



Adding chemicals in Annex III of the Convention



Structure of presentation

1. Key Articles for listing chemicals in Annex III
 - Article 2, 5 and 6
 - Annex I, II and IV
2. Process for listing chemicals in Annex III
 - Key Players - Parties/DNAs, Secretariat, CRC and COP
 - Key Information – forms, supporting documentation, focused summary, ongoing trade and additional information for SHPF
3. Example

Key Articles for listing chemicals in Annex III

- ➔ **Article 2** - Definitions of "Banned chemical - Severely restricted chemical - Severely hazardous pesticide formulation"
- ➔ **Article 5** – Procedure for banned or severely restricted chemicals
 - Parties to submit notifications when taking final regulatory action
 - Annex I: information requirements, where available
 - Annex II: criteria for listing chemicals
- ➔ **Article 6** - Severely hazardous pesticide formulations
 - Developing country or country with economy in transition to submit proposals for SHPF when experiencing problems under prevailing conditions of use
 - Annex IV: information requirements and criteria for listing

Process for listing chemicals in Annex III

Parties

- Submit notifications for banned or restricted chemical to Secretariat (**Art 5**)
- Submit proposals for SHPF to Secretariat (**Art 6**)

Secretariat

- Verifies submissions against information requirements
- Publishes in PIC Circular
- Collects additional information

IF notifications from **two** regions or **one** proposal:

- Forwards to CRC

CRC

- Reviews candidate chemicals against criteria
- If criteria are met, recommends listing
- Prepares draft DGDs
- Forwards to COP for decision on listing

COP

- Decides by consensus the listing of chemical in Annex III
- Adopts DGD

Key Players – Party (art.5)

➔ Under Article 5:

- ✓ DNA is to submit **notification** of final regulatory action to the Secretariat
 - For existing national regulatory actions - when the Convention enters into force for that country
 - For new regulatory actions - within 90 days after the final regulatory action takes effect

TO SEND:

- ✓ **Notification form** containing information set out in Annex I, where available, signed and dated by DNA

Key Players – Party (art. 6)

➔ Under Article 6:

- ✓ Any Party that is a developing country or a country with an economy in transition and that is experiencing problems caused by a SHPF under conditions of use in its territory may propose to the Secretariat the listing of the SHPF in Annex III

TO SEND:

- ✓ A **proposal of SHPF** containing the information set out in Annex IV, Part 1, signed and dated by DNA

Key Players: Secretariat

- ➔ Verify the **notification** against Annex I requirements
- ➔ Publish a summary in PIC Circular
- ➔ For non Annex III chemicals
 - Request supporting documentation
- ➔ IF receives **notifications** from **two** different PIC regions for the same chemical - **forward to CRC**

- ➔ Verify the **proposal** against Annex IV, Part 1
- ➔ Publish a summary in PIC Circular
- ➔ For non Annex III chemicals
 - Collect information relevant to Part 2 of Annex IV
- ➔ **Forward to CRC**

Key information: Notification Form (Art.5)

- ➔ Template to facilitate harmonized reporting of national regulatory decisions, divided in 4 sections mirroring requirements of Annex I
- ➔ Information in the form should reflect the basis for the regulatory decision
 - the process led to the decision, risk or hazard evaluation and key findings)
 - information available at the time
- ➔ If it is not a Annex III chemical, DNA will be requested to provide supporting documentation referenced in the notification

Key information – Supporting documentation and Focused summary

- ➔ DNA to send **supporting documentation** referenced in the notification
- ➔ Submit **focused summary** **if** the supporting documentation is voluminous or not in English
 - Summary of the basis for the regulatory action, how the notification fulfils the criteria in Annex II, key decisions with references to the associated documents
 - NOT intended to replace supporting documentation and not mandatory
 - Guidance developed by the CRC: outline and example

