INSTRUCTIONS FOR SUBMISSION OF A NOTIFICATION OF FINAL REGULATORY ACTION

ROTTERDAM CONVENTION

SECRETARIAT FOR THE ROTTERDAM CONVENTION
ON THE PRIOR INFORMED CONSENT PROCEDURE
FOR CERTAIN HAZARDOUS CHEMICALS AND PESTICIDES
IN INTERNATIONAL TRADE

UNEP

FORM FOR NOTIFICATION

OF FINAL REGULATORY ACTION TO BAN OR SEVERELY RESTRICT A CHEMICAL

Country:

SECTION 1	**IDENTITY OF CHEMICAL SUBJECT TO THE FINAL REGULATORY ACTION**	
1.1	**Common name**	
1.2	**Chemical name according to an internationally recognized nomenclature (e.g. IUPAC), where such nomenclature exists**	
1.3	**Trade names and names of preparations**	
1.4	**Code numbers**	
1.4.1	CAS number	
1.4.2	Harmonized System customs code	
1.4.3	Other numbers (specify the numbering system)	

INSTRUCTION: If reporting a final regulatory action that applies to a group of chemicals, please provide CAS-number for each chemical covered by the final regulatory action.

1.5 Indication regarding previous notification on this chemical, if any

1.5.1 ☐ This is a first time notification of final regulatory action on this chemical.

1.5.2 ☐ This notification replaces all previously submitted notifications on this chemical.
Date of issue of the previous notification: _____

INSTRUCTION: When revising a final regulatory action please provide a new notification that replaces all previous notifications.

SECTION 2 FINAL REGULATORY ACTION

2.1 The chemical is: ☐ **banned** **OR** ☐ **severely restricted**

INSTRUCTION: Please check only one of the two options. The definitions of ban and severe restriction under the Rotterdam Convention can be found at the end of this form.

2.2 Information specific to the final regulatory action

2.2.1 Summary of the final regulatory action

2.2.2 Reference to the regulatory document, e.g. where decision is recorded or published

2.2.3 Date of entry into force of the final regulatory action

INSTRUCTION: This is the effective date when the regulatory action comes into force for the chemical.

2.3 Category or categories where the final regulatory action has been taken

2.3.1 All use or uses of the chemical in your country prior to the final regulatory action

2.3.2 Final regulatory action has been taken for the category ☐ Industrial

Use or uses prohibited by the final regulatory action

Use or uses that remain allowed (only in case of a severe restriction)

2.3.3 Final regulatory action has been taken for the category ☐ Pesticide

Formulation(s) and use or uses prohibited by the final regulatory action

Formulation(s) and use or uses that remain allowed
(only in case of a severe restriction)

INSTRUCTION: please indicate whether the final regulatory action bans or severely restricts all formulations of the chemical or bans or severely restricts only certain types of formulations or certain concentrations of active ingredient.

2.4 **Was the final regulatory action based on a risk or hazard evaluation?** ☐ **Yes** ☐ **No** (If no, you may also complete section 2.5.3.3)

2.4.1 If yes, reference to the relevant documentation, which describes the hazard or risk evaluation

2.4.2 Summary description of the risk or hazard evaluation upon which the ban or severe restriction was based.

2.4.2.1 Is the reason for the final regulatory action relevant to human health? ☐ Yes ☐ No

If yes, give summary of the hazard or risk evaluation related to human health, including the health of consumers and workers

Expected effect of the final regulatory action

2.4.2.2 Is the reason for the final regulatory action relevant to the environment? ☐ Yes ☐ No

If yes, give summary of the hazard or risk evaluation related to the environment

Expected effect of the final regulatory action

INSTRUCTION: If the final regulatory action was based on a risk evaluation involving prevailing conditions in your country, this should be indicated, including a summary of relevant information. Detailed report can be submitted separately if available.

INSTRUCTION: Information provided here may include a consideration of whether the final regulatory action led, or would be expected to lead:
- to a significant decrease in the quantity of the chemical used or the number of its uses; and
- to result in a significant reduction of risk for the human health or environment in your country.

2.5 Other relevant information regarding the final regulatory action

2.5.1 Estimated quantity of the chemical produced, imported, exported and used

	Quantity per year (MT)	Year
produced		
imported		
exported		
used		

2.5.2 Indication, to the extent possible, of the likely relevance of the final regulatory action to other states and regions

2.5.3 Other relevant information that may cover:

2.5.3.1 Assessment of socio-economic effects of the final regulatory action

2.5.3.2 Information on alternatives and their relative risks, e.g. IPM, chemical and non-chemical alternatives

2.5.3.3 Basis for the final regulatory action if other than hazard or risk evaluation

INSTRUCTION:
Please provide, to the extent possible, an indication on whether the considerations that led to the final regulatory action are applicable also in other states or regions.

2.5.3.4 Additional information related to the chemical or the final regulatory action, if any

SECTION 3 PROPERTIES

3.1 Information on hazard classification where the chemical is subject to classification requirements

INSTRUCTION: The hazard classification given here should be for the active ingredient.

International classification systems e.g. WHO, IARC, etc.	Hazard class

Other classification systems e.g. EU, USEPA	Hazard class

3.2 Further information on the properties of the chemical

3.2.1 Description of physico-chemical properties of the chemical

Reference

3.2.2 Description of toxicological properties of the chemical

Reference

3.2.3 Description of ecotoxicological properties of the chemical

Reference

SECTION 4 DESIGNATED NATIONAL AUTHORITY

Institution	
Address	
Name of person in charge	
Position of person in charge	
Telephone	
Telefax	
E-mail address	

Date, signature of DNA and official seal: _____

PLEASE RETURN THE COMPLETED FORM TO:

Secretariat for the Rotterdam Convention
Food and Agriculture Organization of the United Nations (FAO)
Viale delle Terme di Caracalla 00100
Rome, Italy
Tel: (+39 06) 5705 3441
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E-mail: pic@fao.org

OR

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United Nations Environment Programme (UNEP)
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CH – 1219 Châtelaine, Geneva, Switzerland
Tel: (+41 22) 917 8177
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Definitions for the purposes of the Rotterdam Convention according to Article 2:

(a) 'Chemical' means a substance whether by itself or in a mixture or preparation and whether manufactured or obtained from nature, but does not include any living organism. It consists of the following categories: pesticide (including severely hazardous pesticide formulations) and industrial;

(b) 'Banned chemical' means a chemical all uses of which within one or more categories have been prohibited by final regulatory action, in order to protect human health or the environment. It includes a chemical that has been refused approval for first-time use or has been withdrawn by industry either from the domestic market or from further consideration in the domestic approval process and where there is clear evidence that such action has been taken in order to protect human health or the environment;

(c) 'Severely restricted chemical' means a chemical virtually all use of which within one or more categories has been prohibited by final regulatory action in order to protect human health or the environment, but for which certain specific uses remain allowed. It includes a chemical that has, for virtually all use, been refused for approval or been withdrawn by industry either from the domestic market or from further consideration in the domestic approval process, and where there is clear evidence that such action has been taken in order to protect human health or the environment;

(d) 'Final regulatory action' means an action taken by a Party, that does not require subsequent regulatory action by that Party, the purpose of which is to ban or severely restrict a chemical.