



**United Nations
Environment Programme**

**Food and Agriculture Organization
of the United Nations**

Distr. General
10 June 2011

English only

**Rotterdam Convention on the Prior
Informed Consent Procedure for Certain
Hazardous Chemicals and Pesticides in
International Trade**

**Conference of the Parties
Fifth meeting**

Geneva, 20–24 June 2011

Item 5 (a) of the provisional agenda**

**Matters related to the implementation of the
Convention: status of implementation**

**Status of ratification of the Rotterdam Convention as at
30 May 2011**

Note by the Secretariat

1. The text of the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade was adopted at a conference of plenipotentiaries on 10 September 1998 in Rotterdam, the Netherlands. In accordance with its Article 24, the Convention was opened for signature by all States and regional economic integration organizations in Rotterdam on 11 September 1998 and subsequently at United Nations Headquarters in New York from 12 September 1998 to 10 September 1999. As at 11 September 1999, the Convention had received 73 signatures. In accordance with its Article 26, the Convention entered into force on 24 February 2004, on the ninetieth day after the date of deposit of the fiftieth instrument of ratification, acceptance, approval or accession.
2. According to paragraph 2 of Article 26 of the Convention, “for each State or regional economic integration organization that ratifies, accepts or approves this Convention or accedes thereto after the deposit of the fiftieth instrument of ratification, acceptance, approval or accession, the Convention shall enter into force on the ninetieth day after the date of deposit by such State or regional economic integration organization of its instrument of ratification, acceptance, approval or accession”.
3. As at 30 May 2011, 143 parties, i.e., 142 States and one regional economic integration organization, had ratified, accepted, approved or acceded to the Convention. On the date of the opening of the fifth meeting of the Conference of the Parties, the Convention will have entered into force for 141 parties and it will enter into force for two additional parties: Morocco, on 24 July 2011, and the Russian Federation, on 27 July 2011.
4. Information on the status of ratification of the Convention is presented in two annexes to the present note. Annex I lists in alphabetical order all parties to the Convention as at 30 May 2011. Annex II shows the general status of ratification of the Convention. The information presented in the

* Reissued for technical reasons on 17 June 2011.

** UNEP/FAO/RC/COP.5/1/Rev.1.

annexes to the present note is based on instruments received by the Secretary-General of the United Nations, as depositary of the Convention, and information published by the United Nations Office of Legal Affairs. The information provided in annex II is based on that published by the United Nations Office of Legal Affairs, without formal editing by the Secretariat.