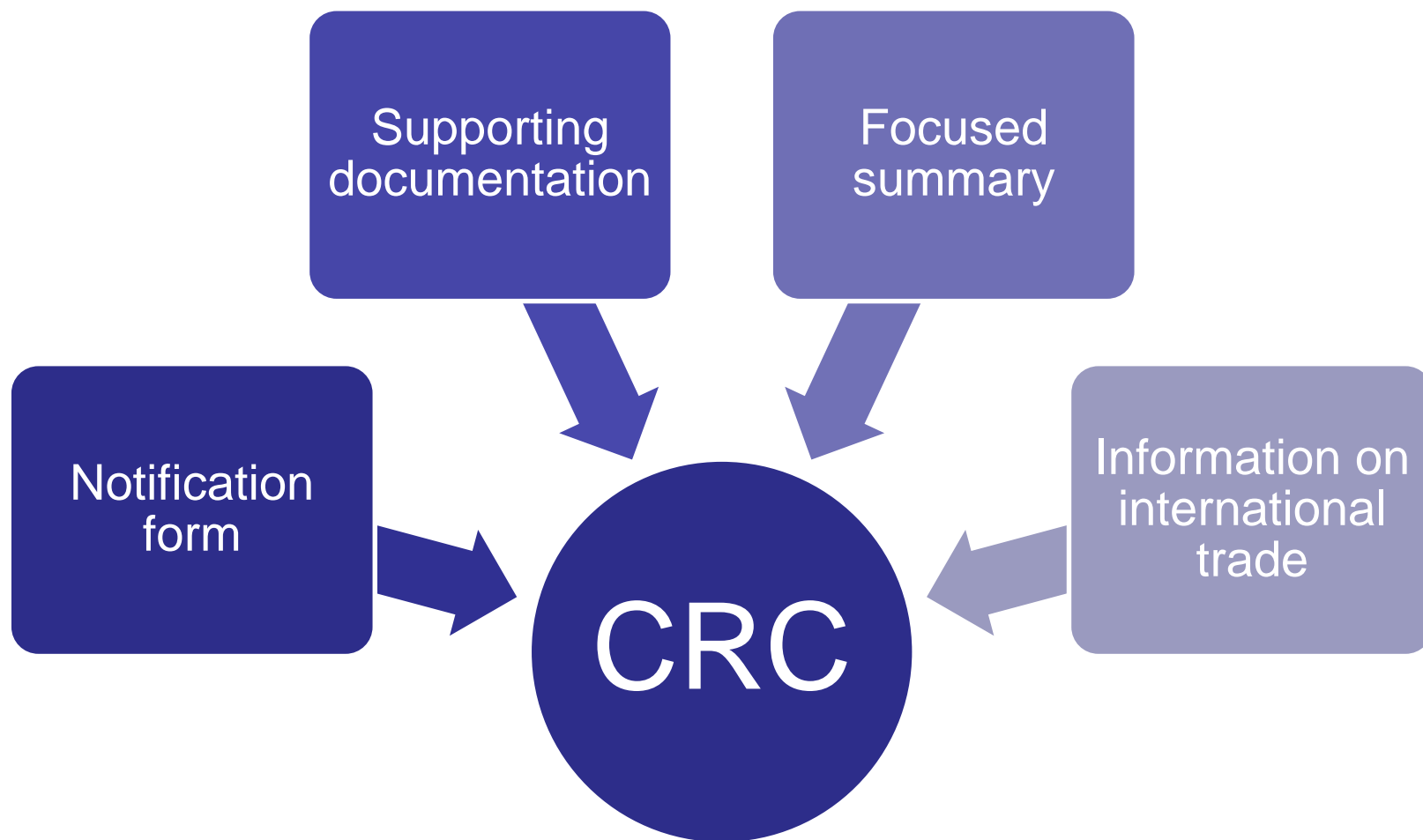
Key information – Ongoing international trade

Information on ongoing international trade

➡ Collected by the Secretariat

- For candidate chemicals, the Secretariat to collect information on trade from all sources
- Call on information through www.pic.int, the PIC Circular, letters to NGO, industry associations
- If available, submit to the CRC

