were successfully implemented through enhanced cooperation and collaboration with key partners and institutions, including through the Basel and Stockholm conventions regional centres.

¹²⁹ www.basel.int, www.pic.int, www.pops.int.

¹³⁰

<http://www.brsmeas.org/Implementation/ResourceMobilization/Contributions/20182019/tabid/6190/language/en-US/Default.aspx>,

[http://www.basel.int/TheConvention/FinanceBudget/TechnicalCooperationTrustFund\(BD\)/ContributionsStatus/2019/tabid/7929/Default.aspx](http://www.basel.int/TheConvention/FinanceBudget/TechnicalCooperationTrustFund(BD)/ContributionsStatus/2019/tabid/7929/Default.aspx),

<http://www.pic.int/TheConvention/FinanceBudget/SpecialVoluntaryTrustFundRC/2019SpecialVoluntaryTrustFundRC/tabid/7923/language/en-US/Default.aspx> and

<http://chm.pops.int/TheConvention/FinanceBudget/SpecialVoluntaryTrustFund/2019SpecialVoluntaryTrustFund/7927/Default.aspx>.

¹³¹ <http://www.brsmeas.org/Implementation/TechnicalAssistance/Workshops/tabid/3498/language/en-US/Default.aspx> and

<http://www.brsmeas.org/Implementation/TechnicalAssistance/Webinars/tabid/3583/language/en-US/Default.aspx>.

¹³² <http://www.brsmeas.org/2019COPs/MeetingDocuments/tabid/7832/language/en-US/Default.aspx>.

6. Secretariat staff trained and working efficiently under a matrix structure: Secretariat staff were trained and worked efficiently under a matrix structure:
- Monthly meetings of the management team were held to discuss management and policy issues with the participation of the Rome based Senior staff via online connection.
 - All staff meetings were held on a quarterly basis with the participation of the Rome based staff via online connection.
 - A training session on the UN Harassment Policy was conducted by the Ombudsman for the BRS Secretariat staff in March 2018.
 - Two retreats were held for all BRS Secretariat staff in June and December 2019 respectively.
 - Monthly meetings of the project oversight group were held to prioritize and monitor voluntarily - funded project implementation with the aim to ensure that projects stay on schedule and make appropriate use of funds.

(2) Staff travel

Activities implemented:

- Rotterdam Convention Geneva staff participate in the 14th and 15th meetings of the CRC held in Rome 11-13 September 2018 and 8 to 10 October 2019 respectively and in the 14th and 15th meetings of the POPRC held in Rome 17-21 September 2018 and 1-4 October 2019 respectively. Rome staff participated in the 9th meeting of the Conference of the Parties held in Geneva in May 2019 as well as in the Bureau meetings for the Rotterdam Convention and in the joint bureaux meeting for the three conventions held from 15 to 16 November 2018 in Geneva.
- The Secretariat staff participated in meetings/activities of other organizations in the chemicals and wastes cluster, in the broader sustainable development and environment agenda, and in other areas of common interest to the conventions (as described in the report on activity 29 on international cooperation and coordination).
- The Secretariat participated in meetings of the Global Environment Facility (GEF Council, 6th Meeting of the GEF Assembly, other relevant meetings) and met with donors for resource mobilization purposes.
- The Executive Secretary and Deputy Executive Secretary actively participated and contributed in relevant bilateral and other meetings of common interest for the conventions organized by partners.

Outcomes achieved:

The Basel, Rotterdam and Stockholm conventions were taken into account as appropriate in relevant international processes and the Secretariat cooperated actively with partners to implement the programmes of work of the conventions.

Evaluation as per the indicators of achievement:

- Secretariat presence at relevant meetings and conferences and effective cooperation with partners and input is provided to ongoing processes as necessary: The Secretariat actively participated and contributed to processes and activities organized under the aegis of the three conventions, as well as those organized by relevant organizations thus enhancing effective and collaborative relationships and activities with the Basel and Stockholm Conventions Regional Centres, FAO, UNEP and other institutions and partners.

(3) RC Secretariat meeting

Activities implemented:

- One meeting of the Rotterdam Convention Secretariat was held on 13-14 February 2018 in Geneva. A second meeting of the Secretariat was held on 25 February 2019 in Geneva as a Secretariat-internal preparatory meeting for the meeting of the Conference of the Parties in 2019.

Outcomes achieved:

Effective cooperation and teamwork between the Geneva and Rome parts of the RC Secretariat and information was shared efficiently.

Evaluation as per the indicators of achievement:

- Two annual face-to-face meetings were held between Geneva and Rome staff of the Secretariat of the Rotterdam Convention on 13-14 February 2018 and 25 February 2019 in Geneva.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
122,300	134,518	225,427	204,987	204,868	183,522

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	-	-

Partner(s)	UNEP and FAO as well as well as other collaborating organizations in the UN-system; civil society, industry, regional centers of the three conventions and regional offices of UNEP and FAO.
Socio-economic aspects	<p>Sustainable Development Goals: The activity addressed all three dimensions of the Sustainable Development: environmental, economic and social. It supported the implementation of goal 3 on ensuring healthy lives (target 3.9: by 2030 substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination), as well as goal 12 on ensuring sustainable consumption and production patterns (target 12.4: by 2020 achieve environmentally sound management of chemicals and all wastes throughout their lifecycle and minimizing their adverse impacts on human health and the environment). It also supported goal 1 on end poverty in all its forms everywhere and goal 16 on promoting peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</p> <p>Gender mainstreaming: The activity was gender-relevant, amongst others the Executive Office actively participated in the Secretariat Gender Task Force which supports the principles of gender equality in the implementation of conventions activities as well as within the Secretariat. The activity also contributed to developing an understanding amongst and support from Secretariat staff on the issue of gender equality, particularly in the context of conventions operations and activities and to promoting the consideration of gender issues in hazardous chemicals and wastes management at all levels</p> <p>Integrated Approach: The activity was supportive of the integrated approach to address the financing of the sound management of chemicals and wastes, in particular in relation to mainstreaming of chemicals and wastes into development processes, as provided for in the resolution 1/5 adopted by the United Nations Environment Assembly of the United Nations Environment Programme at its first session in 2014.</p>