Annex I

Parties to the Convention as at 30 May 2011

1.	Albania	54.	Guinea	106.	Qatar
2.	Antigua and Barbuda	55.	Guinea-Bissau	107.	Republic of Korea
3.	Argentina	56.	Guyana	108.	Republic of Moldova
4.	Armenia	57.	Hungary	109.	Romania
5.	Australia	58.	India	110.	Russian Federation
6.	Austria	59.	Iran (Islamic Republic of)	111.	Rwanda
7.	Belgium	60.	Ireland	112.	Samoa
8.	Belize	61.	Italy	113.	Saudi Arabia
9.	Benin	62.	Jamaica	114.	Senegal
10.	Bolivia (Plurinational State of)	63.	Japan	115.	Serbia
11.	Bosnia and Herzegovina	64.	Jordan	116.	Singapore
12.	Botswana	65.	Kazakhstan	117.	Slovakia
13.	Brazil	66.	Kenya	118.	Slovenia
14.	Bulgaria	67.	Kuwait	119.	Somalia
15.	Burkina Faso	68.	Kyrgyzstan	120.	South Africa
16.	Burundi	69.	Lao People's Democratic Republic	121.	Spain
17.	Cameroon	70.	Latvia	122.	Sri Lanka
18.	Canada	71.	Lebanon	123.	Saint Vincent and the Grenadines
19.	Cape Verde	72.	Lesotho	124.	Sudan
20.	Chad	73.	Liberia	125.	Suriname
21.	Chile	74.	Libyan Arab Jamahiriya	126.	Sweden
22.	China	75.	Liechtenstein	127.	Switzerland
23.	Colombia	76.	Lithuania	128.	Syrian Arab Republic
24.	Congo	77.	Luxembourg	129.	Thailand
25.	Cook Islands	78.	Madagascar	130.	The former Yugoslav Republic of Macedonia
26.	Costa Rica	79.	Malawi	131.	Togo
27.	Côte d'Ivoire	80.	Malaysia	132.	Tonga
28.	Croatia	81.	Maldives	133.	Trinidad and Tobago
29.	Cuba	82.	Mali	134.	Uganda
30.	Cyprus	83.	Marshall Islands	135.	Ukraine
31.	Czech Republic	84.	Mauritania	136.	United Arab Emirates
32.	Democratic People's Republic of Korea	85.	Mauritius	137.	United Kingdom of Great Britain and Northern Ireland
33.	Democratic Republic of the Congo	86.	Mexico	138.	United Republic of Tanzania
34.	Denmark	87.	Morocco	139.	Uruguay
35.	Djibouti	88.	Mongolia	140.	Venezuela (Bolivarian Republic of)
36.	Dominica	89.	Mozambique	141.	Viet Nam
37.	Dominican Republic	90.	Namibia	142.	Yemen
38.	Ecuador	91.	Nepal	143.	Zambia
39.	El Salvador	92.	Netherlands		
40.	Equatorial Guinea	93.	New Zealand		
41.	Eritrea	94.	Nicaragua		
42.	Estonia	95.	Niger		
43.	Ethiopia	96.	Nigeria		
44.	European Union	97.	Norway		
45.	Finland	98.	Oman		
46.	France	99.	Pakistan		
47.	Gabon	100.	Panama		
48.	Gambia	101.	Paraguay		
49.	Georgia	102.	Peru		
50.	Germany	103.	Philippines		
51.	Ghana	104.	Poland		
52.	Greece	105.	Portugal		
53.	Guatemala				

Annex II

Status of ratification, acceptance, approval and accession as at 30 May 2011

Status: Signatories: 73; Parties: 143¹

Text: United Nations, *Treaty Series*, vol. 2244, p. 337; C.N.846.2002.TREATIES-8 of 20 August 2002 (proposal of corrections to the original English text of the Convention); C.N.1029.2002.TREATIES-18 of 23 September 2002 (correction to the original English text of the Convention); C.N.10.2005.TREATIES-1 of 11 January 2005 (Adoption of Annex VI); C.N.11.2005.TREATIES-2 of 11 January 2005 (Amendments to Annex III); C.N.83.2009.TREATIES-1 of 5 February 2009 (Amendment to Annex III).^{2, 3, 4}