Summary - information to be reviewed by CRC



Key information: SHPF form – Art. 6

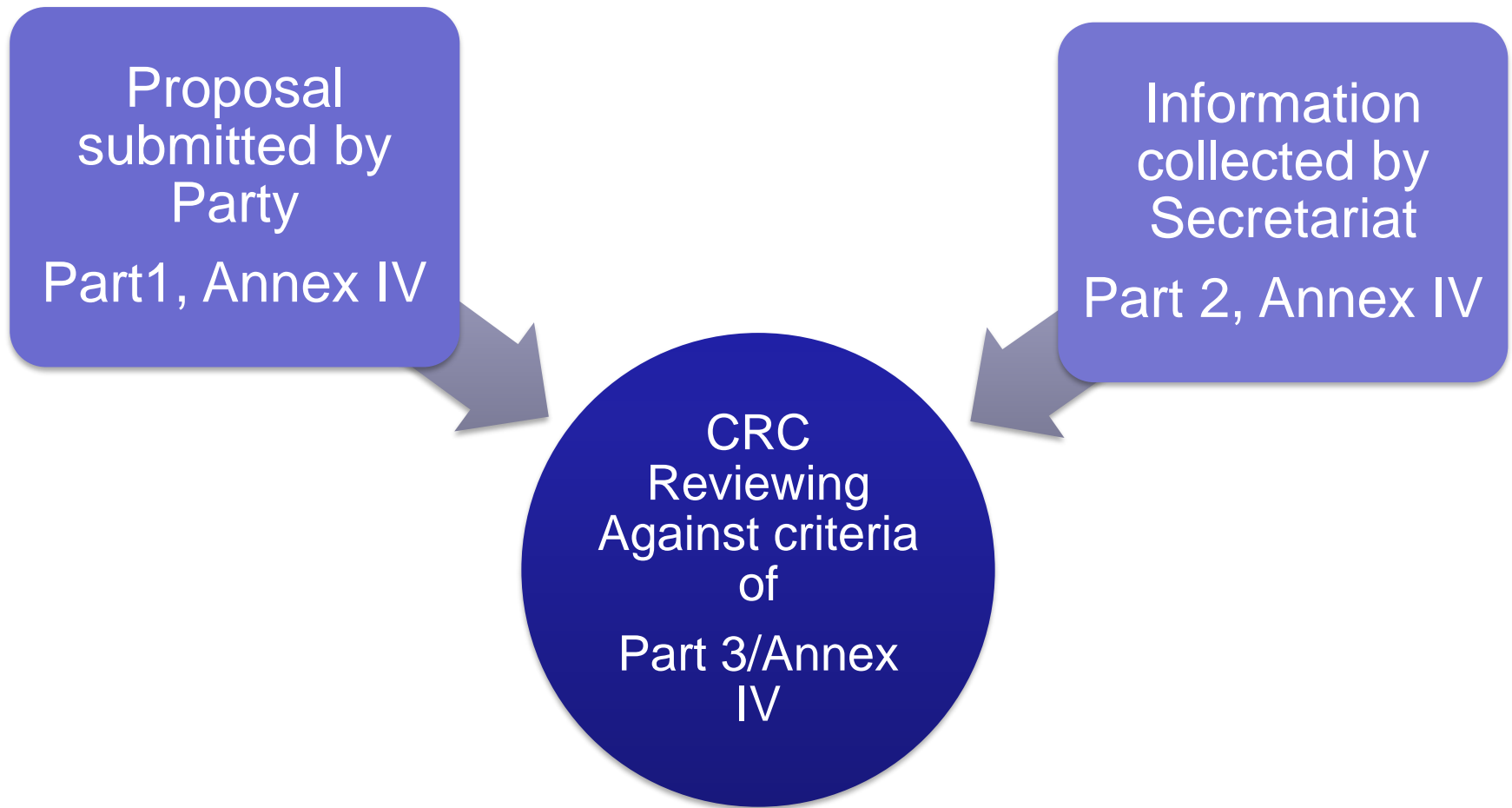
- ➔ Information requirements of Annex IV, Part 1 of the Convention
- [Identification of the formulation](#), all information on the composition, the type and concentration of the individual active ingredients and CAS numbers
- When possible the [label](#) (image, copy etc) is requested in order to better identify the formulation
- Description of the incidents in details, evidence that the use of that precise formulation resulted in the incidents reported
- Description of how the formulation is typically used in the reporting country ([common and recognized patterns](#)): crops treated, pests controlled, application methods, rate and frequency of applications, usually it differs from the officially permitted uses

Key information: Annex IV, Part 2-Secretariat

Secretariat collects:

- ➡ The physico-chemical, toxicological and ecotoxicological properties of the formulation, when possible the label;
- ➡ The existence of handling or applicator restrictions in other States;
- ➡ Information on incidents related to the formulation in other States;
- ➡ Information submitted by other Parties, international organizations, nongovernmental organizations or other relevant sources, whether national or international;
- ➡ Risk and/or hazard evaluations, where available;
- ➡ Indications, if available, of the extent of use of the formulation, such as the number of registrations or production or sales quantity;
- ➡ Other formulations of the pesticide in question, and incidents, if any, relating to these formulations;
- ➡ Alternative pest-control practices;

Summary – information to be reviewed by the CRC



How does CRC work?