Programme heading	Overall management
PoW number	Activity 29
Title of activity	International cooperation and coordination, including partnerships¹³³
Convention(s)	<input checked="" type="checkbox"/> <i>Basel Convention</i> <input checked="" type="checkbox"/> <i>Rotterdam Convention</i> <input checked="" type="checkbox"/> <i>Stockholm Convention</i>
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	<p>Convention Article: Paragraph 1(d) of Article 16 of the Basel Convention; paragraph 2(c) of Article 19 of the Rotterdam Convention; and paragraph 2(c) of Article 20 of the Stockholm Convention</p> <p>COP decision(s): Decisions BC-13/16 of the Basel Convention, RC-8/10 of the Rotterdam Convention and SC-8/20 of the Stockholm Convention.</p>
Activities and outcomes	<p>A detailed report on cooperative activities undertaken by the Secretariat with international bodies covering the years 2017 and 2018 is set out in the document made available to the 2019 meetings of the conferences of the Parties (UNEP/CHW.14/INF/36-UNEP/FAO/RC/COP.9/INF/29-UNEP/POPS/COP.9/INF/38). Thus, only activities that were conducted in 2019 are reported below.</p> <p>(1) Cooperation within the chemicals and wastes cluster</p> <p><u>Activities implemented:</u></p> <p>The Secretariat cooperated with:</p> <ul style="list-style-type: none"> • The secretariat of the Minamata Convention on Mercury, through its participation in the meeting of the group of technical experts on waste thresholds, held from 27 to 29 May 2019, in Osaka, Japan; the meeting of the ad-hoc technical expert group on effectiveness evaluation, held from 8 to 12 April 2019, in Geneva, Switzerland, and the third meeting of the Conference of the Parties held from 25 to 29 November 2019, in Geneva, Switzerland. The Secretariat also cooperated with the Minamata Convention secretariat in the context of the joint task force on programmatic cooperation among the UNEP Chemicals and Health Branch and the secretariats of the BRS Conventions, the Minamata Convention and SAICM. • The secretariat of the Strategic Approach to International Chemicals Management (SAICM), by contributing to its activities and meetings. The Secretariat participated in the third meeting of the Open-ended Working Group held from 2 to 4 April 2019, in Montevideo, Uruguay, and the third meeting of the beyond 2020 intersessional process held from 1 to 4 October 2019, in Bangkok, Thailand. The Secretariat also cooperated with the SAICM secretariat in the context of the joint task force on programmatic cooperation among the UNEP Chemicals and Health Branch and the secretariats of the BRS Conventions, the Minamata Convention and SAICM. • The Inter-Organization Programme for the Sound Management of Chemicals (IOMC), and, as such, participated in the 51st and 52nd meetings of the Inter-Organization Coordinating Committee of the Inter-Organization Programme for the Sound Management of Chemicals (IOCC) of the IOMC (via video conference for the 51st meeting) held, respectively, from 16 to 17 April 2019, in Istanbul, Turkey, and from 16 to 17 October 2019, in Geneva, Switzerland. The Secretariat also participated in the fifth inter-agency meeting on sound chemicals management organized by the IOMC on 29 November 2019, in Geneva, Switzerland. • The Chemicals and Health Branch of UNEP, on specific substances and wastes covered and processes established under the conventions, e.g. PCB, DDT, mercury wastes, e-wastes, waste lead-acid batteries, the global monitoring plan (GMP) for POPs, the Toolkit for Identification and Quantification of Releases of Dioxins, Furans and Other Unintentional POPs, the guidelines and guidance on best available techniques and best environmental practices and other UNEP-led processes that contribute to the conventions, such as the special programme on institutional strengthening and the Global Chemicals Outlook II. For instance, in the framework of the Global Alliance for the development of alternatives to DDT, which is administered by the Chemicals and Health Branch, the Secretariat provided support to the elaboration of national road maps for the development of alternatives to DDT in Namibia and Uganda. Similarly, the Secretariat was involved in the implementation of GEF regional projects on the GMP in Africa, Asia, the Pacific islands, and Latin America and the Caribbean, and participated in the projects steering committee meeting held on 18 October 2019, in Geneva, Switzerland, and the stakeholder consultation meeting on sustainable POPs monitoring held from 10 to 11 December 2019, in Brisbane, Australia. The Secretariat also cooperated with UNEP Chemicals and Health Branch in the context of the joint task force on programmatic cooperation among the UNEP

¹³³ Partnerships for technical assistance are covered under activity 18 (S4): Partnerships.