Party	Signature	Ratification, Acceptance (A), Approval (AA), Accession (a)	Entry into force
Albania		9 Aug 2010 a	7 Nov 2010
Angola	11 Sep 1998		
Antigua and Barbuda		23 Aug 2010 a	21 Nov 2010
Argentina	11 Sep 1998	11 Jun 2004	9 Sep 2004
Armenia	11 Sep 1998	26 Nov 2003	24 Feb 2004
Australia	6 Jul 1999	20 May 2004	18 Aug 2004
Austria	11 Sep 1998	27 Aug 2002	24 Feb 2004
Barbados	11 Sep 1998		
Belgium	11 Sep 1998	23 Oct 2002	24 Feb 2004
Belize		20 Apr 2005 a	19 Jul 2005
Benin	11 Sep 1998	5 Jan 2004	4 April 2004
Bolivia		18 Dec 2003 a	17 Mar 2004
Bosnia and Herzegovina		19 Mar 2007 a	17 Jun 2007
Botswana		5 Feb 2008 a	5 May 2008
Brazil	11 Sep 1998	16 Jun 2004	14 Sep 2004
Bulgaria		25 Jul 2000 a	24 Feb 2004
Burkina Faso	11 Sep 1998	11 Nov 2002	24 Feb 2004
Burundi		23 Sep 2004 a	22 Dec 2005
Cameroon	11 Sep 1998	20 May 2002	24 Feb 2004
Canada		26 Aug 2002 a	24 Feb 2004
Cape Verde		1 Mar 2006 a	30 May 2006
Chad	11 Sep 1998	10 Mar 2004	8 Jun 2004
Chile	11 Sep 1998	20 Jan 2005	20 Apr 2005
China ^{5, 6}	24 Aug 1999	22 Mar 2005	20 Jun 2005
Colombia	11 Sep 1998	3 Dec 2008	3 Mar 2009
Congo	11 Sep 1998	13 Jul 2006	11 Oct 2006
Cook Islands		29 Jun 2004 a	27 Sep 2004
Costa Rica	17 Aug 1999	13 Aug 2009	11 Nov 2009
Côte d'Ivoire	11 Sep 1998	20 Jan 2004	19 Apr 2004
Croatia		16 Nov 2007 a	14 Feb 2008
Cuba	11 Sep 1998	22 Feb 2008	22 May 2008
Cyprus	11 Sep 1998	17 Dec 2004	17 Mar 2005
Czech Republic	22 Jun 1999	12 Jun 2000	24 Feb 2004
Democratic People's Republic of Korea		6 Feb 2004 a	6 May 2004
Democratic Republic of the Congo	11 Sep 1998	23 Mar 2005	21 Jun 2005
Denmark ⁷	11 Sep 1998	15 Jan 2004	14 Apr 2004
Djibouti		10 Nov 2004 a	8 Feb 2005
Dominica		30 Dec 2005 a	29 Mar 2006
Dominican Republic		24 Mar 2006 a	22 June 2006
Ecuador	11 Sep 1998	4 May 2004	2 Aug 2004
El Salvador	16 Feb 1999	8 Sep 1999	24 Feb 2004
Equatorial Guinea		7 Feb 2003 a	24 Feb 2004
Eritrea		10 Mar 2005 a	8 Jun 2005
Estonia		13 Jun 2006 a	11 Sep 2006
Ethiopia		9 Jan 2003 a	24 Feb 2004
European Union	11 Sep 1998	20 Dec 2002 AA	24 Feb 2004

Party	Signature	Ratification, Acceptance (A), Approval (AA), Accession (a)	Entry into force
Finland	11 Sep 1998	4 Jun 2004 A	2 Sep 2004
France	11 Sep 1998	17 Feb 2004 AA	17 May 2004
Gabon		18 Dec 2003 a	17 May 2004
Gambia		26 Feb 2002 a	24 Feb 2004
Georgia		27 Feb 2007 a	28 May 2007
Germany	11 Sep 1998	11 Jan 2001	24 Feb 2004
Ghana	11 Sep 1998	30 May 2003	24 Feb 2004
Greece	11 Sep 1998	23 Dec 2003	22 Mar 2004
Guatemala		19 Apr 2010 a	18 July 2010
Guinea		7 Sep 2000 a	24 Feb 2004
Guinea-Bissau	10 Sep 1999	12 Jun 2008	10 Sep 2008
Guyana		25 Jun 2007 a	23 Sep 2007
Hungary	10 Sep 1999	31 Oct 2000	24 Feb 2004
India		24 May 2005 a	22 Aug 2005
Indonesia	11 Sep 1998		
Iran (Islamic Republic of)	17 Feb 1999	26 Aug 2004	24 Nov 2004
Ireland		10 Jun 2005 a	8 Sep 2005
Italy	11 Sep 1998	27 Aug 2002	24 Feb 2004
Jamaica		20 Aug 2002 a	24 Feb 2004
Japan	31 Aug 1999	15 Jun 2004 A	13 Sep 2004
Jordan		22 Jul 2002 a	24 Feb 2004
Kazakhstan		1 Nov 2007 a	30 Jan 2008
Kenya	11 Sep 1998	3 Feb 2005	4 May 2005
Kuwait	11 Sep 1998	12 May 2006	10 Aug 2006
Kyrgyzstan	11 Aug 1999	25 May 2000	24 Feb 2004
Lao People's Democratic Republic		21 Sep 2010 a	20 December 2010
Latvia		23 Apr 2003 a	24 Feb 2004
Lebanon		13 Nov 2006 a	11 Feb 2007
Lesotho		30 May 2008 a	28 Aug 2008
Liberia		22 Sep 2004 a	21 Dec 2004
Libyan Arab Jamahiriya		9 Jul 2002 a	24 Feb 2004
Liechtenstein		18 Jun 2004 a	16 Sep 2004
Lithuania		17 Mar 2004 a	15 Jun 2004
Luxembourg	11 Sep 1998	28 Aug 2002	24 Feb 2004
Madagascar	8 Dec 1998	22 Sep 2004	21 Dec 2004
Malawi		27 Feb 2009 a	28 May 2009
Malaysia		4 Sep 2002 a	24 Feb 2004
Maldives		17 Oct 2006 a	15 Jan 2007
Mali	11 Sep 1998	5 Jun 2003	24 Feb 2004
Marshall Islands		27 Jan 2003 a	24 Feb 2004
Mauritania	1 Sep 1999	22 Jul 2005 A	20 October 2005
Mauritius		5 Aug 2005 a	3 Nov 2005
Mexico		4 May 2005 a	2 Aug 2005
Mongolia	11 Sep 1998	8 Mar 2001	24 Feb 2004
Morocco		25 Apr 2011 a	24 July 2011
Mozambique		15 Apr 2010 a	14 July 2010
Namibia	11 Sep 1998	24 Jun 2005	22 Sep 2005
Nepal		9 Feb 2007 a	10 May 2007
Netherlands ⁸	11 Sep 1998	20 Apr 2000 A	24 Feb 2004
New Zealand ⁹	11 Sep 1998	23 Sep 2003	24 Feb 2004
Nicaragua		19 Sep 2008 a	18 Dec 2008
Niger		16 Feb 2006 a	17 May 2006
Nigeria		28 Jun 2001 a	24 Feb 2004
Norway	11 Sep 1998	25 Oct 2001 A	24 Feb 2004
Oman		31 Jan 2000 a	24 Feb 2004
Pakistan	9 Sep 1999	14 Jul 2005	12 Oct 2005
Panama	11 Sep 1998	18 Aug 2000	24 Feb 2004
Paraguay	11 Sep 1998	18 Aug 2003	24 Feb 2004
Peru	11 Sep 1998	14 Sep 2005	13 Dec 2005
Philippines	11 Sep 1998	31 Jul 2006	29 Oct 2006
Poland		14 Sep 2005 a	13 Dec 2005
Portugal	11 Sep 1998	16 Feb 2005 AA	17 May 2005
Qatar		10 Dec 2004 a	10 Mar 2005