Before CRC meeting

- Create inter-sessional Task Groups
- Undertake preliminary review on candidate chemicals
- Lead discussion at CRC meeting

At CRC meeting

- CRC review each candidate chemicals
- Conclude whether criteria of Annex II / Annex IV are met, adopt rationale
- Make recommendation to the COP
- Establish inter-sessional drafting group to prepare draft a DGD and adopt a workplan

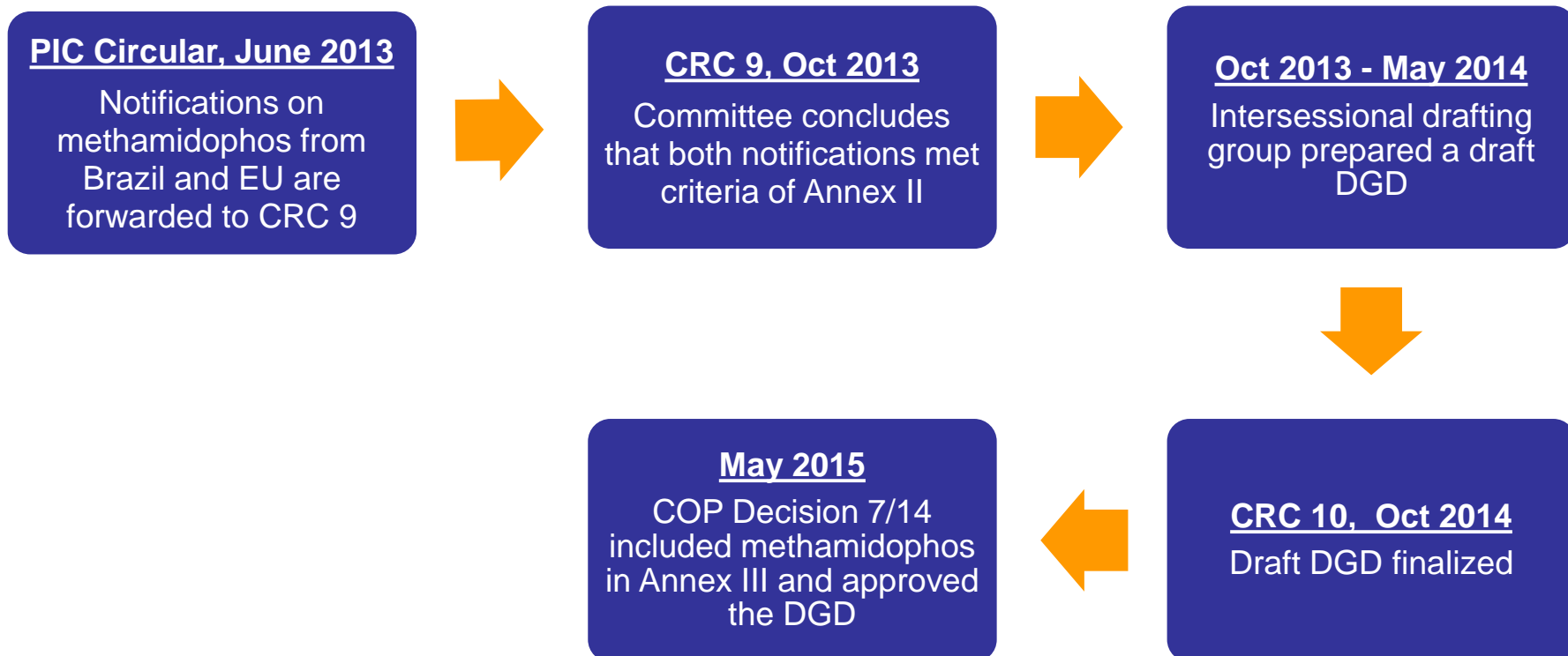
After CRC meeting

- Inter-sessional drafting group to prepare draft DGD, based on the process agreed by COP (decision RC-2/2 process for preparing draft DGD)

Next CRC meeting

- adopt draft DGDs
- forward to COP for its decision on the listing of the chemical: CRC recommendation + Draft DGD + Rationale

The long path towards inclusion in Annex III: Methamidophos, an example



Thank you!

Share Responsibility



ROTTERDAM CONVENTION

www.pic.int