	<p>Chemicals and Health Branch and the secretariats of the BRS Conventions, the Minamata Convention and SAICM.</p> <ul style="list-style-type: none"> • The Food and Agriculture Organization of the United Nations (FAO) and its Plant Production and Protection Division on issues related to pesticides management, agriculture and food production as they relate to the implementation of the Basel, Rotterdam and Stockholm conventions. • UNEP International Environmental Technology Center (IETC) on issues related to waste management. • The United Nations Economic Commission for Europe (UNECE) and its conventions, by regularly exchanging information on the outcomes of the global monitoring plan for POPs with the UNECE Convention on Long-range Transboundary Air Pollution (LRTAP), by participating in the 39th session of the Convention's Executive Body held from 9 to 13 December 2019, in Geneva, Switzerland, and by participating in the work and meetings of the Committee of Experts on the Transport of Dangerous Goods (TDG) and on the Globally Harmonized System (GHS) of Classification and Labelling of Chemicals, and related sub-committees, with a view to ensuring consistency and coherence of the classification and labelling of chemicals and wastes. In the framework of the Basel Convention, the Secretariat liaised with the UNECE GHS Sub-Committee in relation to the work of the expert working group on the review of the annexes, more specifically the review of Annex III (hazardous characteristics). The Secretariat made a presentation during the 37th meeting of the GHS Sub-Committee of Experts, from 8 to 10 July 2019, in Geneva, Switzerland. • The secretariats of the Bamako and Waigani conventions on issues of common concern. • The World Health Organization (WHO) on environmental health and health risk assessment; particularly to assist the Stockholm Convention's DDT expert group in conducting its activities, to support the Stockholm Convention's GMP for POPs, through the WHO/UNEP Human Milk Survey, and to support the delivery of technical assistance activities. Regarding the latter, the Secretariat cooperated with the WHO for the training and capacity building related to the recognition of asbestos-related diseases, developing related legislation and promoting use of safer alternatives to asbestos, which took place from 10 to 12 July 2019, in Manila, Philippines, and the Rotterdam Convention sub-regional workshop on the lifecycle management of industrial chemicals in support of final regulatory actions for East African countries, convened from 2 to 6 December 2019, in Nairobi, Kenya; • International Labour Organization (ILO) on issues related to industrial chemicals; • The Organisation for Economic Co-operation and Development on work related to perfluorinated chemicals (Global PFC Group), resource productivity and waste (the Working Party on Resource Productivity and Waste), pesticides and circular economy. With regard to the issue of pesticides, the Secretariat participated in the meeting of the OECD working group on pesticides which was held from the 26 to 28 of June 2019, in Paris, France, during which information was exchanged regarding the delivery of technical assistance on promoting alternatives to hazardous pesticides listed under the Rotterdam Convention. • The Group of Experts on the Scientific Aspects of Marine Environmental Protection (GESAMP) on work related to plastic waste. The Secretariat hosted the GESAMP workshop on assessing the risks associated with plastics and microplastics in the marine environment, held from 21 to 23 May 2019, in Geneva, Switzerland. <p><u>Outcomes achieved:</u> This activity enhanced implementation of the conventions, through continued and coordinated efforts in areas that support the achievement of the sound management of chemicals and wastes by 2020 and beyond.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Coherence was enhanced between conventions' activities and those of others; and potential linkages and synergies were appropriately taken into account and fostered. 2. Effective and coherent exchange of experience and expertise was promoted for the effective implementation of the three conventions. 3. Coordinated efforts were undertaken in areas that support the achievement of the 2020 goal on the sound management of chemicals and wastes. <p>(2) Cooperation on the broader sustainable development and environment agenda</p> <p><u>Activities implemented:</u></p> <p>The Secretariat:</p> <ul style="list-style-type: none"> • Participated in the fourth session of UNEA held from 11 to 15 March 2019, in Nairobi, Kenya, including the associated meetings i.e. the fourth session of the Open-ended Committee of Permanent
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	<p>Representatives (CPRs), the Global Major Groups and Stakeholders Forum and the Policy-Science-Business Forum.</p> <ul style="list-style-type: none"> • Contributed to the activities of the Environment Management Group (EMG) on the mapping of United Nations agencies, programmes, initiatives and other sources of expertise relating to marine litter, pursuant to paragraph 8 of resolution 4/6 of the United Nations Environment Assembly, and activities of the Issue Management Group on e-waste, in particular with regard to establishing the UN e-waste coalition. Furthermore, the Secretariat participated in the midterm and annual meetings of the technical segment of the EMG and in the 24th and 25th Senior Officials meetings held New-York in 2019. • Contributed to the 2019 High-Level Political Forum which was held from 9 to 18 July 2019, in New York, United States of America. <p><u>Outcomes achieved:</u> The activities carried out under this component enhanced the implementation of the conventions through further integration of chemicals and wastes issues into the implementation and monitoring of the sustainable development goals at the national, regional and international levels.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. The conventions were taken into account in implementing the sustainable development goals and other frameworks related to the broader environmental/sustainable development agendas. For instance, the work and the contributions of the conventions to the SDGS and the broader environmental agenda were reflected in the decisions and resolutions adopted by the relevant governing bodies addressing those agendas. 2. Mainstreaming of the sound management of chemicals and wastes into national sustainable development plans and into the international development assistance priorities was promoted. 3. Reach and influence of the conventions was promoted. <p>(3) Other cooperation and coordination</p> <p><u>Activities implemented:</u></p> <p>The Secretariat cooperated with:</p> <ul style="list-style-type: none"> • Interpol, and participated in its activities and meetings, such as the Conference ‘Smarter waste regulation and pollution crime enforcement’ under the LIFE SMART Waste and INTERPOL’s Pollution Crime Working Group (PCWG), held from 12 to 13 November 2020, in Brussels, Belgium. • The European Union Network for the Implementation and Enforcement of Environmental Law (IMPEL), and participated in its annual conferences. • The World Customs Organization (WCO), such as provided support to the Operation DEMETER V and participated in meetings of WCO Enforcement Committee, held on 11 February 2019 and the Permanent Technical Committee held on 30 October 2019 in Brussels, Belgium. The Secretariat also cooperated with WCO in connection to the Harmonized Commodity Description and Coding System, in particular attended the sixty-third session of the Harmonized System Committee which was held from 19 to 29 March 2019, in Brussels, Belgium, and the fifty-sixth session of the Harmonized System Review Subcommittee, held from 25 to 29 of November 2019. • The Asian Network for Prevention of Illegal Transboundary Movement of Hazardous Waste, and attended its 2019 meeting which was held from 12 to 14 November 2019 in Kuala Lumpur, Malaysia. • The Law Division of UNEP and its activities, such as: <ul style="list-style-type: none"> ○ The Green Customs Initiative, by attending the 14th meeting, held from 2 to 3 October 2019, in Geneva, Switzerland; ○ The work of the ad hoc open-ended working group established pursuant to General Assembly resolution 72/277 entitled “Towards a Global Pact for the Environment”, by participating in the first, second and third substantive sessions of the group (Nairobi, 14-18 January 2019, 18-20 March 2019 and 20-23 May 2019); ○ The Montevideo Programme, by participating in the UNEP Inter-Agency Coordination Meeting on Advancing the Environmental Rule of Law, held from 26 to 28 November 2019, in Geneva, Switzerland; ○ The ACP MEAs Programme Phase III, by participating in the inception meeting organized from 11 to 14 June 2019, in Brussels, Belgium; ○ The InforMEA initiative. • The Ecosystems Division of UNEP, such as: the activities of the Global Partnership on Marine Litter (GPML) as a member of the partnership. The Secretariat contributed to a number of assessment reports produced by UNEP related to marine plastic litter and microplastics. It also participated in the third
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	<p>meeting of the Ad Hoc Open-Ended Expert Group on Marine Litter and Microplastics held from 18 to 22 November 2019, in Bangkok, Thailand.</p> <ul style="list-style-type: none"> • The regional offices of UNEP on issues of common concern, for instance with the regional office for Europe on the issue of plastic pollution in mountainous and remote regions. • Other multilateral environmental agreements, such as the Vienna Convention and its Montreal Protocol, Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), Convention on Biological Diversity (CBD), United Nations Framework Convention on Climate Change (UNFCCC), United Nations Convention to Combat Desertification (UNCDD) and the regional seas conventions. On the latter conventions, the Secretariat took part in the twenty-first global meeting of the regional seas programme held from 3 to 5 October 2019, in Berlin, Germany. The Secretariat also signed a memorandum of understanding with the Barcelona Convention /Mediterranean Action Plan (MAP) in December 2018, and participated in the meetings of Barcelona Convention /MAP, such as the twenty-first meeting of the Contracting Parties to the Barcelona Convention, held from 2 to 5 December 2019, in Naples, Italy; and the regional meeting on pilot projects on assessment tools for marine litter, organized from 19 to 20 November 2019, in Athens, Greece. • The World Trade Organization (WTO) on trade and environment issues pertaining to chemicals and wastes, including e.g. the workshop on “What Role for the WTO in Addressing Plastic Pollution?” on 25 November 2019, and the WTO Committee on Trade and Environment (CTE)’s regular meeting from 26 to 27 November 2019, in Geneva, Switzerland. • The International Maritime Organization (IMO), in particular on the issues of ship dismantling and waste generated onboard ships. • The Office of the High Commissioner for Human Rights (OHCHR), in particular the Human Rights Council and its Special Procedures, on human rights and environment issues related to chemicals and wastes e.g.: <ul style="list-style-type: none"> ○ The regular sessions of the Human Rights Council (HRC) held in February-March, June, September 2019, and related side events; ○ Provided input and participated in Interactive Dialogues related to annual reports to the HRC or to the UN General Assembly (October 2019) by the UN Special Rapporteurs on i) the issue of human rights obligations relating to the enjoyment of a safe, clean, healthy and sustainable environment (HRC report on “Clean air and the right to a healthy and sustainable environment”), ii) the implications for human rights of the environmentally sound management and disposal of hazardous substances and wastes (“Report on States’ duty to prevent exposure” to the UN General Assembly, and HRC report on “Principles on the protection of workers from exposure to toxic substances”). • The Asia-Pacific Plant Protection Commission (APPPC), as such the Secretariat attended the 31st session of the APPPC held from 25 to 29 November 2019, in Chiang Mai, Thailand, with the goal of strengthening collaboration with APPPC for joint planning of technical assistance activities related to the Rotterdam Convention and pesticide management in the region. The Secretariat made a presentation on the Basel, Rotterdam and Stockholm conventions including the outcomes of the 2019 COPs, the progress made by individual APPPC member countries with the implementation of the Rotterdam Convention over the last 2 years, as well as the technical assistance provided to the region. <p><u>Outcomes achieved:</u> Enhanced implementation of the conventions, through effective and coherent exchange of experiences and expertise as well as cooperative activities with other organizations that have areas of common interest with the conventions.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Experiences and expertise were shared, in the form of success stories or techniques, for an enhanced implementation of the conventions at global, regional and national levels. 2. Cooperative activities were undertaken when relevant

