

## Questionnaire for Parties to the Rotterdam Convention

### Identification of issues being faced by Parties in relation to the notification of final regulatory actions

#### Background

By decision RC-10/11, the Conference of the Parties to the Rotterdam Convention adopted the programme of work of the Compliance Committee for the biennium 2022–2023. One of the systemic issues of general compliance to be addressed by the Committee relates to the notification of final regulatory actions pursuant to Article 5 of the Convention.

The Committee was mandated to, based on information from Parties, identify and review issues being faced by Parties in relation to the notification of final regulatory action, in particular with regards to the information requirements set out in Annex I to the Rotterdam Convention.

The Compliance Committee held its first meeting on 16–18 November 2022 in Geneva, Switzerland. Following its consideration of this issue at that meeting, **input is being sought from Parties on notifications of final regulatory actions** to inform the further work of the Compliance Committee and any potential recommendations to the Conference of the Parties. Parties are invited to respond to the questions relevant to their country/organization.

Parties are invited to respond to the following questionnaire to provide information about possible **systemic issues of general interest to all Parties** related to issues being faced in relation to the notification of final regulatory actions, in particular with regards to the information requirements set out in Annex I to the Rotterdam Convention.

#### Instructions for completing and submitting the questionnaire

A **login and password** have been provided to each Party's official contact point, allowing access to an online questionnaire. The password will also need to be used for the Party to submit the questionnaire to the Secretariat. Designated national authorities will receive the questionnaire without a login and password. Designated national authorities and official contact points are therefore to cooperate and coordinate to provide one consolidated response on behalf of a Party in completing this questionnaire.

Questionnaires are to be submitted to the Secretariat no later than **30 June 2023**. Any substantive questions pertaining to this questionnaire may be addressed to Ms. Yvonne Ewang-Sanvincenti (yvonne.ewang-sanvincent@un.org). Any IT questions pertaining to this questionnaire may be addressed to Mr. Lalantha Sirisinage (lalantha.sirisinage@un.org).

Thank you in advance for your kind cooperation.

**I. Submission to the final regulatory actions**

1. a. Has your country/organization faced any issues related to the submission of a notification of final regulatory action to the Secretariat?

No  Yes

If yes, please indicate which:

- Uncertainty about where to submit the notification (email addresses etc.)
- Issues with the authority to submit the notification
- Other issues (Please specify):

1.b. Has your country/organization faced any issues following submission of a notification of a final regulatory action [[Hyperlink to definition of final regulatory action from Article 2 of the Convention](#)] to the Secretariat?

No  Yes

If yes, please indicate which:

- Queries from the Secretariat related to verification of information required by Annex I
- Provision of information required by Annex I
- Provision of supporting documentation
- Other issues

(Please specify which: \_\_\_\_\_)

**II. Preparation and adoption of final regulatory actions**

2. a. Has your country/organization taken any final regulatory action?

No  Yes

2. b. Do the laws or other measures adopted by your country/organization to implement the Convention require the use of risk or hazard evaluations?

No  Yes

If yes:

Please provide more information on your approach including e.g. use of bridging information

Please provide details of any challenges your country/organization may have faced in undertaking such evaluations?

2. c. Did you face any issues in preparing and/or adopting final regulatory actions?

No  Yes

If yes, please indicate which:

*Institutional and governance issues:*

- Exchange of information and coordination between national stakeholders
  - Lack of mechanism for coordination and communication
  - Lack of awareness
  - Overlapping or unclear mandates, roles and responsibilities
- Priority setting e.g. time/resources allocated

- Lack of human resources
- Lack of financial resources
- Not determined to be a priority

*Issues with information required by the final regulatory action notification form (actions prior to submission to the Secretariat):*

- Collection of information required in Annex I
  - Identity of chemical subject to the final regulatory action (Section 1 of the form for notification)

Please specify e.g. identifying trade names:

- Information on the final regulatory action (Section 2 of the form for notification)

Please specify:

- Properties of the chemical (Section 3 of the form for notification)

Please specify:

- Designated national authority (Section 4 of the form for notification)

- Other issues* (Please specify):

Please indicate whether you might have faced more challenges in relation to one category of chemicals:

- Industrial chemicals
- Pesticides
- Same for both groups

If so please provide more information [*Box to appear if one of the above boxes is clicked*]

2. d. Does your country/organization use any of the Rotterdam Convention tools, guidance and materials in preparing final regulatory actions?

- No
- Yes

If yes, please indicate which:

- Final Regulatory Action Evaluation Toolkit
- FAO Pesticide Registration Toolkit (Chemical Information Assessment (CIA) Tool)
- Database of FRAs
- PIC Circular
- Online Resource kit
- Country Profiles of Parties to the Rotterdam Convention
- Other guidance

(Please specify which: \_\_\_\_\_)

Was there information that you felt was needed but not available to enable the preparation of the final regulatory action?

- No     Yes

If yes, please provide more details:

2.e. Has your country/organization faced any issues related to adopting a final regulatory action?

- No     Yes

If yes, please indicate which:

- Prioritisation of adoption of action
- Awareness raising of decision makers
- Lack of scientific information for decision making
- Lack of legal basis for decision making
- Lack of other information

(Please specify which: \_\_\_\_\_)

- Other issues (Please specify):

**III. Additional issues faced in relation to final regulatory actions**

Please use the space provided below to provide information on any issues you face in relation to action to be taken prior to the submission of the notification of final regulatory action or following the preparation and submission of notifications of final regulatory action which may not have been covered by the above questions

**IV. Submitter information**

Party:

Name of the person who completed the questionnaire:

Job title:

Institution:

Telephone no:

E-mail:

[Date of submission is automatically registered by the online system]

If you do not wish to submit the questionnaire at this time, you can click on the [“Save progress”] button and exit the questionnaire.

[Submission password]

[Exit page]

Thank you for your participation. Your answers have been saved.