Party	Signature	Ratification, Acceptance (A), Approval (AA), Accession (a)	Entry into force
Republic of Korea	7 Sep 1999	11 Aug 2003	24 Feb 2004
Republic of Moldova		27 Jan 2005 a	27 Apr 2005
Romania		2 Sep 2003 a	24 Feb 2004
Russian Federation		28 Apr 2011 a	27 July 2011
Rwanda		7 Jan 2004 a	6 Apr 2004
Samoa		30 May 2002 a	24 Feb 2004
Saudi Arabia		7 Sep 2000 a	24 Feb 2004
Senegal	11 Sep 1998	20 Jul 2001	24 Feb 2004
Serbia		31 Jul 2009 a	29 October 2009
Seychelles	11 Sep 1998		
Singapore		24 May 2005 a	22 Aug 2005
Slovakia		26 Jan 2007 a	24 Feb 2004
Slovenia	11 Sep 1998	17 Nov 1999	24 Feb 2004
Somalia		26 Jul 2010 a	24 Oct 2010
South Africa		4 Sep 2002 a	24 Feb 2004
Spain	11 Sep 1998	2 Mar 2004	31 May 2004
Sri Lanka		19 Jan 2006 a	19 Apr 2006
St. Lucia	25 Jan 1999		
St. Vincent and the Grenadines		29 Oct 2010 a	27 Jan 2011
Sudan		17 Feb 2005 a	18 May 2005
Suriname		30 May 2000 a	24 Feb 2004
Sweden	11 Sep 1998	10 Oct 2003	24 Feb 2004
Switzerland	11 Sep 1998	10 Jan 2002	24 Feb 2004
Syrian Arab Republic	11 Sep 1998	24 Sep 2003	24 Feb 2004
Tajikistan	28 Sep 1998		
Thailand		19 Feb 2002 a	24 Feb 2004
The former Yugoslav Republic of Macedonia		12 Aug 2010 a	10 Nov 2010
Togo	9 Sep 1999	23 Jun 2004	21 Sep 2004
Tonga		31 Mar 2010 a	29 Jun 2010
Trinidad and Tobago		16 Dec 2009 a	16 Mar 2010
Tunisia	11 Sep 1998		
Turkey	11 Sep 1998		
Uganda		18 Aug 2008 a	16 Nov 2008
Ukraine		6 Dec 2002 a	24 Feb 2004
United Arab Emirates		10 Sep 2002 a	24 Feb 2004
United Kingdom of Great Britain and Northern Ireland	11 Sep 1998	17 Jun 2004	15 Sep 2004
United Republic of Tanzania	11 Sep 1998	26 Aug 2002	24 Feb 2004
United States of America	11 Sep 1998		
Uruguay	11 Sep 1998	4 Mar 2003	24 Feb 2004
Venezuela (Bolivarian Republic of)		19 Apr 2005 a	18 Jul 2005
Viet Nam		7 May 2007 a	5 Aug 2007
Yemen		4 Feb 2006 a	5 May 2006
Zambia		28 Jan 2011 a	28 April 2011