Budget performance for 2018-2019¹³⁴

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	-	-
In-kind support					
Basel Convention		Rotterdam Convention		Stockholm Convention	
Expenditure		Expenditure		Expenditure	
-		18,396*		-	

* from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

Partner(s)	Secretariat of the Minamata Convention on Mercury, SAICM Secretariat, UNEP and its divisions, such as DTIE/Chemicals and Health Branch, Economy Division/IETC, Law Division, DEWA, FAO and its divisions; other intergovernmental organizations, such as UNDESA (High-Level Political Forum on Sustainable Development), WHO, ILO, IMO, ITU, IOMC, UNIDO, UNDP, UNITAR, World Bank, GEF, UNU, OHCHR, OPCW, UNCRD; other international and regional organizations, such as WCO, WTO, INTERPOL, OSCE, other MEAs such as Montreal Protocol, CITES, CBD, UNFCCC, UNCCD, Waigani/Bamako conventions, UNECE conventions; and networks, such as IMPEL, INECE, and Asian Network.
Socio-economic aspects	<p>Sustainable Development Goals: This activity contributed to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 3 on ensuring healthy lives and promoting well-being for all at all ages; Goal 11 on making cities and human settlements inclusive, safe, resilient and sustainable; and Goal 12 on ensuring sustainable consumption and production patterns. By promoting cooperation and coordination with the entities and processes engaged in the 2030 sustainable development agenda at all levels, this activity ensured that the sound management of chemicals and wastes and the conventions were an integral part of the efforts towards achieving the sustainable development goals.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the Secretariat paid special attention to gender balance and encouraged its partners to take into account these aspects when undertaking cooperative activities with them. The Secretariat also advised, where appropriate, any potential gender impacts of the cooperative activities undertaken.</p> <p>Integrated Approach: This activity contributed to further integrated chemicals and wastes issues into national, regional and international sustainable development agendas, in line with the integrated approach to financing options for chemicals and wastes. It also assisted in catalysing coordination among relevant partners, including civil society and private sector, as relevant, for an improved implementation of the conventions.</p>

¹³⁴ Budget and incurred expenditures related to staff travel under the activity 29 on international cooperation and coordination during the biennium 2018-2019 in the Basel, Rotterdam and Stockholm conventions trust funds are reported under activity 28.

Programme heading	Overall Management
PoW number	Activity 30
Title of activity	Financial resources and mechanisms
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input checked="" type="checkbox"/> <u>Stockholm Convention</u>
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Articles 13 and 14 of the Stockholm Convention COP decision(s): Decisions BC-12/18 of the Basel Convention, RC-7/8 of the Rotterdam Convention and SC-7/22 of the Stockholm Convention Decision SC-8/16 of the Stockholm Convention
Activities and outcomes	<p>(1) Financial resources under the Basel, Rotterdam and Stockholm conventions</p> <p><u>Activities implemented:</u> The activity aimed at mobilizing financial resources for activities of the programmes of work of the three conventions that were to be funded by voluntary contributions. It also sought to implement the pertinent parts of the 2013 omnibus decisions¹³⁵ with regard to the integrated approach to financing. Specific activities included:</p> <ul style="list-style-type: none"> • Ensured that the activities of the programmes of work of the Secretariat take as a reference the integrated approach and that reports on the implementation of the integrated approach are prepared for consideration by the COPs in 2019. • Contributed to the Internal Task Team and the Executive Board meetings of the Special Programme to support institutional strengthening at the national level for implementation of the Basel, Rotterdam and Stockholm Conventions, the Minamata Convention and the Strategic Approach to International Chemicals Management. • Raised funds for activities under the Technical Cooperation Trust Fund (BD) and Voluntary Special Trust Funds (RC, SV) of the three conventions. • Communicated regularly with potential donors and other key partners on funding opportunities. • Updated and further developed the joint resource mobilization and management strategy. • Implemented donor recognition activities for acknowledging the contributions received by donors. • Coordinated the development and submission of proposals and reports to donors, including through the Secretariat internal Programme Oversight Group (POG). <p><u>Outcomes achieved:</u> Through this activity, the Secretariat ensured that voluntary funds for activities under the Technical Cooperation Trust Fund (BD) and Voluntary Special Trust Funds (RV, SV) of the three conventions were being jointly raised in a predictable, sustainable, effective, cost-efficient and coordinated manner. This was achieved, among others, through the preparation of reports and the monitoring of the implementation of donor funded activities.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Funding proposals and reports meet conventions and donor requirements: Thanks to the centralized resource mobilization approach of the Secretariat, 100% of the funding proposals and reports submitted met both conventions and donor requirements. 2. Number and type of Secretariat activities that contribute to the implementation of the integrated programme: As regards the integrated approach, all concept notes contain a reference on the contribution of activities towards its implementation. All funded activities therefore contribute to the implementation of the integrated approach. <p>(2) Activities related to the Financial Mechanism of the Stockholm Convention</p> <p><u>Activities implemented:</u> The activity sought to implement the decisions of the COP with regard to its financial mechanism, including the provisions set out in the memorandum of understanding (MoU) between the COP and the GEF Council. Specific activities included:</p> <ul style="list-style-type: none"> • Followed-up on COP-8 and COP-9 decisions regarding the 5th review of the financial mechanism, the assessment of funding needs of Parties to the Convention for the period 2022-26; the provisions of the MoU between the COP and the GEF Council and guidance to the financial mechanism. • Communicated regularly with GEF, developed country Parties, other Parties and other sources, including relevant funding institutions and the private sector on matters related to the financial mechanism of the Convention. • Participated in relevant meetings of the GEF, such as GEF Council, GEF Assembly and other meetings. <p><u>Outcomes:</u></p>

¹³⁵ BC.Ex-2/1, RC.Ex-2/1 and SC.Ex-2/1.

	<p>Key outcomes of this activity included the strengthening of the relations with the GEF, including the development and submission of input to GEF projects that took into account the guidance of the COP to the GEF Council and other policy documents.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Follow-up on COP-8 decisions on the financial mechanism is properly reflected in COP-9 documents: The decision taken at COP-8 on the financial mechanism have been followed up and properly reflected in COP-9 documents. This entailed the submission of all relevant COP decisions to the GEF Secretariat. 2. COP decisions and relevant outcomes are forwarded to the GEF and institutions under the financial mechanism are better informed about relevant COP decisions: Proactive reach out and information sessions during the COP and GEF Council meetings, Bureau meetings and the participation of the Secretariat in the GEF chemicals and waste task force resulted in an increased knowledge of institutions under the financial mechanism about relevant COP decisions. 3. COP guidance to the financial mechanism is incorporated in GEF and other donor strategies: The COP has approved the report of the GEF at COP-9, which also included evidence of the appropriate incorporation of COP guidance to the financial mechanism in in GEF programming and other documents. 4. BRS comments on GEF projects submitted in time: BRS comments on GEF projects were not submitted in time because the Secretariat has stopped receiving any GEF projects during the reporting period to comment on. The reason provided was a problem of granting access of the Stockholm Convention Secretariat to the new GEF electronic project platform.
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Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
12,000	11,110	12,000	11,040	12,000	11,000
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	-	-

Partner(s)	Donor and recipient countries, bilateral and multilateral aid agencies, international financial institutions, private sector entities, the GEF Secretariat, GEF Implementing Agencies and IOMC organizations.
Socio-economic aspects	<p>Sustainable Development Goals: Implementing the programme of work activity on financial resources and mechanisms addressed Sustainable Development Goal (SDG) 3 on ensuring healthy lives and more specifically target 3.9, which aimed at substantially reducing the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination by 2030. It also addressed SDG 12 on ensuring sustainable consumption and production patterns with its target 12.4 to achieve, by 2020, environmentally sound management of chemicals and all wastes throughout their lifecycle and minimizing their adverse impacts on human health and the environment). Funding the implementation of the Basel, Rotterdam and Stockholm Convention also contributed to SDG 1 on No Poverty, SDG 2 on Zero Hunger, SDG 6 on Clean Water and Sanitation, SDG 11 on Sustainable Cities and Communities, SDG 14 on Life Below Water, SDG 15 Life on Land, SDG 16 on Peace, Justice and Strong Institutions and SDG 17 Partnerships for the Goals.</p> <p>Gender mainstreaming: The proposed activity was gender-relevant. The activity on financial resources and mechanisms sought to apply the principles of gender equality in the implementation of the voluntary funded activities of the Secretariats. This was achieved by ensuring that funding proposals and reports took into account, if appropriate, gender-related aspects, such as promoting equality among women and men, girls and boys and acknowledging differences in access to services, resources and decision-making. It thus contributed to promoting the consideration of gender issues in hazardous chemicals and wastes management at all levels.</p> <p>Integrated Approach: Through this activity, the respective decisions of the meetings of the conferences of the Parties with regard to the GEF, the Integrated Approach and the Special Programme were also followed up.</p>

Programme heading	Overall Management
PoW number	Activity 31
Title of activity	Support for the review of the synergies decisions
	<i>This activity was completed during the 2016-2017 biennium and therefore no longer applicable in 2018-2019</i>

6. Legal and policy

Programme heading	Legal and policy
PoW number	Activity 32
Title of activity	Legal and policy activities specific to the Basel Convention
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Articles 3, 4, 9, 11, 13, 15 and 16 of the Basel Convention COP decisions: Decisions VI/12, BC-13/1, BC-13/9 and BC-13/24 of the Basel Convention
Activities and outcomes	<p>(1) Work programme of the Implementation and Compliance Committee (ICC)</p> <p><u>The Secretariat:</u></p> <ul style="list-style-type: none"> Coordinated within the Secretariat the ICC's intersessional work; Supported the ICC in identifying priorities and working methods, communicated with ICC members, organized consultations on 28 July 2017, 21 November 2017 and 17 April 2018; Supported the ICC in implementing its work programme for 2018-2019, under the guidance of the ICC (fundraising with support of resource mobilization officer, hiring and supervision of consultants, research and analysis, development and translation of questionnaires, development of reports, translation of guidance documents for the consideration by the COP-14, liaising with Parties concerned by a submission, internal procedures); Supported the ICC in developing its draft programme of work for 2020-2021 and its report to the COP-14 (document UNEP/CHW.14/13, available at: http://www.basel.int/TheConvention/ConferenceoftheParties/Meetings/COP14/tabid/7520/Default.aspx); Followed-up on COP-14 decisions related to the ICC's work. <p><u>Outcomes achieved:</u></p> <p>All the activities entrusted by the COP to the Implementation and Compliance Committee (ICC) were implemented with the support of the Secretariat. The outcomes of these activities were considered by COP-14 which adopted decision BC-14/15 in which it, among other things, welcomed the work undertaken by the ICC since COP-13, adopted the work programme of the ICC for 2020-2021 and adopted three guidance documents developed by the ICC.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Assistance was provided to the satisfaction of the ICC and its Chair <p>(2) Implementation Fund</p> <p><u>The Secretariat:</u></p> <ul style="list-style-type: none"> Liaised with the 7 Parties benefitting from the implementation fund, namely Central African Republic, Togo, Bhutan, Cabo Verde, Eritrea, Guinea-Bissau and Liberia, negotiated legal agreements including by inserting a gender component where appropriate and oversaw their implementation, hired consultants and supervised their work. <p><u>Outcomes achieved:</u></p> <p>Those 7 eligible Parties were supported in their efforts to undertake the activities of their ICC-approved compliance action plan towards resolution of their implementation and compliance difficulties. During the thirteenth meeting of the ICC, the ICC decided that the compliance difficulties faced by Central African Republic, Cabo Verde, Eritrea, and Guinea-Bissau had been resolved. The ICC will continue its consideration of the submissions by Togo and regarding Bhutan and Liberia during its fourteenth meeting (29 June-3 July 2020 and supplementary sessions tentatively scheduled for 21-24 September 2020).</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Resources in the implementation fund were used to assist 7 eligible Parties with the resolution of the implementation and compliance matter, with compliance difficulties by 4 Parties being resolved <p>(3) Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions), 11 (agreements) and 13 (2) (transmission of information)</p> <p><u>The Secretariat:</u></p> <ul style="list-style-type: none"> Received and processed information transmitted by Parties on national definitions, import / export restrictions and Article 11 agreements (including providing quality control and follow up, liaising with Parties, and posting on the web) (staff costs only); Made adjustments to the reporting and notifications systems.

	<p><u>Outcomes achieved:</u> Parties and others have access to information notified pursuant to Articles 3 (national definitions), 4(1) (import prohibitions), 11 (agreements) and 13 (2) (transmission of information) in several or all of the UN languages.</p>
	<p>Evaluation as per the indicators of achievement: 2. Harmonized information is made available on the website of the Convention, whether it was notified or reported by Parties: The electronic reporting system was updated to make information easily available in the same format irrespective of the format of the notification</p>
	<p>(4) Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</p> <p><u>The Secretariat:</u></p> <ul style="list-style-type: none"> Supported the small intersessional working group on the strategic framework in implementing the activities foreseen in decision BC-13/1, in accordance with the Convention’s programme of work for the 2018-2019 biennium; Assisted the group in preparing a draft compilation of information related to the indicators to be used for the preparation of the final evaluation of the strategic framework which was submitted to the Open-ended Working Group at its eleventh meeting, in September 2018; and subsequently revised for consideration by the Conference of the Parties at its fourteenth meeting in May 2019; Hired a consultant to prepare the various iterations of the draft compilation of information referred to above, thanks to the generous financial support provided by the Government of Norway; Supported the small intersessional working group in raising awareness of Parties and observers on its work by organizing a side event on the strategic framework during the eleventh meeting of the Open-ended Working Group in September 2019. <p><u>Outcomes achieved:</u> The compilation of information related to the indicators to be used for the preparation of the final evaluation of the strategic framework was submitted to the COP at its fourteenth meeting in 2019, thereby allowing the COP to assess progress towards the attainment of the goals and objectives of the strategic framework, through the final evaluation of the framework which will take place at its fifteenth meeting, in 2021.</p>
	<p>Evaluation as per the indicators of achievement: 2. Follow up steps as decided by COP-13, in decision BC-13/1, were duly undertaken.</p>