Declarations

(Unless otherwise indicated, the text of the declarations were made upon ratification, acceptance, approval or accession.)

Austria

Declaration:

"The Republic of Austria declares in accordance with Article 20 (2) of the Convention that it accepts both of the means of dispute settlement mentioned in Paragraph 2 as compulsory in relation to any party accepting an obligation concerning one or both of these means of dispute."

Botswana

Declaration:

"[Pursuant to] paragraph 2 of Article 20, the Government of the Republic of Botswana declares that, with respect to any dispute concerning the interpretation or application of the Convention, it recognises both means of dispute settlement set out in this provision, as compulsory in relation to any Party accepting the same obligation under the Convention. This Declaration shall remain valid for the period that the Government of the Republic of Botswana is a party to the Convention."

Estonia

Declaration:

"With respect to any dispute concerning the interpretation or application of this Convention, the Republic of Estonia recognizes both of the means of dispute settlement stated in Article 20, paragraph 2 as compulsory in relation to any Party accepting the same obligation."

European Union

Declaration:

"The European Community declares that, in accordance with the Treaty establishing the European Community, and in particular Article 175(l) thereof, it is competent to enter into international agreements, and to implement the obligations resulting therefrom, which contribute to the pursuit of the following objectives:

- Preserving, Protecting and improving the quality of the environment;
- protecting human health;
- prudent and rational utilisation of natural resources;
- promoting measures at international level to deal with regional or worldwide environmental problems.

Moreover, the European Community declares that it has already adopted legal instruments, including a Regulation of the European Parliament and the Council concerning the export and import of dangerous chemicals, binding on its Member States, covering matters governed by this Convention, and will submit and update, as appropriate, a list of those legal instruments to the Secretariat of the Convention.

The European Community is responsible for the performance of those obligations resulting from the Convention which are covered by Community law in force.

The exercise of Community competence is, by its nature, subject to continuous development."

Netherlands

17 February 2010

Declaration:

"The Kingdom of the Netherlands declares, in accordance with paragraph 2 of Article 20 of the Rotterdam Convention on the prior informed consent procedure for certain hazardous chemicals and pesticides in international trade, that it accepts both means of dispute settlement referred to in that paragraph as compulsory in relation to any Party accepting one or both means of dispute settlement."

Norway

Declaration:

“In accordance with article 20 (2), [Norway declares that], with respect to any dispute concerning the interpretation or application of the Convention, it recognizes (b) Submission of the dispute to the International Court of Justice.”

Republic of Moldova

Declaration:

According to article 20 of the Convention, the Republic of Moldova declares that [it] accepts both means of dispute settlement, mentioned in paragraph 2 of the article, as compulsory in relation to any Party accepting the same obligation.

Syrian Arab Republic

Declaration:

The Government of the Syrian Arab Republic has reviewed the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, which was signed in 1998. Having given it thorough consideration:

It declares that it has already ratified the above-mentioned Convention by virtue of legislative decree No. 35 of 13 July 2003, and that it will fully comply with and respect all its provisions, while confirming that the ratification of this Convention by the Syrian Arab Republic does not in any way constitute a recognition of Israel, and that the provisions of the Convention do not imply that the Syrian Arab Republic has to deal with that State.