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
402,500	275,370	-	-	-	-

<p>Partner(s)</p>	<p>Work programme of the ICC The ICC activities pertaining to the review of general issues of implementation and compliance were undertaken in consultations with Parties and observers, as appropriate.</p> <p>Implementation Fund Parties concerned by a submission participated in the resolution of the matter of concern. The ICC also invited the Parties concerned by a submission, when relevant, to liaise with BCRCs and the UNEP special programme on institutional strengthening, among others.</p> <p>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions), 11 (agreements) and 13 (2) (transmission of information) Parties and BCRCs.</p> <p>Strategic framework for the implementation of the Basel Convention for 2012 – 2021 Focal points and competent authorities of Parties; BCRCs, UNEP.</p>
<p>Socio-economic aspects</p>	<p>Sustainable Development Goals: All five parts of this activity have contributed to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 3 on ensuring healthy lives and promoting well-being for all at all ages; Goal 11 on making cities and human settlements inclusive, safe, resilient and sustainable; and Goal 12 on ensuring sustainable consumption and production patterns.</p> <p>Gender mainstreaming: To be in line with the BRS Gender Action Plan, the Secretariat has, where appropriate, encouraged governments to take into account gender-related aspects in nominating representatives to the meetings of the Convention’s bodies and in other relevant activities where suitable. The Secretariat also advised, where appropriate, any potential gender impacts of the legal and policy matters considered.</p> <p>Integrated Approach: All five parts of this activity have contributed, as appropriate, to catalysing coordination among relevant stakeholders within Parties, including civil society and private sector, as relevant, for an improved implementation and compliance with the Convention.</p>

Programme heading	Legal and policy
PoW number	Activity 33
Title of activity	Legal and policy activities under the Basel, Rotterdam and Stockholm conventions; national legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input checked="" type="checkbox"/> <u>Stockholm Convention</u>
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Conventions Article: Articles 10 and 16 of the Basel Convention, 18 of the Rotterdam Convention and 19 of the Stockholm Convention</p> <p>COP decisions:</p> <p>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</p> <ol style="list-style-type: none"> 1. Legal and policy activities: Decision BC-13/24 of the Basel Convention, RC-8/17 of Rotterdam Convention and SC-8/27 of the Stockholm Convention mainly; 2. Memorandum of understanding between the COPs and UNEP and FAO: Decisions BC-13/23 of the Basel Convention, RC-8/16 of the Rotterdam Convention and SC-8/26 of the Stockholm Convention 3. Compliance under SC and RC: paragraphs 215-222 of the meeting report of the eighth meeting of the Conference of the Parties to the Stockholm Convention (document UNEP/POPS/COP.8/32) and paragraphs 138-149 of the meeting report of the eighth meeting of the Conference of the Parties to the Rotterdam Convention (document UNEP/FAO/RC/COP.8/27); 4. Compliance and synergies under BC, RC and SC: Section II, part B of the synergies decisions (IX/10, RC-4/11 and SC-4/34). <p>National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions</p> <ol style="list-style-type: none"> 5. BC: Decision BC-13/10, BC-13/21 and BC-13/24 of the Basel Convention; 6. RC: Decision RC-7/1 of the Rotterdam Convention; 7. BC, RC and SC: section I, part A, paragraphs 3 and 4 of the synergies decisions (IX/10, RC-4/11 and SC-4/34), 2013 omnibus decision (BC.Ex-2/1, RC.Ex-2/1, SC.Ex-2/1), conclusions set out in paragraph 258 of the report of the RC COP-7 and in paragraph 330 of the report of the SC COP-7

<p>Activities and outcomes</p>	<p>(1) Legal and policy activities under the Basel, Rotterdam and Stockholm conventions:</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • Communicated and cooperated with international partners and institutions on legal matters, including participation in meetings e.g. participation in the first, second and third substantive sessions of the ad hoc open-ended working group established pursuant to General Assembly resolution 72/277 entitled “Towards a Global Pact for the Environment (Nairobi, 14-18 January 2019, 18-20 March 2019 and 20-23 May 2019), participation in the UNEP Inter-Agency Coordination Meeting on Advancing the Environmental Rule of Law (Geneva, 26-28 November 2019); provided legal input on human rights and issues pertaining to the Basel, Rotterdam and Stockholm Conventions, and participated in relevant annual as well as other regular or informal meetings, events and consultations with the Office of the High Commissioner on Human Rights (OHCHR), with the UN Special Rapporteurs on i) human rights and the environment, ii) on the implication for human rights of the environmentally sound management and disposal of hazardous substances and wastes, at the Human Rights Council (March and September 2018 and 2019); provided legal input on trade/WTO and environment legal issues pertaining to the Basel, Rotterdam and Stockholm Conventions, and participated in biannual meetings and other relevant events and activities with the World Trade Organization (WTO) (see also activity 29 on international cooperation and related COPs meeting 2019 document). • Provided upon request legal and policy advice and analysis pertaining to the implementation and enforcement of the Basel, Rotterdam and Stockholm Conventions to 48 Parties. Legal and policy advice was also provided upon request to a number of other stakeholders including non-governmental and inter-governmental organizations, industry and academia. • Produced updated convention texts, re-printed relevant convention texts. • Provided the legal input to legal technical assistance activities (see fact sheets on activities 13, 14, 15, 16, 17 and 18 for technical assistance activities and 2019 COPs document UNEP/CHW.14/16-UNEP/FAO/RC/COP.9/15-UNEP/POPS/COP.9/16). • Provided legal input to the undertaking of all other substantive activities of the work programme as needed (e.g. development of technical guidelines under the Basel Convention, national reporting under the Basel Convention and Stockholm Convention, plastic waste amendments to the Basel Convention, knowledge and information management and outreach) and to the Executive Office. • Provided legal advisory services to the conventions' bodies (BRS 2019 COPs, BC OEWG-11, RC CRC-14, RC CRC-15, SC POPRC-14, SC POPRC-15, as well as ad hoc subsidiary bodies under the three conventions) • Undertook Party-status related activities (communication with Parties and the Depositary to the conventions, including transmission of texts of amendments and updates to website pages). • Undertook corporate legal activities e.g. drafting, reviewing of legal instruments; due diligence legal review; provided legal advice on corporate issues, including e.g. on intellectual property (filing of request for protection, by the World Intellectual Property Organization of additional logos and names/abbreviations for the Basel, Rotterdam and Stockholm conventions), delegations of authority; reduced significant amounts of arrears over the biennium by facilitating payments for some particular cases and the conclusion of a number of payment plans with Parties in arrears; prevented or solved corporate legal disputes. • Provided the legal input to the Secretariat’s communication and outreach as well as the knowledge management activities. • Finalized three draft memorandums of understanding between the COPs and UNEP and, under RC, FAO, in close consultation with UNEP and FAO, for adoption by the 2019 meetings of the conferences of the Parties (documents UNEP/CHW.14/26/Rev.1, UNEP/FAO/RC/COP.9/22/Rev.1 and UNEP/POPS/COP.9/29/Rev.1); provided support to those meetings towards the adoption of the memorandums of understanding; and organized the signature of the three adopted memorandums of understanding. • Provided support on compliance matters under the Rotterdam and Stockholm conventions in preparation for and during the 2019 meetings of the conferences of the Parties (support to the bureaux meetings, preparation of meeting documents UNEP/FAO/RC/COP.9/14 and UNEP/FAO/RC/COP.9/14/Rev.1, UNEP/FAO/RC/COP.9/14/Add.1 and UNEP/FAO/RC/COP.9/14/Add.1/Rev.1, UNEP/FAO/RC/COP.9/INF/25, and UNEP/POPS/COP.9/22). • Provided support on synergies and compliance under the Basel, Rotterdam and Stockholm conventions, including through the development of a leaflet in the six languages of the United Nations presenting the compliance mechanisms and procedures under the Basel Convention and the Rotterdam Convention. <p><u>Outcomes achieved:</u></p> <p>The Secretariat’s legal operations were undertaken in line with relevant rules and regulations; the legal and policy aspects of the implementation and further development of the conventions were addressed.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Legal and policy activities were undertaken in a timely manner and to the satisfaction of Parties, stakeholders, partners, Secretariat staff and management: 2. A significant number of legal instruments were drafted, negotiated and concluded to the satisfaction of their implementing partners, through which important amounts of funds were transferred (in/out), thereby allowing implementation of activities pertaining to the conventions programmes of work as a whole. 3. Support on compliance was provided to the satisfaction of the RC and SC Parties and bureaux.
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	<p>(2) National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions:</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • Provided support to 9 Parties to the Basel Convention, on request, on issues relating to illegal traffic. • Made available on the website of the Basel Convention, upon request by a Party, two forms for confirmed cases of illegal traffic. • Collected, organized and disseminated legislation provided by Parties, as well as other legal information, such as registrations for exemptions, final regulatory actions, import responses etc. • Promoted synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes, including conducting a questionnaire among stakeholders on illegal traffic and trade, preparing COP documents and supporting the discussions at the 2019 COPs. • Cooperated with other MEAs and partners (e.g. IMPEL, INECE, UNEP, REN WCO, GCI, INTERPOL, Asian Network). Activities included participation via online means in the 13th meeting of the GCI Partners held on 24 and 25 of September 2018 and hosted by the Organization for Prohibition of Chemical Weapons in The Hague, Netherlands; participation in the 14th meeting of the GCI Partners held on 2-3 October 2019 in Geneva; participation in the WCO Permanent Technical Committee meeting on 30 October 2019 in Brussels, Belgium, participation in the 2018 and 2019 meetings of the Asian Network for Prevention of Illegal Transboundary Movement of Hazardous Waste held respectively on 4-6 November 2018 in Akita, Japan and 12-14 November 2019 in Kuala Lumpur Malaysia, the INTERPOL Conference and 24th meeting of the Pollution Crime Working Group on 12-14 November 2019 in Brussels, Belgium, the IMPEL annual conference on 16-17 November 2019 in Bucharest, Romania and the LIFE SWEAP High Level Advisory Board Meeting on 10 December 2019. <p><u>Outcomes achieved:</u> Parties, including law enforcement authorities and customs, have a better understanding of and are in a better position to develop legal frameworks, prevent and combating illegal traffic and enforce the Basel, Rotterdam and Stockholm conventions.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 4. National legislation and other legal information transmitted by Parties was collected, organized and made available to Parties and other stakeholders. 5. 9 Parties' requests for assistance in resolving cases of illegal traffic were dealt with to their satisfaction. 6. Cooperation with partners on enforcement matters was fostered. 7. Synergies between BC, RC and SC on illegal traffic/trade issues were identified and fostered.
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Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
20,000	18,592	-	-	-	-

Partner(s)	<p>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions: Parties, BCRCs, SCRCs, Depositary and Office of Legal Affairs, UNEP, FAO, WTO, IMO, OHCHR, other IGOs, NGOs, private sector, SC Bureau, RC Bureau, BC Implementation and Compliance Committee.</p> <p>National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions: Parties, BCRCs, SCRCs, UNEP, FAO, GCI, INTERPOL, WCO, INECE, IMPEL, Asian Network, other IGOs and NGOs with a mandate on national legislation as well as illegal traffic/trade issues.</p>
Socio-economic aspects	<p>Sustainable Development Goals: These activities contributed to various degrees to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 3 on ensuring healthy lives and promoting well-being for all at all ages; Goal 11 on making cities and human settlements inclusive, safe, resilient and sustainable; and Goal 12 on ensuring sustainable consumption and production patterns.</p>

	<p>Gender mainstreaming: In line with the BRS Gender Action Plan, the Secretariat advised, where appropriate, any potential gender impacts of the legal and policy matters considered.</p> <p>Integrated Approach: These legal and policy activities as a whole, in particular preventing and combating illegal traffic and trade, carried an important potential to contribute to catalysing coordination among relevant stakeholders within Parties, including civil society and private sector, as relevant, for an improved implementation and enforcement of the BRS conventions.</p>
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Programme heading	Legal and policy
PoW number	Activity 34
Title of activity	Coordination and provision of support to Parties in follow-up to the country-led initiative on environmentally sound management and further legal clarity
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Articles 1, 2 and 4 of the Basel Convention COP decision(s): Decision BC-13/2 of the Basel Convention
Activities and outcomes	<p>(1) Coordination and support provided to Parties for ESM:</p> <p><u>The Secretariat:</u></p> <ul style="list-style-type: none"> Organized one meeting of the expert working group (EWG) on ESM in Sao Paolo, Brazil, from 5 to 8 December 2018, thanks to generous financial support provided by the European Union and Government of Norway; Organized travel for 2 staff members to support the meeting of the EWG on ESM referred to above; Provided secretariat support to the EWG on ESM (staff costs only) Set up subcontracts to support 6 new pilot projects out of the 9 to be implemented under the EWG on ESM. <p><u>Outcomes achieved:</u> The expert working group on ESM was assisted in its work by the Secretariat; the ESM Toolkit was further developed and disseminated to stakeholders.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Effective support provided as per the programme of work of the Basel Convention: one meeting supported; 6 new subcontracts developed; reports made to OEWG-11 and COP-14. Work on ESM is completed as per the mandate from COP-13 e.g. expert working group meetings held, work programme activities are implemented, etc.: ESM Toolkit was finalized and remaining tools (except one) adopted by COP-14; all 9 pilot projects foreseen in the work programme of the expert working group were completed. Industry involvement in implementation of the work programme of the expert working group: consistent industry presence at meetings of EWG on ESM. <p>(2) Coordination and support provided to Parties for legal clarity:</p> <p><u>The Secretariat:</u></p> <ul style="list-style-type: none"> Hired one consultant to support the Expert Working Group (EWG) on the review of Annexes I, III, IV and related aspects of Annex IX; Maintained the membership and list of observers to the EWG on the review of Annexes; Organized and provided support for online consultations of the EWG on the review of Annexes (20 February 2018, 14 June 2018, 6 September 2018, 27 November 2018, 16 October 2019); Organized in cooperation with, respectively, the BCRC-Argentina and the BCRC-Slovakia, two face-to-face meetings of the EWG on the review of Annexes, i.e. the second meeting in Buenos Aires, Argentina, from 10 to 13 December 2018, thanks to the generous financial support by the European Union and third meeting in Bratislava, Slovakia, from 5 to 8 November 2019, thanks to the generous financial support from the Governments of Norway, Germany and Switzerland. Organized the travel for eligible participants (13 and 14, respectively) and for the staff to support the second and third meetings of the EWG on the review of the Annexes; Provided support to the EWG and its co-chairs; Prepared meeting documents for the OEWG-11 (UNEP/CHW/OEWG.11/10) and the COP-14 (UNEP/CHW.14/14) for the review of the annexes I, III, IV and related aspects of Annex IX as well as Annexes II, VIII and IX <p><u>Outcomes achieved:</u> Parties and the EWG on the review of Annexes were effectively supported in their work as mandated by COP-13. More information on the work on the review of the Annexes is available at: http://www.basel.int/Implementation/LegalMatters/LegalClarity/ReviewofAnnexes/AnnexesI,III,IVandrelatedaspectsofAnnexes/tabid/6269/Default.aspx</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Effective support was provided to the Parties and the expert working group on the review of the annexes I, III, IV and related aspects of annex IX to the Convention: Work on legal clarity, including on the review of Annexes II, VIII and IX, was completed as per the mandate from COP-13