Objections

(Unless otherwise indicated, the objections were received upon ratification, acceptance, approval or accession.)

Israel

13 January 2004

With regard to the declaration made by the Syrian Arab Republic upon ratification :

"The Government of the State of Israel has noted that the instrument of ratification of the Syrian Arab Republic to the abovementioned Convention contains a declaration with respect to the State of Israel. The Government of the State of Israel considers that such declaration, which is explicitly of a political nature, is incompatible with the purposes and objectives of the Convention.

The Government of the State of Israel therefore objects to the aforesaid declaration made by the Syrian Arab Republic."

End Note

1. For the purpose of entry into force of the [Convention/Protocol] , any instrument of ratification, acceptance, approval or accession deposited by a regional economic integration organization shall not be counted as additional to those deposited by member States of that Organization.
2. By decision RC-1/3 of 24 September 2004, adopted at its first meeting, held in Geneva from 20 to 24 September 2004, the Conference of the Parties to the above Convention adopted, in accordance with the procedure laid down in article 8 and paragraph 5 of article 22 of the Convention, the amendments to Annex III.

In accordance with paragraph 5 (c) of article 22 of the Convention, the Conference of the Parties, in the same decision, decided that "all the amendments shall enter into force on 1 February 2005, except for the amendments made by subparagraph 1 (a) and (b) of the annex to the ... decision, which shall enter into force on 1 January 2006".

3. By decision RC-1/11 of 24 September 2004, adopted at its first meeting, held in Geneva from 20 to 24 September 2004, the Conference of the Parties to the above Convention adopted Annex VI, setting out the arbitration procedure for purposes of paragraph 2 (a) of article 20 of the Convention and the conciliation procedure for purposes of paragraph 6 of article 20 of the Convention.

In accordance with paragraph 3 (b) of article 22 of the Convention, any Party that is unable to accept an additional annex shall so notify the Depositary, in writing, within one year from the date of communication of the adoption of the additional annex by the Depositary. The Depositary shall without delay notify all Parties of any such notification received. A Party may at any time withdraw a previous notification of non-acceptance in respect of an additional annex and the annex shall thereupon enter into force for that Party subject to paragraph 3 (c) of the same article. In accordance with paragraph 3 (c), on the expiry of one year from the date of the communication by the Depositary of its adoption, Annex VI shall enter into force for all Parties that have not submitted a notification in accordance with the provisions of paragraph 3 (b).

4. By decision RC-4/5 of 31 October 2008, adopted at its fourth meeting, held in Rome, Italy, from 27 to 31 October 2008, the Conference of the Parties to the above Convention adopted, in accordance with the procedure laid down in paragraph 5 of article 22 of the Convention, an amendments to Annex III.

In accordance with paragraph 5 (c) of article 22 of the Convention, the Conference of the Parties, in the same decision, decided that "this amendment shall enter into force for all Parties on 1 February 2009".

5. With the following declaration:

In accordance with the provision of article 138 of the Basic Law of the Macao Special Administrative Region of the People's Republic of China and article 153 of the Basic Law of the Hong Kong Special Administrative Region of the People's Republic of China, the Government of the People's Republic of China decides that the Convention shall apply to the Macao Special Administrative Region of the People's Republic of China; it shall not apply to the Hong Kong Special Administrative Region of the People's Republic of China until the Government of China notifies otherwise.

6. On 26 August 2008, the Government of the People's Republic of China communicated to the Secretary-General the following declaration:

In accordance with the Basic Law of the Hong Kong Special Administrative Region of the People's Republic of China, the Government of the People's Republic of China decides that the Convention shall apply to the Hong Kong Special Administrative Region.

7. With a territorial exclusion in respect of the Faroe Islands and Greenland.

8. For the Kingdom in Europe.

9. With the following territorial exclusion: ".....consistent with the constitutional status of Tokelau and taking into account the commitment of the Government of New Zealand to the development of self-government for Tokelau through an act of self-determination under the Charter of the United Nations, this ratification shall not extend to Tokelau unless and until a Declaration to this effect is lodged by the Government of New Zealand with the Depositary on the basis of appropriate consultation with that territory."