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
677,500	465,840	-	-	-	-

Partner(s)	<p>Activities of the expert working group on environmentally sound management are developed by members of the group (30 Party members and observers from industry and civil society) and implemented in cooperation with Parties, the BCRCs and other stakeholders.</p> <p>The work on legal clarity is led by Parties. Observers from industry and civil society participate as observers in the work of the expert working group on legal clarity.</p>
Socio-economic aspects	<p>Sustainable Development Goals: This activity contributed to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 12 on ensuring sustainable consumption and production patterns.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the Secretariat encouraged governments and observers to take into account gender-related aspects in nominating representatives to the meetings of the working groups, and in other relevant activities where suitable. The Secretariat also advised, where appropriate, any potential gender impacts of matters considered, in particular as to vulnerable groups who may suffer greater exposure to and harm from hazardous wastes.</p> <p>Integrated Approach: The activity catalysed cooperation among Parties and relevant stakeholders, including civil society and private sector, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>

7. Office maintenance and services

Programme heading	Office maintenance and services
PoW number	Activity 35
Title of activity	Office maintenance and services
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input checked="" type="checkbox"/> <u>Stockholm Convention</u>
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	N/A
Activities and outcomes	<p>(1) Office maintenance and services</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> • Communications (excluding Internet Service) - Geneva based Secretariat • Communications (excluding Internet Service) - Rome based Secretariat • Maintenance of office equipment - Geneva based Secretariat • Maintenance of office equipment - Rome based Secretariat • Office space, maintenance, utilities - Geneva based Secretariat • Non-expendable equipment - Geneva based Secretariat • Non-expendable equipment - Rome based Secretariat • Office supplies - Geneva based Secretariat • Office supplies - Rome based Secretariat <p><u>Outcomes achieved:</u> Sufficient office facilities, services and supplies were available to enable the Secretariat to operate in an efficient and effective manner</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. All essential contracts related to rental, services and maintenance for the office and equipment have been processed for 2018-2019 to ensure the availability of office space, services and equipment to facilitate the delivery of the programme of work.

Budget performance for 2018-2019

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
364,080	329,561	212,040	187,529	364,080	327,318
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	-	-

Partner(s)	UNON, UNOG and external service providers
Socio-economic aspects	<p>Sustainable Development Goals: N/A</p> <p>Gender mainstreaming: N/A</p> <p>Integrated Approach: N/A</p>

Programme heading	Office maintenance and services				
PoW number	Activity 36				
Title of activity	Joint information technology services				
Convention(s)	<input checked="" type="checkbox"/> <u>Basel Convention</u> <input checked="" type="checkbox"/> <u>Rotterdam Convention</u> <input checked="" type="checkbox"/> <u>Stockholm Convention</u>				
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget				
Mandate	N/A				
Activities and outcomes	<p>(1) Joint information technology services:</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> IT services were provided for the Secretariat, including: selection, installing and training of software packages; updating of user accounts and creation of new accounts for new staff, consultants and interns; provision of network administration, including cabling, switches, servers and network printers; and the provision of a helpdesk service available all times during working hours and 24 hours 7 days a week on call, including servicing of meetings; Non-expendable equipment and software, including personal computers, network equipment and audio-visual equipment were purchased for Secretariat staff hosted in Geneva and Rome, following UN rules and procedures; obsolete-end of cycle laptops, desktops, servers and other equipment (between 5 and 10 years old) were disposed of following the standard UN policies and procedures, Internet access was provided, including remote access for Secretariat staff and external users. <p><u>Outcomes achieved:</u></p> <p>This component ensured the storage and processing of the information generated in the process of conventions' implementation as well as provision of service to the Secretariat and Parties during meetings of the conferences of the Parties and other relevant meetings by using new technologies to facilitate negotiations. It also provided the Secretariat with IT equipment and services necessary to implement the programmes of work.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Procedures and resources are in place to ensure, subject to the availability of resources, the implementations of the programme of work for 2018-2019; Delivery of IT services in a cost efficient and timely manner to the Secretariat and Parties. <p>(2) Webcasting:</p> <p><u>Activities implemented:</u></p> <ul style="list-style-type: none"> Provision of webcasting services for the Secretariat. <p><u>Outcomes achieved:</u></p> <p>The outcome of this component was the provision of webcasting services to the Secretariat and Parties and observers of the conventions. It enabled the Secretariat to reach out to Parties for online training activities, briefings and meetings under the various programme of work activities, thus providing technical assistance and information exchange services to Parties and observers in a resource efficient manner.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Licences and equipment purchased to organize webcastings; Webcasting services available to support programme of work activities. 				
<u>Budget performance for 2018-2019</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
100,000	73,272	80,000	68,001	100,000	78,354
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	-	-

Partner(s)	FAO, UNEP, UNOG and UNON
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring proper access to information related to the sound management of chemicals and wastes, this activity contributed to the Sustainable Development Goal 9 on “Industry, innovation and infrastructure” (target 9.c) and 16 on “Peace, justice and strong institutions” (target 16.10).</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the Secretariat collected and provided access to information on gender issues related to webcastings.</p> <p>Integrated Approach: The webcastings contributed to catalysing cooperation and coordination among Parties and relevant stakeholders at the global and regional level, including civil society and private sector, as relevant, thus promoting the sound management of chemicals and wastes. As such, this activity contributes to the integrated approach to financing options for chemicals and wastes.</p>
